

# Clearstream Fund Centre – Distribution Support Services

Product information  
for distribution partners

## Complementing the Vestima One-stop shop for funds

### Key benefits

#### **A solid infrastructure**

Benefit from a single agreement covering access to a global fund universe with centralised commission management.

#### **Focus on your core business**

Maximise your strategy and efforts in distribution through the externalisation of operational functions.

#### **Streamlined processes**

Rely on streamlined processes around fund data and documents provision, agreement maintenance and commission payment.

#### **Reduction of legal, compliance and operational risks**

Our value added services support you in minimising the risk of product mis-selling and complying with the latest market requirements.

#### **Focus on strategic decision-making and client outcomes**

Access an extensive fund database, highly sophisticated analysis, research and assessment methods from independent research partners.

Regulatory developments and growing requirements for greater product governance along the distribution supply chain have increased the call for more data, transparency and standardisation in fund distribution. Additionally, fund distributors are looking for streamlined processes around distribution agreement and commission management. Clearstream Fund Centre is supporting both fund distributors and fund providers to face these extra challenges.



Driven by market demand, Clearstream and Fondcenter are combining their unrivalled expertise around investment funds, creating Clearstream Fund Centre, an industry leading global fund distribution platform.

At a glance, the fund distribution support product range covers access to a wide range of funds, distribution agreement and distribution commission management, fund research,

compliance and regulatory support, as well as enhanced data and documents exchange between fund providers and fund distributors.

Clearstream Fund Centre complements the Vestima One-stop shop for funds – the trusted partner for all operational aspects of fund processing – thereby adding further value to Clearstream's full range of investment fund services.

# Suite of Fund Distribution Support Services

Clearstream Fund Centre offers a range of fund distribution support services built on its strong expertise and on a global network of fund providers.

## Distribution agreement maintenance

Clearstream Fund Centre maintains a single distribution agreement with leading large scale and boutique fund providers, in more than 25 markets globally. The constant expansion of the fund provider network, following distributor demand, ensures a vast and competitive global coverage.

As one of the largest fund platforms and infrastructures in the world, Clearstream Fund Centre's negotiation position is unique, unlocking attractive commission rates and service terms for its customers.

## Fund data and documents

Distributors using Clearstream Fund Centre's platform have access to more than 950 fund data fields as well as documents from more than

70,000 different funds in 25 different jurisdictions and in different languages. The fund documentation offering covers, among others, prospectuses and KIIDs. Clearstream Fund Centre's fund library is always up to date with static, dynamic and regulatory data thanks to the close and efficient collaboration with fund data providers.

## Commission management

Clearstream Fund Centre shields distributors from the market complexities of commission management. The calculation collection and reconciliation of commissions from fund providers is centralised and Clearstream Fund Centre executes a single payment to distributors. Distribution commissions received from fund providers are paid on

a quarterly basis to distributors – accompanied by comprehensive and transparent reporting.

## Compliance and regulatory support

Clearstream Fund Centre handles fund providers' queries and also supports fund providers by conducting mandatory checks on the distributors and collecting required documents from them.

## Fund Compass

Fund Compass, the web-based distribution and fund information application, offers distributors an intuitive and user-friendly access to fund data, documents and added value services.

