

Client Application Form

We, the undersigned, representing,				
hereby apply to become a client of LuxCSD S.A. ("LuxCSD")	Registered Company name (in full)			
	Trade name (if different from registered)			
Country of residence				
	Address			
	City	Post code	Country	
	Tel	Fax		
	Email	Swift/BIC		
	Legal contact name			
	Tel	Position		
Country of operation (if different from country of residence)				
(ii dinerent irom country of residence)	Address			
	City	Post code	Country	
	Tel	Fax		
	Email	Swift/BIC		
Legal Entity Identifier (LEI)				
4	Legal Entity Identifier (20 characters)			
Investor-CSD ¹	Yes No			
VAT (please tick one box only)	VAT number (mandatory if country of State) We are exempt from VAT.	operations is based ir	n a European Union Member	

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^{1.} This information is used in relation to Regulation (EU) No 909/2014 of the European Parliament and of the Council of 23 July 2014 on improving securities settlement in the European Union and on central securities depositories (CSDR) to identify clients that are themselves a CSD.

Mailing address				
(if different from country of residence)	Address			
	City	Post code	Country	
	Tel	Fax		
	Email			
	Department	Attention		
Regulatory reporting				
	The company is subject to the national law of the following jurisdiction:			
	Names of the regulatory authorities responsible for the company's supervision:			
	NACE code (according to the European Classification of Economic Activities): Economic Sector Code (as defined by Banque Centrale de Luxembourg)			
Ownership structure	State the names of major shareholders, holding 10% or more of capital ² :			
	_			
	_			
Reference contact	Name and address of an institution that may be contacted to provide a reference if requested:			
	Institution			
	Department	Attention		
	Address			
	City	Post code	Country	
	Tel	Fax		
	Email			

Please inform your Relationship Officer immediately of any changes to any of the above details.

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^{2.} If an individual shareholder ultimately owns more than 25% interest in the prospective client, then that party is treated as a beneficial owner for the purpose of due dilinence

In the case of individual shareholders, please provide certified true copies and valid identification documents (passport or identity card).

Certified copies to be made by a competent authority under Luxembourg regulation or under the national regulation of the applicant's jurisdiction (example: notary, embassy, police).

Printing and mailing invoices

We hereby give power of attorney to Clearstream Banking S.A. and any other subcontractors appointed by Clearstream Banking S.A. to collect from LuxCSD the volume of deposits for the period considered and the number of instructions, that is, all information that is needed for the invoicing, together with our name, address and account number. Our power of attorney is granted for an unlimited period of time

SAP application support service

LuxCSD will have recourse to an external service provider concentrating the provision of SAP application support services to LuxCSD in the following areas:

- Daily support, maintenance and bug-fixing;
- Implementation of application enhancements.

We acknowledge that the service provider might have access to our client data if the solution of an error message or a special emergency situation requires the analysis of the issue in the production environment. To this end we hereby appoint such service provider as attorney to access our client data in such situations. The power of attorney is granted for an unlimited period of time.

Intra-group operations

We hereby give power of attorney to Deutsche Börse AG, its subsidiaries and affiliates, to collect from LuxCSD all information necessary for the performance of operational tasks by Deutsche Börse AG, its subsidiaries and affiliates, in relation to any and all services provided by LuxCSD to us as client. The power of attorney is granted for an unlimited period of time.

These powers of attorney are governed by LuxCSD's General Terms and Conditions, as modified from time to time.

Governing documents

We confirm that we have read LuxCSD's Governing Documents as available today on the website www.luxcsd.com and that we acknowledge such LuxCSD Governing Documents as amended from time to time and hereby confirm our acceptance to be bound by their terms and conditions. These Governing Documents shall also apply to all subsequent accounts to be opened upon our request by duly authenticated means of communication.

- If this "Client Application Form" is approved, we request LuxCSD to open on our behalf the necessary security accounts.
- We agree that LuxCSD may request additional information in relation to our institution as may be required from time to time under the applicable money laundering rules.

