

# LuxCSD Fee Schedule

for clients of  
LuxCSD



## LuxCSD Fee Schedule

Document number: LU6210

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## i. General

### i.i Foreword

This fee schedule sets forth the fees applicable to the services and products of LuxCSD to its client's account holders.

For the purpose of this fee schedule, LuxCSD refers to:

LuxCSD S.A. having its registered office located at 42, avenue J-F Kennedy, L-1855 Luxembourg, Grand Duchy of Luxembourg and registered with the trade and companies register of Luxembourg under registration number B.154.449.

### i.ii Transparency

This fee schedule is structured to ease clients invoice reconciliation. A specific product reference, called invoice code in this document, independent from the tariff section numbering will be visible both on the tariff and on the invoice. The invoice codes will be added to this document as they become available.

### i.iii Validity

| This fee schedule is valid from 1 December 2023 until further notice. LuxCSD reserves the right to amend to and/or supplement this fee schedule in the context of the CSDR authorisation process. Changes to the fee schedule are communicated to clients via client email alert, upon subscription, and are available on our website, [www.luxcsd.com](http://www.luxcsd.com) under [Key Documents/ Fee schedule](#).

### i.iv Payment currency

All fees are expressed and invoiced in EUR.

### i.v Payment terms

Invoicing is carried out monthly. LuxCSD advises clients of the total amount of fees payable at the beginning of the month following the invoice period. All invoices concerning LuxCSD's fees, commissions and other charges for services rendered shall be paid within 15 days after the invoice date concerned. Interest, calculated on the outstanding amount will accrue on overdue invoices from the due date until payment.

### i.vi Applicable law and jurisdiction

This fee schedule for the services provided by LuxCSD S.A. shall be governed by and construed in accordance with the laws of the Grand Duchy of Luxembourg. Any dispute arising in relation to this fee schedule for the services provided by LuxCSD S.A. shall be subject to the exclusive jurisdiction of the competent Luxembourg courts.

### i.vii Client accounts

Unless otherwise specified this fee schedule applies to all accounts opened with LuxCSD S.A. That is:

- Accounts settling on T2S (48-series accounts)
- Accounts not settling on T2S (43-series accounts)

### i.viii Instruments

For pricing purposes, instruments are grouped into three categories:

- Debt: Fixed rate eurobonds, fixed rate convertibles, other fixed rate bonds, floating rate notes, certificates of deposit (CDs), short term notes, euro commercial paper and all other bonds;
- Equities: Equities, depository receipts, warrants and rights;
- Investment funds: These are featured by distinct complexities in process that depend on the fund structure. In order to account for these distinct complexities, LuxCSD classifies funds into Fund Market Groups (FMG): FMG A (simple investment funds), FMG B (complex mutual funds) and FMG C (alternative investment vehicles). The Fund Market Group classification does not apply to LuxCSD issued funds.

### i.ix Pricing policy for Core CSD services

Core services of CSDs are listed in Section A of the Annex of Regulation (EU) No 909/2014 of the European Parliament and of the Council of 23 July 2014.

Fees for core services provided by LuxCSD S.A include notary, central maintenance at top tier level and settlement.

| Section A of the Annex of Regulation (EU) No 909/2014   | Core service description                                  | Price   |
|---|---|---|
| Initial recording of securities in a book-entry system ("notary service")                           | Notary service fee  | See Annex 1 - "Luxembourg (LuxCSD)"   |
| Providing and maintaining securities accounts at the top tier level ("central maintenance service") | Provision of securities accounts at top tier level        | See 5.1.1 "Provision of securities accounts at top tier level"                            |
|   | Maintenance fee for securities accounts at top tier level | See Annex 1 - "Luxembourg (LuxCSD)"   |
| Operating a securities settlement system ("settlement service")                                     | Booking of a settlement instruction not on T2S            | See 2.2.2 "Internal instructions"   |
|   | Booking of a settlement instruction on T2S                | See 2.1.1 "T2S settlement instructions" and 2.1.2 "T2S instruction transmission services" |

Except in the conditions described below, LuxCSD S.A shall not deviate from its published prices for core services.

In the case of transfers of security portfolios to the CSD, LuxCSD S.A. may, but is not obliged to suspend the application of core service fees on a temporary basis as a contribution to client transition costs.

If a suspension is granted by the CSD, the maximum duration of it will depend on the size of the transferred security portfolios, measured in annualised core service fees equivalent, and will not exceed twelve [12] months.

| Value of annualised core service fees corresponding to transferred portfolio | Service description: Booking of a settlement instruction |
|--|--|
| Above EUR 250,000.00   | 12 months  |
| Above EUR 170,000.00   | 6 months   |
| Above EUR 85,000.00  | 3 months   |

## ii. Main principles

### ii.i Family groups and account grouping

#### ii.i.i Ownership and control criteria

The notion of family group and account grouping plays an important role in the determination of fees.

If the parent company holds an account either with LuxCSD, Clearstream Banking Luxembourg S.A. or Clearstream Banking AG, the family group includes the parent company and all other affiliates, that are owned 50% or more by the parent and directly or indirectly controlled. Clients' accounts are automatically allocated to a family group. Family group updates are reflected in LuxCSD as of the invoicing month following the ownership/control structure change.

A family group includes all client accounts held with LuxCSD, Clearstream Banking Luxembourg S.A. or Clearstream Banking AG and meeting the ownership or control criteria.

#### ii.i.ii Applicable Safekeeping prices

Accounts with LuxCSD S.A. will be subject to safekeeping prices as defined in "[Annex 1: Settlement and safekeeping prices](#)".

#### ii.i.iii Family group discount scheme for safekeeping fees

For the same family group, all markets subject to safekeeping prices defined in "[Annex 1: Settlement and safekeeping prices](#)" will qualify for the below family group discount scheme, with the exception of LuxCSD issued securities held on LuxCSD accounts. Each family group has its own specific sliding scales. The higher the family group, the more competitive the sliding scale becomes.

| Group  | 1       | 2       | 3       | 4       | 5       | 6       | 7       | 8        | 9       |
|--|---------|---------|---------|---------|---------|---------|---------|----------|---------|
| <b>Family group deposit AUC volume (EUR billion)</b> | 0-2.5   | 2.5-7.5 | 7.5-15  | 15-25   | 25-50   | 50-100  | 100-150 | 150-220  | 220-300 |
| Group  | 10      | 11      | 12      | 13      | 14      | 15      | 16      | 17       | 18      |
| <b>Family group deposit AUC volume (EUR billion)</b> | 300-380 | 380-450 | 450-520 | 520-600 | 600-700 | 700-800 | 800-920 | 920-1050 | >1050   |

Investment funds in Fund Market Groups B and C are not included in this calculation, and will form their own family group.

#### ii.i.iv Account grouping

Unless otherwise specified, where digressive prices apply to a given product in the present tariff, activity volumes of the entire family group are considered to calculate the family group average price. Each individual account that belongs to the family group will benefit from the family group average price.

### ii.i.v Volume discount

Volume discounts displayed in this fee schedule will be provided either through sliding scale or step-tiered scale as applicable.

