

# ICP Account Application Form for T2S

We, the undersigned, representing,

Registered Company name (in full)

hereby request LuxCSD S.A. ("LuxCSD")  
to open an account in our name with the  
following specifications:

Account name<sup>1</sup>

Reason for opening an account (for additional account)

48

Existing customer account in LuxCSD (if not applicable, please leave blank)

## Account type

(please select one account type only)

- Main account
- Additional account
- Fund issuance account (FIA)

## T2S Auto-collateralisation

(please select only one of these optional  
auto-collateralisation services, if applicable)

- Earmarking service<sup>2</sup>
- EEUR (default value)  EXXX<sup>3</sup>
- Pledge account

**Note:** The above services are mutually exclusive and therefore only one option may be indicated for this account opening request.

For the auto-collateralisation services on the pledge account, we herewith agree to authorise LuxCSD to disclose T2S auto-collateralisation related statements of transactions and holdings.

## Usage for assets

(please select the appropriate category)

- For proprietary assets
- For third party assets – Segregated<sup>4</sup>:

For segregated third party assets, please indicate the details of the underlying client (to be recorded in the LuxCSD business partner register):

Underlying client name

Country

- For third party assets – Omnibus<sup>5</sup>:

The Omnibus account is used to co-mingle the interests of several underlying clients with no single entity/individual having a predominant and continuing interest in the assets portfolio.

1. LuxCSD will adapt the account name for specific account types.

2. Providing account

3. Non-Euro ISO currency.

4. Only available for professional securities intermediaries, subject to prior approval by LuxCSD.

5. Omnibus accounts for third party assets are intended to facilitate the operations of professional securities intermediaries. LuxCSD may require disclosure of the identities of any underlying clients having a continuing interest of 25% or more in the value of the assets deposited. In cases where there is such a predominant interest, the assets may be deposited on a separate, segregated client account.

## Settlement

The T2S settlement sequence will apply.

## Communications

- Existing Communication links that are currently in place for our T2S-LuxCSD account (if applicable)
- 
- CreationDirect CDi address \_\_\_\_\_  
For new users only, please complete a separate application form for CreationConnect products and services, obtainable from your Relationship Officer.
- Creation via SWIFT SWIFT address \_\_\_\_\_  
Scheduling of reports can be managed by authorised users via the Xact report subscription menu.
- Xact Web Portal  
Please note the Portal setup will be conducted by default. Please complete a separate application form for Xact Web Portal products and services, available from your Relationship Officer.

## T2S connection mode

LuxCSD customers wishing to connect as Directly Connected Participant (DCP) are kindly requested to fill-out the corresponding DCP Account opening form.

## T2S - Test SWIFT address

A Test SWIFT address is required for ICP testing in ISO 15022 format.

## Linked account(s)

If this "ICP Account Application Form" for T2S is approved, we hereby confirm that we are authorised to designate the following account (5-digit Creation account number) to be debited/credited for:

Credit/Debit in cash other than EUR Central Bank Money (CeBM)

\_\_\_\_\_  
ICSD account number

The above cash account<sup>6</sup> is to be debited/credited for payables/receivables in respect of the principal of, premium or interest on, or dividends or other amounts in respect of securities deposited by us as customer with LuxCSD.

Clearstream Banking S.A., L-2967 Luxembourg

\_\_\_\_\_  
Bank name and address

Credit/Debit in T2S-ineligible securities<sup>7</sup>

\_\_\_\_\_  
(I)CSD account

The above (I)CSD account must be assigned for the settlement process outside of T2S, and, unless otherwise subscribed by us, LuxCSD will apply the above account as default account assigned for proceeds in T2S-ineligible securities.

**Note:** If referring to an (I)CSD account of a third party, please complete [Appendix 1](#).

6. Please ensure that the necessary CBL Standing Instruction - LuxCSD document has been duly and validly signed for the accounts held with Clearstream Banking S.A., designated above.

7. LuxCSD 43xxx account or other Creation-ICSD account. Not applicable if the type of account to be opened is a fund issuance account (FIA).

## T2S parameters

Please indicate the T2S party identification (BIC11) of your LuxCSD T2S Securities Account (SAC).

\_\_\_\_\_

BIC11

We hereby confirm that we are authorised to make use of TARGET2 RTGS.

