LuxCSD SWIFT ISO 15022 Guide for Agents

Part 3 - New Issues messages (MT599)

Edition : November 2011
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Table of Contents:

1. MT599 – NARRATIVE MESSAGES 2
   1.1. LUXCSD NOTIFIES APPOINTMENTS TO AGENT/LEAD MANAGER (N.I.1) 2
   1.1.1. Example 2
   1.2. LUXCSD NOTIFIES APPOINTMENTS TO LVO/LPA (N.I. 2) 3
   1.3. DELAY OF CLOSING DATE – LPA TO NOTIFY LUXCSD 4
Swift Tag summary

The following table describes the symbols used in the swift tags used to define the field format.

<table>
<thead>
<tr>
<th>Symbol</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>nn :</td>
<td>maximum length (minimum is 1) (e.g. 16x)</td>
</tr>
<tr>
<td>nn*nn :</td>
<td>maximum number of lines times maximum line length (e.g. 4*35x)</td>
</tr>
<tr>
<td>nn! :</td>
<td>fixed length (e.g. 4!c)</td>
</tr>
<tr>
<td>n :</td>
<td>numeric digits (0 through 9) only</td>
</tr>
<tr>
<td>a :</td>
<td>alphabetic capital letters (A through Z), upper case only</td>
</tr>
</tbody>
</table>
| x :  | SWIFT X character set:  
| A to Z (upper case)  
| a to z (lower case)  
| 0 to 9  
| / - ? : ( ) , ' + SPACE CrLf EBCDIC |
| y :  | SWIFT Y character set:  
| A to Z (upper case)  
| 0 to 9  
| SPACE . , - ( ) / = ' + : ? ! " % & * < > |
| z :  | SWIFT Z set all characters included in the X and Y sets, plus a couple of special characters  
| A to Z (upper case)  
| a to z (lower case)  
| 0 to 9  
| . , - ( ) / = ' + : ? @ # Cr Lf SPACE ( ! " % & * ; < >_ |
| c :  | alpha-numeric capital letters (upper case), and digits only |
| N :  | Negative sign |
| e :  | blank or space |
| d :  | decimal comma (.) |
| [ ]  | optional subfield |
1. MT599 – NARRATIVE MESSAGES

1.1. LuxCSD notifies appointments to Agent/Lead manager (N.I.1)

This message is sent by LuxCSD for the purpose of notifying the LVO/LPA allocation:

<table>
<thead>
<tr>
<th>Status</th>
<th>Tag</th>
<th>Qualifier</th>
<th>Field name</th>
<th>Format/Content</th>
<th>Comments</th>
</tr>
</thead>
<tbody>
<tr>
<td>M</td>
<td>:20:</td>
<td></td>
<td>Transaction Reference Number</td>
<td>16x</td>
<td></td>
</tr>
<tr>
<td>O</td>
<td>:21:</td>
<td></td>
<td>Related Reference</td>
<td>16x</td>
<td></td>
</tr>
<tr>
<td>M</td>
<td>:79:</td>
<td></td>
<td>narrative</td>
<td>35*50x</td>
<td></td>
</tr>
</tbody>
</table>

The message should contain the following:

- Issuer name
- ISIN
- Issue name
- Security type (BOND, EMTN, ECD, ECP, …)
- nominal currency
- expected issued amount
- expected closing date
- maturity date
- form of the security (=GT/GP)
- appointed LVO/LPA name
- name of IA/LM’s entity

1.1.1. Example

See example of a structured way of structuring below:

```
:20: ABCD248033123  LuxCSD reference
:79: ATTN XXXXXXXXX ++ALLOCATION MESSAGE++
ISIN LU0123456789
LU/0123456789
DESCRIPTION OF THE SECURITY

TYPE/BOND
CCY/EUR
EIAM/100000,
CLOS/20110930
MATU/20260930
FORM/GT
LVON/LuxCSD
LPAN/BANK X
IAGN/AGENT Y

THE IA OR LM IS HERWITH REQUESTED TO PROVIDE HIS CONTACT DETAILS TO THE LPA NO LATER THAN CLOSING DATE - 1BD.

CONTACT DETAILS       Contact details at the LuxCSD
JOHN DOE
PHONE NUMBER:xxxxxxxxxxx
```
1.2. LUXCSD NOTIFIES APPOINTMENTS TO LVO/LPA (N.I. 2)

This message is sent by LuxCSD for the purpose of notifying the LVO/LPA parties.

Please refer to message reference N.I.1 for specifications.
1.3. DELAY OF CLOSING DATE – LPA TO NOTIFY LUXCSD

This message is sent by the LPA to LuxCSD for a delay of closing date:

<table>
<thead>
<tr>
<th>Status</th>
<th>Tag</th>
<th>Qualifier</th>
<th>Field name</th>
<th>Format/Content</th>
<th>Comments</th>
</tr>
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<td></td>
</tr>
<tr>
<td>M :79:</td>
<td></td>
<td></td>
<td>narrative</td>
<td>35*50x</td>
<td></td>
</tr>
</tbody>
</table>

The message should contain the following:

- Issue name
- ISIN
- Common Code
- Text to confirm the POSTPONE of the closing which was foreseen for date ddmmyyyp
- Contact name and phone number at LPA