**Sliding scale:** the quantity of all accounts is aggregated on family group level. Based on the family group volume, an average price is calculated by applying the respective ranges of the sliding scale. In the following pages of the fee schedule, sliding scales are always displayed with volume bands using "From" and "to".

**Step-tiered scale:** the quantity of all accounts is aggregated on family group level. As soon as a certain volume band is reached, the price corresponding to that volume band will be applied to the entire volume. In the following pages of the fee schedule, step-tiered scales are always displayed with volume bands using "under" and "over". "Over" must be understood as "over or equal" while "under" must be understood as "strictly under".

Unless otherwise specified in this fee schedule, prices are meant to be sliding scale.



# 1. Safekeeping services

## 1.1 Safekeeping fee and securities valuation principles

- Sliding scales apply to the calculation of fees for safekeeping services.
- Safekeeping fees are calculated monthly and are based on the daily average volume of assets under custody. Positions are fixed at the end of each settlement day.
- Valuation of instruments other than debt as defined in [section i.viii](#) : the volume of assets under custody is obtained by multiplying the market price by the quantity held. Market prices are collected from recognised data vendors or alternatively from the local agent or CSD appointed by LuxCSD. They are applied on a daily basis. Where no market price is found, LuxCSD will use the issue price. Where no issue price is found, LuxCSD will use a default issue price of 1.
- Valuation of debt instruments: at nominal value or at unit multiplied by the nominal value per unit (NVU).
- Valuation of instruments held on National Central Bank accounts in the context of Eurosystem credit operations: as per the valuation principles collectively established by National Central Banks for recharging of safekeeping fees.
- The assets under custody value of non-EUR securities is converted into EUR at the exchange rate prevailing at the end of the month.
- All safekeeping prices are quoted in basis points per annum (1 bp = 0.01 percent = 0.0001).
- Monthly safekeeping fees are calculated as follows:

$$\frac{\text{average daily value of securities in the month} \times \text{number of calendar days in the month}}{360} \times \text{Rate in bp}$$

## 1.2 Safekeeping prices

Safekeeping is priced by market and by instrument. Details of safekeeping prices can be found in ["Annex 1: Settlement and safekeeping prices"](#) and ["Annex 2: Calculation examples"](#). For investment funds where LuxCSD acts as the issuer CSD, Fund Market Group classification does not apply.

### 1.2.1 Minimum safekeeping fee per security

This section applies to all LuxCSD accounts.

A monthly minimum safekeeping fee is charged per security for which a position is held end of day during the month.

If the safekeeping fees payable for a given security on an account for a month are less than a predefined amount, an additional charge is booked so that the minimum amount is met for each security position.

#### Service description

Monthly minimum safekeeping fee per security. See ["Annex 1: Settlement and safekeeping prices"](#)

### 1.2.2 Minimum safekeeping fee per account

This section applies to all LuxCSD accounts.

A monthly minimum safekeeping fee is charged per account. If after having considered the minimum safekeeping fee per security, the safekeeping fees payable on an account for a month are less than the minimum safekeeping fee per account, an additional charge is booked so that the minimum amount is met. If there is no custody activity, this minimum fee is waived.

#### Service description

Monthly minimum safekeeping fee per account

#### Price (EUR)

250.00

## 2. Settlement services

### 2.1 Settlement fees for accounts settling on T2S

This section applies to LuxCSD 48-series accounts.

Settlement fees follow the price list published by the European Central Bank for T2S services and by Swift in its role as a Value Added Network Provider for T2S.

#### 2.1.1 T2S settlement instructions

This section applies to all settlement instructions taking place on T2S including the settlement of OTC transactions, the settlement of trades concluded at trading venues (processed via a CCP or not), the settlement of auto-collateralisation instructions and the settlement of instructions resulting from corporate actions.

##### 2.1.1.1 T2S instructions not including T2S cross-CSD realignment instructions

| Invoice code                                 | Service description  | Price (EUR)                         |
|--|--|-------------------------------------|
| CB02010D1CC/OC/PC/SC<br>CB02010R1CC/OC/PC/SC | <b>Delivery/receipt versus payment and delivery/receipt with payment (charged when the instruction is settled)</b>   | Booking of a settlement instruction |
| CB02010D1CP/OT/PP/SE<br>CB02010R1CP/OT/PP/SE |  | Cash leg fee                        |
| CB02010D4CC/OC/PC/SC<br>CB02010R4CC/OC/PC/SC | <b>Delivery/receipt free of payment (charged when the instruction is settled)</b>  | Booking of a settlement instruction |
| CB02010D3CP/OT/PP/SE<br>CB02010R3CP/OT/PP/SE | <b>Payment free of delivery (charged when the instruction is settled)</b>  | Cash leg fee                        |
| CB02010IP                                    | Intra-position movement (charged upon earmarking of a securities position)   | 0.1010                              |
| CB02010AC                                    | Auto-collateralisation for credit provider (triggered by T2S when the buyer has insufficient funds to settle securities transactions, charged when the instruction is settled)     | 0.00                                |
| CB02010MA                                    | Matching by LuxCSD or T2S (charged upon matching)  | 0.0505                              |
| CB02010RF                                    | Pending settlement instruction recycling fee (charged daily upon instruction recycling)  | 0.2524                              |
| CB02010DS                                    | Daytime settlement process surcharge (charged when the instruction is settled)   | 0.0505                              |
| CB0202000NC                                  | Settlement surcharge for OTC instructions involving securities issued by a non-T2S CSD participant settling against T2S-counterparties (settlement life cycle management fee only) | See <a href="#">2.2.2.1</a>         |

## 2.1.1.2 T2S cross-CSD realignment instructions

| Invoice code                | Service description  | Price (EUR) |
|-----------------------------|--|-------------|
| n/a                         | Delivery/receipt versus payment (charged when the instruction is settled)  | n/a         |
| CB02010RAD4/<br>CB02010RAR4 | Delivery/receipt free of payment (charged when the instruction is settled)   | 0.00        |
| n/a                         | Intra-position movement (charged upon earmarking of a securities position)   | n/a         |
| n/a                         | Auto-collateralisation for credit provider (triggered by T2S when the buyer has insufficient funds to settle securities transactions, charged when the instruction is settled) | n/a         |
| CB02010RAMA                 | Matching by T2S (charged upon matching)  | 0.00        |
| CB02010RARF                 | Pending settlement instruction recycling fee (charged daily upon instruction recycling)  | 0.00        |
| n/a                         | Daytime settlement process surcharge (charged when the instruction is settled)   | n/a         |
| n/a                         | Settlement Cash countervalue in a non T2S-eligible currency (this fee will be charged on the 6-series accounts where the associated cash instructions take place)              | n/a         |