**C-** \_\_\_\_\_ **- EUR -**

ISO Code \_\_\_\_\_ 11 characters exactly for BIC + 17 characters sub-classification of the account

**Note:** If referring to a DCA account of a third party, please complete [Appendix 2](#).

## VAT

(please tick one box only)

\_\_\_\_\_

VAT number (mandatory if country of operations is based in a European Union Member State)

We are exempt from VAT.

## Billing details

\_\_\_\_\_

Company name

\_\_\_\_\_

Street

\_\_\_\_\_

City

\_\_\_\_\_

Post code

\_\_\_\_\_

Country

\_\_\_\_\_

Contact person or department

\_\_\_\_\_

Billable account (please leave blank if the LuxCSD T2S account created through this application should be the default billing account<sup>9</sup>)

We hereby agree and commit to informing our Relationship Officer immediately of any changes to the above details.

## Governing documents

We acknowledge receipt of LuxCSD's Governing Documents as amended from time to time and hereby confirm our acceptance to be bound by their terms and conditions. These Governing Documents shall also apply to all subsequent accounts to be opened upon our request by duly authenticated means of communication.

- If this "Account Application Form" is approved, we request LuxCSD to open on our behalf the necessary security account.
- We agree that LuxCSD may request additional information in relation to our institution as may be required from time to time under the applicable money laundering rules.

8. The funding of these DCAs will be done from the RTGS accounts in TARGET2. Customers who want to participate in Delivery - versus - Payment (DvP) settlement need to link their T2S SAC to at least one DCA via a National Central Bank (NCB). The DCA number will be provided by the respective NCB. Several DCAs can be linked to one SAC. If more than one DCA is assigned to one SAC, please confirm the indicated DCA will be used as a 'default' DCA.

9. If you already have a T2S account with LuxCSD, please indicate the billing account on which all charges for all accounts should be consolidated.

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## Authorised signature(s)

[Note: Please ensure the signatories correspond to the latest issued authorised signatures list submitted to LuxCSD. In case of doubt, please attach an updated list of authorised signatures.]

Signature	Signature
Name	Name
Title	Title
Place	Place
Date	Date

## Appendix 1

## Confirmation of the Clearstream Banking S.A. account holder if not identical to the LuxCSD account holder

We hereby agree that the cash settlement process as well as the debit and credit for payables/receivables in respect of the principal of, premium or interest on, or dividend or other amounts related to securities deposited in LuxCSD account held by \_\_\_\_\_

Name of the LuxCSD applicant

may be conducted via the following account(s).

We hereby confirm that \_\_\_\_\_  
Name of the LuxCSD Applicant

is authorised to make use of: \_\_\_\_\_  
Account number(s)

opened in the name of \_\_\_\_\_  
Complete name of the account holder

with registered office at \_\_\_\_\_  
Registered address of the account holder

## Authorised signature(s)

(Note: Please ensure the signatories correspond to the latest issued authorised signatures list submitted to LuxCSD. In case of doubt, please attach an updated list of authorised signatures.)

_____ Signature	_____ Signature
_____ Name	_____ Name
_____ Title	_____ Title
_____ Place	_____ Place
_____ Date	_____ Date

## Appendix 2

## Confirmation of the Central Bank DCA account holder if not identical to the LuxCSD account holder

We hereby agree that the cash settlement process related to the LuxCSD account held by \_\_\_\_\_

Name of the LuxCSD Applicant

may be conducted via the following account(s).

We hereby confirm that \_\_\_\_\_

Name of the LuxCSD Applicant

is authorised to make use of \_\_\_\_\_

DCA number(s)

opened in the name of \_\_\_\_\_

Complete name of the account holder

with registered office at \_\_\_\_\_

Registered address of the account holder

held with \_\_\_\_\_

Central Bank name

## Authorised signature(s)

(Note: Please ensure the signatories correspond to the latest issued authorised signatures list submitted to LuxCSD. In case of doubt, please attach an updated list of authorised signatures.)

\_\_\_\_\_  
Signature

\_\_\_\_\_  
Signature

\_\_\_\_\_  
Name

\_\_\_\_\_  
Name

\_\_\_\_\_  
Title

\_\_\_\_\_  
Title

\_\_\_\_\_  
Place

\_\_\_\_\_  
Place

\_\_\_\_\_  
Date

\_\_\_\_\_  
Date