Authorised signature(s)

Signature	Signature
Name	Name
Title	Title
Place	Place
Date	Date

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Please return this form and the following documents to your Relationship Officer:

1.	The Account Application Form, duly filled in and signed and related TARGET2 forms	Original needed
2.	The CBL Standing Instruction - LuxCSD, duly filled in and signed	Original needed
3.	The Articles of Association and, if the articles are not available in English, French or German, a legal English translation	Original or certified ³ copy
4.	The Banking or Business Licence (to operate as a financial institution under Luxembourg law or any equivalent category under the client's national law) and, if the Licence is not available in English, French or German, a legal English translation	Original or certified ³ copy
5.	The Extract of the Company's Register including certificate of residency	Original or certified ² copy
6.	An up-to-date official list of authorised client signatures	Original or certified ² copy
7.	The company's most recent audited Annual Reports. In the case of a subsidiary, please also provide the latest audited Annual Report of the parent company	Copy, original or certified ² copy
8.	The Client Identification Template and the relevant Due Diligence industry templates (FCCQ for proprietary business and ISSA Form and CBDDQ for client business), duly filled in and signed	Original needed
9.	The FATCA self-certification form, duly filled in and signed	Original needed
10.	The Entity tax residency self-certification form, duly filled in and signed	Original needed
11.	The Controlling Persons tax residency self- certification form, duly filled in and signed	Original needed
12.	US Patriot Act certification (if available)	Original or certified ² copy
13.	Detailed report written on your company by a recognised rating agency (if available)	Original or certified ² copy

If you make any amendments to the documentation in future, please send the updated documents to your Relationship Officer at LuxCSD as soon as they become available.

LuxCSD contact details

LuxCSD Office & mailing address 42 Avenue JF Kennedy L-1855 Luxembourg Luxembourg

Telephone: +352-243-32820 Fax: +352-243-632820

LuxCSD Registered office address 42 Avenue JF Kennedy L-1855 Luxembourg Luxembourg

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^{3.} Certified copies of company documentation (articles, license, minutes) to be made by a competent authority under Luxembourg regulation or under the national regulation of the applicant's jurisdiction (such as notary, embassy, police officer). Proof of the competence of an authority that is not under Luxembourg regulation must be attached.

Guidelines

How to fill in the Client Application Form

Country of residence

The address and contact details of the Company's registered Head Office. The legal contact name will be used by LuxCSD to send notices or agreements to the Company if no other contact name was provided to your Relationship Officer.

Country of operation

The address and contact details of the Company's registered branch for which the contractual relationship will apply (if different from the country of residence).

Mailing address

This address will be used by LuxCSD for sending invoices.

NACE Code

The NACE code is the statistical classification of economic activities in the European Community. Please identify which code is applicable to your company. Information can be found on the Eurostat website http://epp.eurostat.ec.europa.eu

Economic Sector Code

It is the client's sector classification as defined by Banque Centrale de Luxembourg (BCL). Please identify which code is applicable to your company. Information can be found on the BCL website www.bcl.lu/en. Click on Regulatory Reporting in the left-hand navigation bar and choose your company's status. Then, under the bullet point Instructions, go to Definitions and concepts (...).

Authorised signatures lists

LuxCSD respectfully reminds clients to provide written notification of deletions or modifications to signatures without delay, in accordance with Article 33 of LuxCSD's General Terms and Conditions.

Checklist of information required

For verification purposes, the Authorised Signature List must include the following information:

- Exact name of the institution and its country of operation;
- Name(s) of the signatories and their position or category;
- Specimen signatures of the signatories;
- Signatures regulations that correspond to activities with LuxCSD (singly, jointly, A+A, A+B, ...);
- Date of validity of the list of authorised signatures.

General standards

LuxCSD can accept signature lists submitted in the following formats:

- Original printed lists;
- Certified photocopies;
- Internet websites (website of client only, with no third party intervention).

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Guidelines

Specific standards

For compliance reasons, there are particular authentication requirements for authorised signature lists/updates that are issued specifically for LuxCSD including LuxCSD specimen signature forms:

- All pages must be numbered, dated, and signed by a company director or other officer (two if acting jointly) authorised to appoint signatories.
- Adequate proof of this authority must be attached, for example, an extract of the Board resolution, or any resolution of the competent corporate body of the company, appointing the said director(s) or officer(s), and it must be clear that they are entitled to appoint signatories.
- All of these documents must be duly certified, either separately or together as one package.

Note: Certification must be done by a competent authority, either under Luxembourg regulation or the national regulation of the client's jurisdiction (such as notary, police officer, embassy, or a government administration such as the mayor's office). Proof of the competence of an authority that is not under Luxembourg regulation must be attached.

Unacceptable standards

LuxCSD cannot accept signature lists submitted in the following formats:

- CD-Roms;
- Extracts:
- Facsimiles;
- Microfiches:
- Third party websites;
- Uncertified copies;
- Any authorised signature list that includes a disclaimer restricting the responsibilities of the client with regards to the use of the list by LuxCSD.

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