## 2.1.1.3 T2S settlement against counterparties in T2S (additional CSD charges)

| Invoice code | Service description   | Price (EUR) |
|--------------|---|-------------|
| CB02010ES    | Additional CSD charges for the following T2S markets:       |             |
| CB02010ES10  | Austria - OeKB  | 1.75        |
| CB02010ESE0  | Baltic markets - Nasdaq CSD (Estonia, Latvia and Lithuania) | 0.00        |
| CB02010ES15  | Belgium - Euroclear Belgium                                 | 0.00        |
| CB02010ES16  | Belgium - National Bank of Belgium (NBB)                    | 0.85        |
| CB02010ES60  | Denmark - Euronext  | 0.90        |
| CB02010ES    | Finland - Euroclear Finland                                 | 27.00       |
| CB02010ES80  | France - Euroclear France                                   | 0.00        |
| CB02010ES85  | Germany - Clearstream Banking Frankfurt, AG                 | 0.00        |
| CB02010ES90  | Greece - BOGS   | 0.00        |
| CB02010ESD0  | Italy - Monte Titoli  | 0.10        |
| CB02010ESG5  | Malta Stock Exchange - MSE                                  | 0.00        |
| CB02010ESH5  | Netherlands - Euroclear Nederland                           | 0.00        |
| CB02010ESK0  | Portugal - Interbolsa                                       | 1.15        |
| CB02010ES00  | Spain - Iberclear   | 0.10        |

## 2.1.1.4 T2S settlement against counterparties outside T2S (settlement life cycle only)

| Invoice code | Service description   | Price (EUR)                         |
|--------------|---|-------------------------------------|
| CB0202000EX  | External settlement fee charged for settlement outside T2S - debt and equities (counterparties: LuxCSD accounts, Clearstream Banking S.A. accounts, Clearstream Banking AG 6-series accounts and Euroclear Bank accounts) | See <a href="#">section 2.2.2.1</a> |

## 2.1.2 T2S instruction transmission services

T2S instruction transmission fees apply whenever a T2S settlement message is sent to or received from T2S.

| Invoice code | Service description  | Price (EUR) |
|--------------|--|-------------|
| CB02010TS10  | Routing settlement instructions to T2S<br>Transmission to/from T2S on behalf of client (per T2S settlement message), messages related to payment free-of-delivery corporate action cash payments are also counted. Clients acting in DCP mode will be charged only for message copies sent by T2S to LuxCSD; Clients acting in ICP mode will be charged for all messages). | 0.0129      |
| CB02010TS20  | Routing settlement instructions to T2S<br>Swift Value Added Network Provider for T2S (per T2S settlement message), messages related to payment free-of-delivery corporate action cash payments are also counted. Clients acting in DCP mode will be charged only for message copies sent by T2S to LuxCSD; Clients acting in ICP mode will be charged for all messages).   | 0.0127      |
| CB02010TS30  | Information services for clients acting in DCP mode (for T2S information services other than message copies sent by T2S to LuxCSD).  | As incurred |

## 2.2 Settlement fees for accounts not settling on T2S

This section applies to LuxCSD 43-series accounts (43xxx).

### 2.2.1 Settlement volume counting

A security instruction is charged when the instruction is settled. If an instruction results in several partial settlements, the settlement fees mentioned in section 2.2 will apply to each partial instruction settled.

The fee applied is based on the instruction volume during the month.

## 2.2.2 Internal instructions

## 2.2.2.1 Internal instructions - debt and equities

| Invoice code                | Service description  | Price (EUR) |
|-----------------------------|--|-------------|
| CB0202050BC<br>CB0202050IC  | Booking of a settlement instruction  | 0.10        |
| CB0202000IN/<br>CB0202000BR | Settlement life cycle management fee<br>Family group volume of number of free of and versus payment instructions |             |
|                             | From                      To   |             |
|                             | 1                              250   | 2.15        |
|                             | 251                            750   | 1.90        |
|                             | 751                            1,500   | 1.45        |
|                             | 1,501                        2,500   | 1.10        |
|                             | 2,501                        10,000  | 0.65        |
|                             | 10,001                      25,000   | 0.50        |
|                             | 25,001                      50,000   | 0.45        |
|                             | 50,001                      100,000  | 0.35        |
|                             | 100,001                    150,000   | 0.25        |
|                             | 150,001                    200,000   | 0.125       |
|                             | 200,001                    250,000   | 0.05        |
| > 250,000                   | 0.025  |             |

## LuxCSD - fee schedule

### 2.2.2.2 Internal instructions - investment funds

| Invoice code                | Service description   | Price (EUR) | Invoice code                | Service description  | Price (EUR) |       |
|-----------------------------|---|-------------|-----------------------------|--|-------------|-------|
| CB0202060IC<br>CB0202061IC  | Booking of a settlement instruction (for free of payment instructions)  | 0.10        | CB0202055IC<br>CB0202056IC  | Booking of a settlement instruction (for versus payment instructions)  | 0.10        |       |
| CB0202010IN/<br>CB0202011IN | Settlement life cycle management fee<br>Family group volume of number of free of payment instructions (account volume for Fund Issuance Accounts) |             | CB0202005IN/<br>CB0202006IN | Settlement life cycle management fee<br>Family group volume of number of versus payment instructions (account volume for Fund Issuance Accounts) |             |       |
|                             | From  | To          |                             | From   | To          |       |
|                             | 1   | 250         |                             | 1  | 250         | 2.15  |
|                             | 251   | 750         |                             | 251  | 750         | 1.90  |
|                             | 751   | 1,500       |                             | 751  | 1,500       | 1.45  |
|                             | 1,501   | 2,500       |                             | 1,501  | 2,500       | 1.10  |
|                             | 2,501   | 10,000      |                             | 2,501  | 10,000      | 0.65  |
|                             | 10,001  | 25,000      |                             | 10,001   | 25,000      | 0.50  |
|                             | 25,001  | 50,000      |                             | 25,001   | 50,000      | 0.45  |
|                             | 50,001  | 100,000     |                             | 50,001   | 100,000     | 0.35  |
|                             | 100,001   | 150,000     |                             | 100,001  | 150,000     | 0.25  |
|                             | 150,001   | 200,000     |                             | 150,001  | 200,000     | 0.125 |
|                             | 200,001   | 250,000     |                             | 200,001  | 250,000     | 0.05  |
| > 250,000                   |   | > 250,000   |                             | 0.025  |             |       |

### 2.2.3 External instructions

The settlement of transactions between a LuxCSD 43-series account and a counterparty other than a LuxCSD account is called external settlement.

External instructions are priced by market and by instrument, per client group. When investment fund prices are not listed explicitly for a market, the equity prices will also apply to investment funds. Please refer to ["Annex 1: Settlement and safekeeping prices"](#) for details of the settlement fees.

### 2.2.4 Other instruction fees

| Invoice code | Service description  | Price (EUR) |
|--------------|--|-------------|
| CB020204010  | Settlement instruction recycling fee (per day)   | 0.235       |
| CB020204030  | Cancellation of internal instruction   | 0.35        |
| CB020204060  | Cancellation of pending external instruction<br>Price of first tranche of external settlement fees shown in <a href="#">"Annex 1: Settlement and safekeeping prices"</a> |             |
| CB020204080  | Single Matching Account Service, per settled instruction   | 0.35        |

## 2.3 Minimum settlement fees

This section applies to all LuxCSD accounts.

