

Table A: Resident and non-resident investor types and changes to disclosure reporting requirements

No	Type	Code	Investor description	RENTAS segregated account opening requirement - Additional remarks	Disclosure reporting requirements effective 21 May 2018
1	Asset management	AM	Includes unit trust funds, mutual funds, bond funds, income funds, investment/asset/fund management companies, bank-backed/non-bank backed asset management, wealth management funds, private trust.	<p>Fund manager or bond fund level for example Fund Manager XYZ or ABC EM Bond Fund</p> <hr/> <p>Trustee level or trustee level for specific fund/corporation/individual for example XYZ Trustee Limited as Trustee for ABC Fund or Fund Level.</p>	<p>The existing monthly reporting of underlying clients is required for fund manager's separately managed accounts^a.</p> <p>Reporting not required for mutual funds^b.</p> <hr/> <p>The existing monthly reporting of underlying beneficial ownership is only required if segregated at trustee level.</p> <p>Not required if segregated and registered under trustee level for specific fund/ corporation / individual.</p>
2	Central bank	CB	Includes central bank, reserve bank or monetary authority.	Entity level, for example Central Bank of Malaysia.	<p>Monthly reporting will no longer be required on underlying funds, contributors, shareholders, individuals, clients or specific banking desk/section, as the segregated securities accounts allows this visibility to BNM.</p> <p>If required, this information will only be requested on an ad-hoc basis.</p>
3	Government	GV	Includes sovereign fund, federal fund, state fund, municipal fund, government organisation/council.		
4	Pension funds	PF	Includes retirement funds, employee provident funds, social security funds, pension scheme, government pension funds, national pension services, pension fund associations, superannuation funds.	Fund level, for example ABC Pension Fund.	
5	Insurance companies	IC	Includes life/general insurance companies, insurance funds, social insurance organisations.	Insurance company level.	
6	Non-financial corporations	NF	Includes associations, non-banking private sectors, foundations, endowment funds.	Corporate entity level ^c .	

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7	Banking institutions/financial intermediaries	BI	<p>Banks own position only. Banks include commercial banks, investment banks, development banks, multilateral development banks, private banks, broker-dealers.</p> <p>Other financial intermediaries include credit union, financial cooperatives, credit corporations, property financier, mortgage corporations, leasing and factoring companies.</p>	Entity level, for example XY Investment Bank.	
8	Individual	IN	A person.	Collectively placed under the securities account of the intermediary ^d or local ADI under category for "Non-resident/resident" and "Individual".	

- a. Separately managed accounts refer to a portfolio of securities managed on behalf of an investor by asset manager/fund manager.
- b. Mutual funds refer to an investment vehicle made up of a pool of funds collected from many investors for investing in bonds.
- c. For corporate entity with holdings below MYR 1 million, the securities could be collectively placed under the securities account of the intermediary or local ADI under category "Non-resident/resident" and "Corporate Entity".
- d. Intermediary includes private bank, management company, trust company, legal firm, custodian, stockbroking corporation or any similar entity which undertakes investment or manages funds on behalf of clients.

Note: An intermediary holding individuals and corporate entities collectively cannot have both individuals and corporate entities co-mingle in the same account. The intermediary will have to hold the collective of individuals in one securities account and the collective of corporate entities in another securities account.