## Fund Distribution Support Services

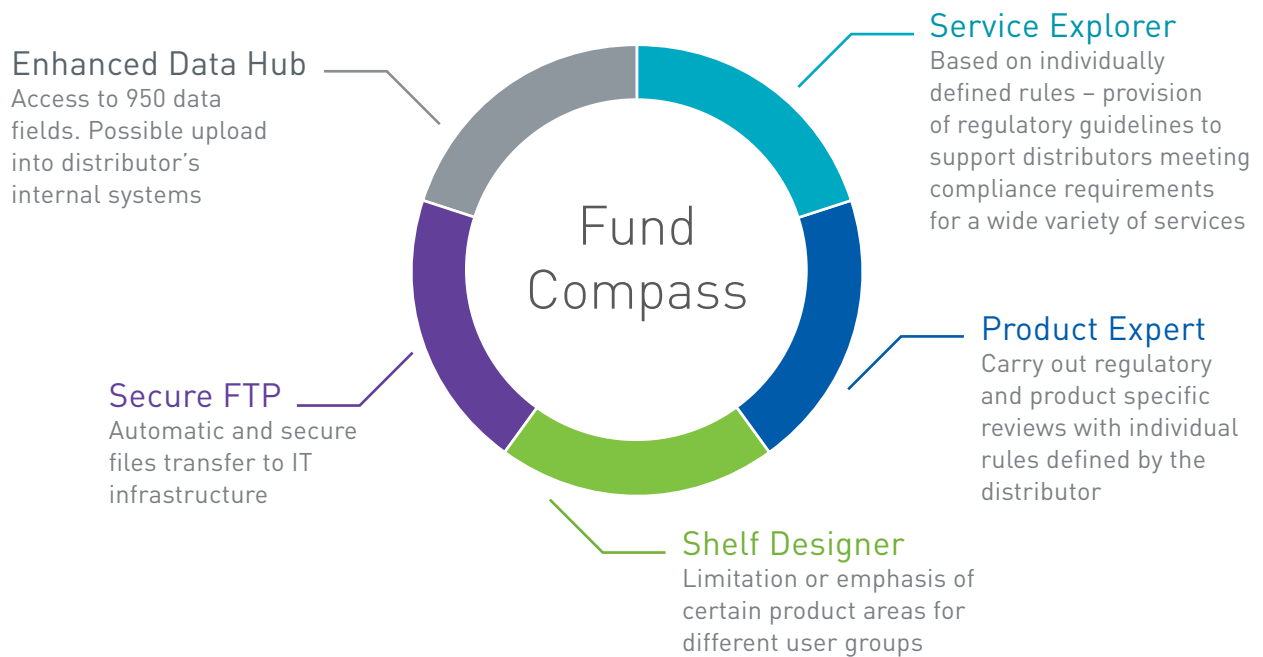
Fund provider agreement & commission management	Fund data & Documents	Fund eligibility & Compliance	Fund Compass	Vestima Fund execution and custody services
<ul style="list-style-type: none"> <li>- Access to a global fund range and fund providers</li> <li>- Maintaining and monitoring agreements regarding regulatory requirements</li> <li>- Commission collection and payment forwarded to distribution partners</li> <li>- Dedicated, local and active relationship management</li> <li>- Continuous coverage extension</li> </ul>	<ul style="list-style-type: none"> <li>- Static, dynamic and regulatory fund data</li> <li>- Fund documentation</li> <li>- Up-to-date fund database</li> <li>- Market insights and trends based on Clearstream Fund Centre platform data (e.g. asset flows, product trends)</li> </ul>	<ul style="list-style-type: none"> <li>- Facilitation of the distributor oversight for KYD (Know-Your-Distributor)</li> <li>- Assisting the provision of AML documents between distributors and fund providers</li> <li>- Fund matching to client profile</li> </ul>	<ul style="list-style-type: none"> <li>- Intuitive and user-friendly web-based application</li> <li>- Investment advisory support tools</li> <li>- Fund recommendation and analysis</li> <li>- Fund comparison</li> </ul>	<ul style="list-style-type: none"> <li>- Vestima One-stop-shop for all fund orders, from mutual to hedge funds</li> <li>- Order routing and settlement</li> <li>- Asset safekeeping and servicing</li> </ul>

# Fund Compass – a modular set-up

Fund Compass is a modular tool that distributors can customise based on individual needs. The web-based application is innovative and user-friendly and supports distribution

partners in their analysis and fund selection by providing fund information, fund analytics, a sophisticated fund search engine, a fund comparison tool and much more.

## Fund Compass - web-based distribution and fund information application tailored to your needs



## Key features



Efficient and user-friendly fund search engine



Data hub with over 950 data fields per unit class



Static, dynamic and regulatory data as well as documents



Modular structure



Fund comparison and analysis



Hotline and personal on-site advice

# Fund Spotlight

Fund Spotlight guides distributors through our extensive fund universe and helps them to make a more informed and well-founded investment decision.

Clearstream Fund Centre offers distribution partners a fund research solution, Fund Spotlight, in partnership with Morningstar. Customers can benefit from Morningstar's independent investment research and fund selection experience.

Based on customers' needs, Morningstar generates a list of eligible funds, considering whether there are any advantages from an active or passive product in each segment. Sustainability criteria can also play a role in this selection process.

A tailor-made fund research offer	Benefit from an independent research partner	Generate added value for client advisors and end clients
<p>Diversified and comprehensive lists of funds that can be fully customised around the following criteria: asset allocation, portfolio construction, investment objective needs; evaluated on a quarterly basis.</p> <p>The service helps our customers to meet their research needs, whether through cost reduction, entering new markets, supporting new investment trends as well as supplementing customers' due diligence needs.</p>	<p>Quality analysis is fundamental for finding the best possible investment solutions for customers.</p> <p>Our fund data provider gives customers access to an extensive fund database, highly sophisticated analysis, research and assessment methods, and long-standing contacts with fund providers around the world.</p>	<p>Morningstar analysts' ratings are presented in a very clear and standardised manner and are constantly refined.</p> <p>Customers get a clear description of what to expect from a fund. The information they provide is an important part of the decision-making process, e.g. to decide whether a passive, index-tracking solution or an active fund is more appropriate, or which share class would be the best fit.</p>

## Five-step approach to your target fund list



# Contact information

For further information, please contact your Relationship Manager or our Investment Fund Services team:

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