If the settlement fees payable on an account for a month are less than the minimum account settlement fee, an additional charge is booked so that the minimum amount is met. In case there is no settlement activity, this minimum fee is waived.

| Service description                        | Price (EUR) |
|--|-------------|
| Monthly minimum settlement fee per account | 125.00      |

### 3. Custody administration services

Custody administration fees are unbundled from LuxCSD's safekeeping fees.

General principles for the charging of custody administration services are:

- The charging of mandatory corporate events (compensations, cash payments, redemptions, stock dividends etc.) is based on the number of executed events, regardless of the number of internal bookings involved.
- The charging of voluntary events, for which client instructions are required, is based on the number of client instructions. In case of an instruction being cancelled upon client request, the initial instruction will be charged whereas there is no fee for the cancellation instruction.
- If a coupon or a dividend payment is reversed, the related event fee is cancelled.
- If a coupon, dividend or redemption payment regarding International securities is renounced, the required renunciation message will be subject to an additional fee as shown in [Chapter 5.2](#).
- Custody administration services are charged on a per account basis.

#### 3.1 Custody events

This section applies to all LuxCSD accounts.

| Invoice code | Service description  | Price (EUR)  |
|--------------|--|--|
| CB030100105  | Compensation and cash payments (per event execution)             | 3.75   |
| CB030100110  | Redemption (all types) (per event execution)                     | 9.50   |
| CB030100115  | Stock dividend (per event execution)                             | 9.50   |
| CB030100120  | Voluntary and mandatory events with option (per instruction)     | 42.50  |
| CB030100125  | Other mandatory events (per event execution)                     | 28.50  |
| CB030100135  | Sale or purchase of rights (per instruction) 0.1% of cash amount | 0.1% of cash amount<br>Minimum EUR 26.50,<br>maximum EUR 500 |



### 3.2 Withholding tax services

This section applies to all LuxCSD accounts.

| Invoice code | Service description   | Price (EUR) |
|--------------|---|-------------|
| CB0301505    | Processing of tax certificates, duplication request (if applicable) and tax reclaims  | 63.00       |
| CB0301510    | Additional fee for tax reclaim forms received less than two months before the applicable market deadline (charged per dividend or coupon payment)         | 1,575.00    |
| CB0301520    | Processing of allocations/elections (notification of amounts subject to reporting by tax rate)  | 63.00       |
| CB0301525    | Issuance of tax voucher/corrections   | 26.25       |
| CB0301530    | ProAct tax reclaim (fee levied on each dividend/coupon listed on the ProAct tax request, in addition to the standard fee for processing tax reclaims.)    | 105.00      |
| CB0301535    | LuxCSD attestation issued together with the French attestation 2777/2779 (per year and securities account) or French credit advice per payment            | 63.00       |
| CB0301540    | Withholding agent attestation request and third party attestation request of documents issued by third parties, outside of the LuxCSD regular tax service |             |
|              | 1-10 items per attestation  | 500.00      |
|              | 11-25 items per attestation   | 1,000.00    |
|              | More than 25 items per attestation  | 1,500.00    |

### 3.3 Proxy voting via LuxCSD

This section applies to all LuxCSD accounts.

| Invoice code                | Service description  | Price (EUR) |       |
|-----------------------------|--|-------------|-------|
| CB0302020AA                 | Agenda distribution  | 39.50       |       |
| CB0302020AB                 | Ordering of entrance cards   | 39.50       |       |
| CB0302020AC/<br>CB0302020AG | Vote instructions for General/<br>Extraordinary meetings                   | 39.50       |       |
| CB0302020AD                 | Monthly notifications<br>Family group volume of number<br>of notifications |             |       |
|                             | From   | To          |       |
|                             | 1  | 100         | 70.00 |
|                             | 101  | 250         | 50.00 |
|                             | 251  | 500         | 25.00 |
|                             | 501  | 1,000       | 20.00 |
| > 1,000                     |  | 15.00       |       |

## 4. Information provision services

### 4.1 Reporting

Report charges are based on the following principles:

- A report is defined as information relating to one account number only. If a report contains information for more than one account, the report charge is applied per account.
- All reports and sub-reports have a per-report and a per-item fee.
- An item is defined as a transaction or balance in a report.
- The report fee is applicable only if the report contains at least one item.
- For some reports, a maximum number of chargeable reports per day has been defined regardless of the total number of reports retrieved.

#### 4.1.1 Standard reports

This section applies to all LuxCSD accounts.

| Invoice code                | Service description   | Per report (EUR) | Per item (EUR) |
|-----------------------------|---|------------------|----------------|
| CB050010505                 | Corporate Action Notifications (MT564)                      | Free             | See "4.1.5"    |
| CB050010510/<br>CB050010515 | Corporate Action Confirmations/Income Confirmations (MT566) |                  |                |
| CB050010520                 | Corporate Action Status and Processing Advices (MT567)      |                  |                |
| CB050010535                 | Statement of Holdings (MT535/MT571)                         |                  |                |
| CB050010540                 | Statement of Transactions (MT536)                           |                  |                |
| CB050010545                 | Statement of Pending Transactions (MT537)                   |                  |                |
| CB050010550                 | Statement of Settlement Allegements (MT586)                 |                  |                |
| CB050010555                 | Statement of Intra-Position Advice (MT538)                  |                  |                |
| CB050010560                 | Statement of Repaired Transactions                          |                  |                |

#### 4.1.2 Special cash management reports

This section applies to all LuxCSD accounts. This service is provided for free until June 2017.

| Invoice code  | Service description                          | Per report (EUR) | Per item (EUR) |
|---------------|--|------------------|----------------|
| CB05001101010 | T2S Dedicated Cash Account Statement (MT940) | 20.00            | See "4.1.5"    |

### 4.1.3 Special settlement lifecycle reports

This section applies to LuxCSD 43-series accounts.

| Invoice code | Service description                             | Per report (EUR) | Per item (EUR) |
|--------------|---|------------------|----------------|
| CB050011505  | Receive Free Confirmation (MT544)               | 0.25             | See "4.1.5"    |
| CB050011505  | Receive Against Payment Confirmation (MT545)    |                  |                |
| CB050011505  | Deliver Free Confirmation (MT546)               |                  |                |
| CB050011505  | Deliver Versus Payment Confirmation (MT547)     |                  |                |
| CB050011510  | Settlement Status and Processing Advice (MT548) |                  |                |
| CB050011515  | Settlement Allegement (MT578)                   |                  |                |
| CB050011525  | Intra Position Advice (MT508)                   |                  |                |

This section applies to LuxCSD 48-series accounts

| Invoice code | Service description  | Per report (EUR) | Per item (EUR) |
|--------------|--|------------------|----------------|
|              | Settlement lifecycle messages for LuxCSD 48-series accounts. | Free             | Free           |

### 4.1.4 Special custody reports

This section applies to all LuxCSD accounts.

| Invoice code | Service description  | Per report (EUR) | Per item (EUR) |
|--------------|--|------------------|----------------|
| CB050012005  | Income Pre-advice Report (MT564 IPAR) - Full and Delta (if a client retrieves two or more reports IPARs per day per account, the client will only be charged for two reports plus associated items charges for all reports retrieved). | 8.00             | See "4.1.5"    |
| CB050012006  | Claim and Reversal Advice Report (MT564)   | 8.00             |                |
| CB050012010  | Custody Market Claim Report  | 8.00             |                |
| CB050012015  | Urgent Uninstructed Notifications  |                  |                |
| CB050012025  | US Withholding Tax Report  | 25.00            |                |
| CB050012040  | Corporate Action Narrative (MT599)   |                  |                |
| CB050012030  | Corporate Actions Reference Data Report (MT568)  |                  |                |
| CB050012035  | Holdings Distribution by Markets report  |                  |                |

## 4.1.5 Price for reported items

This section applies to all LuxCSD accounts.

| Invoice code | Service description  | Price (EUR) |       |
|--------------|--|-------------|-------|
| CB0500125    | Sum of all monthly reported items - See "4.1.1", "4.1.2", "4.1.3", and "4.1.4" - Family group volume |             |       |
|              | From   | To          |       |
|              | 1  | 250,000     | 0.060 |
|              | 250,001  | 500,000     | 0.050 |
|              | 500,001  | 1,000,000   | 0.040 |
|              | 1,000,001  | 1,500,000   | 0.035 |
|              | 1,500,001  | 2,000,000   | 0.030 |
|              | 2,000,001  | 2,500,000   | 0.025 |
|              | 2,500,001  | 3,000,000   | 0.020 |
|              | 3,000,001  | 4,000,000   | 0.015 |
|              | 4,000,001  | 5,000,000   | 0.010 |
|              | 5,000,001  | 6,000,000   | 0.008 |
|              | 6,000,001  | 7,500,000   | 0.007 |
|              | 7,500,001  | 10,000,000  | 0.006 |
| > 10,000,000 |  | 0.005       |       |

**This section applies to LuxCSD Fund Issuance Accounts**

| Invoice code | Service description   | Price (EUR) |
|--------------|---|-------------|
|              | Sum of all monthly reported items - See "4.1.2", "4.1.3", and "4.1.4" |             |
| CB0500126    | Fee per reported item   | 0.06        |

#### 4.1.6 Other special reports

This section applies to all LuxCSD accounts.

| Invoice code  | Service description   | Price (EUR)                                 |
|---------------|---|---|
| CB050013010   | U.S. Withholding Tax Yearly Legal Report (1042S and 1099)   | 50.00                                       |
| CB050013020   | Statement of holdings (securities/cash)   | 200.00                                      |
| CB050013025   | Domestic Markets Monitoring Report<br>Annual fee charged at the end of each year- Should the relevant account be closed within the year, the full amount will be charged  | 10,000.00                                   |
| CB05001303005 | Registrar Monitoring Report per reported agent (TA, Registrar) operating from an OECD member country, monthly   | 25.00                                       |
| CB05001303010 | Registrar Monitoring Report per reported agent (TA, Registrar) operating from a non-OECD member country, monthly  | 75.00                                       |
| CB05001303015 | Registrar Monitoring Report minimum service fee. The minimum service fee will be charged at the start of each year. For report subscriptions started during the year, the minimum service fee is applied pro rata for the remaining months of that year. Per reported agent fees in excess of the minimum service fee are applied to the minimum service fee of the following year. | 10,000.00                                   |
| CB050013040   | Transparency of holdings report - Input for account break down, enrichment of agent code information. Monthly fee.  | 100.00                                      |
| CB050013045   | Transparency of holdings report - Output to Transfer Agent for CFF qualified investment funds   | Free  |
| CB050013050   | Transparency of holdings report - Output to Transfer Agent for non-CFF qualified investment funds (payable annually and in advance). Annual fee   | 3,600.00                                    |
| CB050013055   | Transparency of holdings report - Output to Distributors, monthly   | 0.05<br>per item -<br>minimum<br>EUR 300.00 |
| CB050013056   | Transparency of transactions report - Output to officially appointed agent of CFF qualified investment funds  | Free  |

## 4.2 Online queries

### 4.2.1 Xact Web Portal

This section applies to all LuxCSD accounts.

| Invoice code | Service description  | Price (EUR) |
|--------------|--|-------------|
| n/a          | Record count queries   | Free        |
| n/a          | Pre-release instruction queries  |             |
| CB050021015  | Standard query items: securities, penalties, CoBM cash, corporate action notifications, status and confirmations, Triparty Collateral Management and view details in a list view and query items returned by Xact (exported items and items shown on screen are charged, one query item will be counted for queries returning no item).  | 0.06        |
| CB050021020  | Release of cash and securities instructions.   |             |
| CB050021025  | Special query items: IPAR, corporate action forecast movements, tax certificate, tax refunds, beneficial owner allocations, credit and collateral usage, instruction of corporate action, instruction of Triparty Collateral Management, Corporate Action Notifications without holdings query (Reference Data) (other Reference Data queries free of charge), message exchange, Registered securities: beneficial owners details, dashboard and CeBM cash (exported items and items shown on screen are charged, one query item will be counted for queries returning no item). | 0.60        |
| CB050021030  | Terms and conditions (charged per item reported, one query item will be counted for queries returning no item)   | 8.00        |

## 4.3 Data transport charges

### 4.3.1 Swift data transport

This section applies to all LuxCSD accounts.

Swift FIN messages will be charged per message according to the following tariff. As soon as a volume band is reached the price corresponding to that volume band applies to the entire volume.

| Invoice code | Service description                       | Price (EUR) |
|--------------|---|-------------|
| CB0500305    | Monthly Swift FIN data transport messages |             |
|              | under 150,000                             | 0.360       |
|              | over 150,000                              | 0.300       |
|              | over 250,000                              | 0.175       |
|              | over 500,000                              | 0.1200      |
|              | over 750,000                              | 0.0900      |
|              | over 1,000,000                            | 0.0850      |
|              | over 1,500,000                            | 0.0825      |
|              | over 2,500,000                            | 0.0800      |

### 4.3.2 Xact File Transfer

This section applies to all LuxCSD accounts.

Xact File Transfer is the dedicated file transfer service for the transfer of instruction files and the download of reports. Xact File Transfer offers clients these choices of communication network:

- Virtual Private Network (VPN) for users of Xact File Transfer via VPN
- The public internet for users of Xact File Transfer via Internet
- The SwiftNet FileAct service for users of Xact File Transfer via SwiftNet.

| Invoice code  | Service description  | Price (EUR)                        |
|---------------|--|------------------------------------|
| CB050031005   | 1 month trial period   | Free                               |
| CB050031010   | Instruction received from client via VPN   | Free                               |
| CB05003101005 | Multi-item report sent to client via VPN (per item)  | 0.02                               |
| CB05003101010 | Single-item report sent to client via VPN (per item)   | 0.15                               |
| CB050031015   | Instruction received from client via Internet  | Free                               |
| CB05003101505 | Multi-item report sent to client via Internet (per item)   | Free                               |
| CB05003101510 | Single-item report sent to client via Internet (per item)  | Free                               |
| CB050031020   | Instruction received from client via SwiftNet  | Free                               |
| CB05003102005 | Multi-item report sent to client via SwiftNet (per item)   | As incurred                        |
| CB05003102010 | Single-item report sent to client via SwiftNet (per item)  | As incurred                        |
| CB05003101605 | Monthly Filestore infrastructure maintenance fee (per Filestore used for Xact File transfer via Internet)<br>From 1 Filestore<br>From 2 to 5 Filestores<br>From 6 to 10 Filestores<br>more than 10 Filestores<br>The billing amount per filestore is calculated on the total amount of filestores in the family group. | 210.00<br>157.50<br>78.75<br>26.25 |



## 4.4 Workstation charges

This section applies to all LuxCSD accounts.

| Invoice code            | Service description   | Price (EUR) |
|-------------------------|---|-------------|
| CB0500426/<br>CB0500427 | Xact Web Portal and Xact File Transfer - workstation fee (monthly per organisational unit.) | 300.00      |
| CB0500410/<br>CB0500411 | Asset Servicing business service - Xact Web Portal (monthly per organisational unit).       | 100.00      |
| CB0500415/<br>CB0500416 | Settlement business service - Xact Web Portal (monthly per organisational unit.)            | 100.00      |
| CB0500420/<br>CB0500421 | Cash & Liquidity business service - Xact Web Portal (monthly per organisational unit.)      | 100.00      |

## 5. Other services

### 5.1 Standard account service fee

The fees applied are charged per month and per client account.

#### 5.1.1 Provision of securities accounts at top tier level

The service includes account opening and maintenance service.

| Invoice code | Service description                                | Price (EUR) |
|--------------|--|-------------|
| CB0801007    | Provision of securities accounts at top tier level | 25.00       |

#### 5.1.2 Additional account service fees

This section applies to all LuxCSD accounts.

The service includes:

- Electronic announcements and market information;
- Access to securities information and databases;
- Basic client training;
- Access to regular client service support;
- Access to client documentation;
- Administration of T2S securities accounts static data.

| Invoice code | Service description                   | Fee (EUR) |         |
|--------------|---------------------------------------|-----------|---------|
| CB0801006    | <b>Number of accounts with LuxCSD</b> |           |         |
|              | <b>From</b>                           | <b>To</b> |         |
|              | 1                                     | 1         | 1837.50 |
|              | 2                                     | 10        | 183.75  |
|              | 11                                    | 25        | 131.25  |
|              | 26                                    | 50        | 52.50   |
|              | 51                                    | 75        | 26.25   |
| >            | 75                                    | 0.00      |         |

| Invoice code | Service description               | Fee (EUR) |
|--------------|-----------------------------------|-----------|
| CB0801002    | Fund Issuance Account service fee | 210.00    |

## 5.2 Fees for manual services

| Invoice code                    | Service description<br>Manual   | Price (EUR)                                       |
|---------------------------------|---|---|
|                                 |   | Per manual intervention                           |
| CB080151005                     | Repair of client instructions   | 60.00   |
| CB08015100510                   | Input of client instructions or pledged securities transfer   | 60.00   |
| CB08015101005                   | Hourly rate for special operational services and specific requests from clients   | 235.00  |
| CB08015101010                   | Daily rate for consulting services and business training  | 2,100.00  |
| CB080151015                     | All reports and statements upon client request  | 70.00   |
| CB080151020                     | Corporate action instruction sent by fax or free-format, including renunciation within the international market, unsolicited instructions, markdowns of non-defaulted securities and manual repairs   | 157.50  |
| CB080151025                     | Renunciation received after 18:00 CET on payment day - 1 business day   | 525.00  |
| CB08015103010                   | Fee for duplicate invoice   | 70.00   |
| CB080151031                     | Manual security transfer linked to defaulted securities   | 157.50  |
| CB08015103205                   | Ad hoc statement of holdings (with notary apostille)  | 262.50  |
| CB08015103210                   | Ad hoc tax reports  | 525.00  |
| CB080151033                     | Tax Identification Number (TIN) repair / correction   | 157.50  |
| CB08015103405/<br>CB08015103410 | Processing of documents supporting withholding tax (issued by a government) or any manual allocation instruction  | 157.50  |
| CB08015103505                   | Tax credit advice   | 126.00  |
| CB080151040                     | Surcharge per ISIN for TEFRA-D free-format message handling via Swift (MT599, MT568) or via Xact Web Portal.  | 157.50  |
| CB0805070/<br>CB0805075         | Orders not issued through Vestima or VestimaPRIME, including orders issued in the context of the automatic dividend reinvestment service  | 0.5% of order value<br>Min 150.00<br>Max 5,000.00 |
| CB080150525                     | Fee for annual FATCA and CRS reporting, applicable only to reportable accounts under FATCA and/or CRS (per report at account level)   | 5,000.00  |
| CB08015106505                   | Client test environment set up fee (for test environment not related to LuxCSD changes)   | 5,250.00  |
| CB08015106510                   | Client test environment daily usage fee (per business day, for test environment not related to LuxCSD changes)  | 105.00  |
| CB0801525                       | Special Administration Measures Fee (yearly fee, per ISIN, per account). Fee is charged yearly in December based on the number of ISIN held per account at the end of November. In addition to the Special Administration Measures Fee, for ISINs that have an individual asset under custody value above EUR 25,000,000 a basis point will be applied to the entire asset under custody position. The basis point corresponds to the lowest marginal safekeeping price published for the relevant securities market in "Annex 1: Settlement and safekeeping prices" of the fee schedule. | 2,550.00  |

### 5.3 Out of pocket expenses

This section applies to all LuxCSD accounts.

**Note:** Any costs charged by Euroclear France to LuxCSD will be charged to the client as an additional out-of-pocket expense.

| Invoice code | Service description  | Price (EUR) |
|--------------|--|-------------|
| CB080301015  | Withholding tax - tax costs: Other tax costs                     | As incurred |
| CB080301020  | Withholding tax - tax costs: Issuance of certificates or advices |             |
| CB080301025  | Withholding tax - tax costs: Local costs refunds Italy           |             |
| CB080301035  | Withholding tax: Additional tax report                           |             |
| CB080301045  | Withholding tax: Tax credit advice                               |             |
| CB0803030    | Other  |             |
| CB080308010  | Clearing and settlement costs: Cross Border                      |             |
| CB080308015  | Clearing and settlement costs: Netherlands physical receipt      |             |
| CB080308025  | Clearing and settlement costs: Removal                           |             |
| CB080308030  | Clearing and settlement costs: Matching Fine                     |             |
| CB080308035  | Clearing and settlement costs: Registration                      |             |
| CB080308040  | Clearing and settlement costs: Other                             |             |
| CB080309005  | Custody costs: Other   |             |

## 5.4 CSDR Settlement discipline penalty engine cost recovery

This section applies to all LuxCSD accounts and is effective on 1 February 2022.

| Invoice code | Service description   | Price until 31 January 2025 (in EUR, per Family affiliate, monthly) | Price from 1 February 2025 (in EUR, per Family affiliate, monthly) |
|--------------|---|---|--|
| CB080331505  | CSDR Settlement discipline penalty engine development cost recovery               | 255.00  | 0.00   |
| CB080331510  | CSDR Settlement discipline penalty engine maintenance and operating cost recovery | 11.00   | 11.00  |
|              | Total per month   | 266.00  | 11.00  |

The service fee covers the following components: identification of failed instructions, identification of faulty party, penalty calculation, penalty reporting in fee schedule section 4.1 "Reporting", collection and distribution of penalties via automated "payment free of delivery" instructions, as well as Appeal management. However, inappropriate Appeals resulting in no modification of the amount collected / distributed will be charged according to the hourly rate specified in fee schedule section 5.2 "Fees for manual services". **Settlement of "payment free of delivery" instructions on T2S are subject to settlement fees in fee schedule section 2.1.1 "T2S settlement instructions".**

The monthly cost recovery price will be distributed across all securities accounts of a Family affiliate subject to the fee "Provision of securities accounts at top tier level" described in section 5.1.1 "Provision of securities accounts at top tier level" of the LuxCSD fee schedule.

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## Annex 1: Settlement and safekeeping prices

This section contains the settlement and safekeeping prices for LuxCSD S.A accounts. For investment funds related pricing, please refer to “[Investment funds - LuxCSD and Fund market groups A, B and C](#)” on page 40

## Luxembourg (LuxCSD)

### Settlement

#### Accounts settling on T2S

|                     |
|---------------------|
| <b>T2S internal</b> |
| See 2.1             |

#### Accounts not settling on T2S

|                                   |
|-----------------------------------|
| <b>Internal Debt and equities</b> |
| See 2.2.2.1                       |

### Safekeeping

The Family group AUC volume considered to calculate safekeeping fees for LuxCSD market, takes into consideration the volume of the LuxCSD market held on LuxCSD accounts only.

Minimum safekeeping fee per security: EUR 2.50

#### Debt

| Family group AUC volume (EUR million) |        | Notary service fee<br>Invoice code<br>CB01005F0B0 | Maintenance fee for securities accounts at top tier level<br>Invoice code:<br>CB01005F060 |
|---------------------------------------|--------|---|---|
| From                                  | To     |   |   |
| 0                                     | 1,000  | 0.034   | 1.114   |
| 1,000                                 | 5,000  | 0.034   | 1.045   |
| 5,000                                 | 10,000 | 0.034   | 0.979   |
| 10,000                                | 20,000 | 0.034   | 0.911   |
| 20,000                                | 50,000 | 0.034   | 0.844   |
| > 50,000                              |        | 0.034   | 0.775   |

#### Equities

| Family group AUC volume (EUR million) |        | Notary service fee<br>Invoice code<br>CB01005F0C0 | Maintenance fee for securities accounts at top tier level<br>Invoice code:<br>CB01005F070 |
|---------------------------------------|--------|---|---|
| From                                  | To     |   |   |
| 0                                     | 1,000  | 0.034   | 1.114   |
| 1,000                                 | 5,000  | 0.034   | 1.045   |
| 5,000                                 | 10,000 | 0.034   | 0.979   |
| 10,000                                | 20,000 | 0.034   | 0.911   |
| 20,000                                | 50,000 | 0.034   | 0.844   |
| > 50,000                              |        | 0.034   | 0.775   |



## Austria

### Settlement

#### Accounts settling on T2S

|            |
|------------|
| <b>T2S</b> |
| See 2.1    |

#### Safekeeping

Minimum safekeeping fee per security: EUR 2.50

#### Debt

| Family group AUC volume<br>(EUR million) |         | Family group discount scheme |      |      |      |      |      |      |      |      |      |      |       |       |       |       |       |       |       |
|--|---------|------------------------------|------|------|------|------|------|------|------|------|------|------|-------|-------|-------|-------|-------|-------|-------|
|  |         | Invoice code: CB010011010    |      |      |      |      |      |      |      |      |      |      |       |       |       |       |       |       |       |
|  |         | 1                            | 2    | 3    | 4    | 5    | 6    | 7    | 8    | 9    | 10   | 11   | 12    | 13    | 14    | 15    | 16    | 17    | 18    |
| From                                     | To      |                              |      |      |      |      |      |      |      |      |      |      |       |       |       |       |       |       |       |
| 0  | 750     | 1.39                         | 1.38 | 1.24 | 1.15 | 1.11 | 1.10 | 1.09 | 1.08 | 1.07 | 1.06 | 1.05 | 0.945 | 0.840 | 0.761 | 0.683 | 0.604 | 0.551 | 0.499 |
| 750                                      | 2,000   | 1.29                         | 1.27 | 1.19 | 1.10 | 0.99 | 0.98 | 0.78 | 0.75 | 0.74 | 0.73 | 0.72 | 0.648 | 0.576 | 0.522 | 0.468 | 0.414 | 0.378 | 0.342 |
| 2,000                                    | 6,000   | 1.19                         | 1.08 | 0.98 | 0.89 | 0.76 | 0.72 | 0.64 | 0.62 | 0.59 | 0.59 | 0.59 | 0.531 | 0.472 | 0.428 | 0.384 | 0.339 | 0.310 | 0.280 |
| 6,000                                    | 15,000  |                              | 0.98 | 0.87 | 0.78 | 0.69 | 0.66 | 0.63 | 0.59 | 0.53 | 0.53 | 0.52 | 0.468 | 0.416 | 0.377 | 0.338 | 0.299 | 0.273 | 0.247 |
| 15,000                                   | 30,000  |                              |      |      | 0.77 | 0.68 | 0.59 | 0.55 | 0.53 | 0.51 | 0.50 | 0.49 | 0.441 | 0.392 | 0.355 | 0.319 | 0.282 | 0.257 | 0.233 |
| 30,000                                   | 60,000  |                              |      |      |      | 0.67 | 0.57 | 0.54 | 0.52 | 0.50 | 0.49 | 0.48 | 0.432 | 0.384 | 0.348 | 0.312 | 0.276 | 0.252 | 0.228 |
| 60,000                                   | 130,000 |                              |      |      |      |      | 0.55 | 0.53 | 0.51 | 0.49 | 0.48 | 0.47 | 0.423 | 0.376 | 0.341 | 0.306 | 0.270 | 0.247 | 0.223 |
| 130,000                                  | 200,000 |                              |      |      |      |      |      | 0.51 | 0.50 | 0.48 | 0.47 | 0.46 | 0.414 | 0.368 | 0.334 | 0.299 | 0.265 | 0.242 | 0.219 |
| 200,000                                  | 280,000 |                              |      |      |      |      |      |      | 0.48 | 0.45 | 0.44 | 0.44 | 0.396 | 0.352 | 0.319 | 0.286 | 0.253 | 0.231 | 0.209 |
| > 280,000                                |         |                              |      |      |      |      |      |      |      | 0.44 | 0.42 | 0.40 | 0.36  | 0.32  | 0.29  | 0.26  | 0.23  | 0.21  | 0.19  |

#### Equities

| Family group AUC volume<br>(EUR million) |        | Family group discount scheme |      |      |      |      |      |      |      |      |       |       |       |       |       |       |       |       |       |
|--|--------|------------------------------|------|------|------|------|------|------|------|------|-------|-------|-------|-------|-------|-------|-------|-------|-------|
|  |        | Invoice code: CB010011020    |      |      |      |      |      |      |      |      |       |       |       |       |       |       |       |       |       |
|  |        | 1                            | 2    | 3    | 4    | 5    | 6    | 7    | 8    | 9    | 10    | 11    | 12    | 13    | 14    | 15    | 16    | 17    | 18    |
| From                                     | To     |                              |      |      |      |      |      |      |      |      |       |       |       |       |       |       |       |       |       |
| 0  | 70     | 2.50                         | 2.45 | 2.30 | 2.20 | 2.10 | 2.00 | 1.95 | 1.93 | 1.90 | 1.758 | 1.615 | 1.501 | 1.387 | 1.273 | 1.178 | 1.102 | 1.007 | 0.931 |
| 70                                       | 170    | 2.25                         | 2.20 | 2.15 | 2.05 | 1.95 | 1.85 | 1.75 | 1.73 | 1.70 | 1.573 | 1.445 | 1.343 | 1.241 | 1.139 | 1.054 | 0.986 | 0.901 | 0.833 |
| 170                                      | 300    | 1.90                         | 1.80 | 1.70 | 1.60 | 1.55 | 1.50 | 1.45 | 1.42 | 1.38 | 1.277 | 1.173 | 1.09  | 1.007 | 0.925 | 0.856 | 0.800 | 0.731 | 0.676 |
| 300                                      | 600    | 1.75                         | 1.70 | 1.65 | 1.58 | 1.52 | 1.46 | 1.42 | 1.38 | 1.30 | 1.203 | 1.105 | 1.027 | 0.949 | 0.871 | 0.806 | 0.754 | 0.689 | 0.637 |
| 600                                      | 1,500  | 1.65                         | 1.60 | 1.55 | 1.45 | 1.40 | 1.30 | 1.20 | 1.17 | 1.15 | 1.064 | 0.978 | 0.909 | 0.840 | 0.771 | 0.713 | 0.667 | 0.610 | 0.564 |
| 1,500                                    | 7,000  | 1.60                         | 1.55 | 1.50 | 1.40 | 1.35 | 1.25 | 1.15 | 1.05 | 1.04 | 0.962 | 0.884 | 0.822 | 0.759 | 0.697 | 0.645 | 0.603 | 0.551 | 0.510 |
| 7,000                                    | 14,000 |                              | 1.45 | 1.40 | 1.35 | 1.30 | 1.20 | 1.12 | 1.04 | 1.02 | 0.944 | 0.867 | 0.806 | 0.745 | 0.683 | 0.632 | 0.592 | 0.541 | 0.500 |
| > 14,000                                 |        |                              |      | 1.35 | 1.33 | 1.28 | 1.15 | 1.10 | 1.00 | 1.00 | 0.925 | 0.850 | 0.790 | 0.730 | 0.670 | 0.620 | 0.580 | 0.530 | 0.490 |

#### Other market specific fees

| Invoice code                | Service description              | Price  |
|-----------------------------|----------------------------------|--------|
| CB010031010/<br>CB010031020 | Austria infrastructure surcharge | 0.1 bp |

## Belgium

## Settlement

## Accounts settling on T2S

|         |
|---------|
| T2S     |
| See 2.1 |

## Safekeeping

Minimum safekeeping fee per security: EUR 2.50

## Debt

| Family group AUC volume<br>(EUR million) |       | Family group discount scheme |      |      |      |      |      |      |      |      |      |      |      |      |      |      |      |      |      |
|--|-------|------------------------------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|
|  |       | Invoice code: CB010011510    |      |      |      |      |      |      |      |      |      |      |      |      |      |      |      |      |      |
|  |       | 1                            | 2    | 3    | 4    | 5    | 6    | 7    | 8    | 9    | 10   | 11   | 12   | 13   | 14   | 15   | 16   | 17   | 18   |
| From                                     | To    | 1                            | 2    | 3    | 4    | 5    | 6    | 7    | 8    | 9    | 10   | 11   | 12   | 13   | 14   | 15   | 16   | 17   | 18   |
| 0  | 100   | 1.77                         | 1.75 | 1.67 | 1.60 | 1.55 | 1.47 | 1.40 | 1.28 | 1.20 | 1.20 | 1.20 | 1.20 | 1.20 | 1.20 | 1.20 | 1.20 | 1.20 | 1.20 |
| 100                                      | 300   | 1.35                         | 1.33 | 1.29 | 1.26 | 1.22 | 1.17 | 1.14 | 1.10 | 1.04 | 1.04 | 1.04 | 1.04 | 1.04 | 1.04 | 1.04 | 1.04 | 1.04 | 1.04 |
| 300                                      | 750   | 1.30                         | 1.27 | 1.22 | 1.18 | 1.09 | 1.07 | 1.04 | 1.03 | 1.03 | 1.03 | 1.03 | 1.03 | 1.03 | 1.03 | 1.03 | 1.03 | 1.03 | 1.03 |
| 750                                      | 1,500 | 1.23                         | 1.14 | 1.12 | 1.08 | 1.07 | 1.05 | 1.03 | 1.03 | 1.01 | 1.01 | 1.01 | 1.01 | 1.01 | 1.01 | 1.01 | 1.01 | 1.01 | 1.01 |
| 1,500                                    | 3,000 | 1.18                         | 1.10 | 1.08 | 1.07 | 1.06 | 1.04 | 1.02 | 1.02 | 1.01 | 1.01 | 1.01 | 1.01 | 1.01 | 1.01 | 1.01 | 1.01 | 1.01 | 1.01 |
| 3,000                                    | 5,000 | 1.16                         | 1.09 | 1.08 | 1.07 | 1.06 | 1.03 | 1.01 | 1.01 | 1.00 | 1.00 | 1.00 | 1.00 | 1.00 | 1.00 | 1.00 | 1.00 | 1.00 | 1.00 |
| > 5,000                                  |       | 1.14                         | 1.08 | 1.07 | 1.06 | 1.05 | 1.02 | 1.00 | 1.00 | 1.00 | 1.00 | 1.00 | 1.00 | 1.00 | 1.00 | 1.00 | 1.00 | 1.00 | 1.00 |

## Equities

| Family group AUC volume<br>(EUR million) |       | Family group discount scheme |      |      |      |      |      |      |      |      |      |      |      |      |      |      |      |      |      |
|--|-------|------------------------------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|
|  |       | Invoice code: CB010011520    |      |      |      |      |      |      |      |      |      |      |      |      |      |      |      |      |      |
|  |       | 1                            | 2    | 3    | 4    | 5    | 6    | 7    | 8    | 9    | 10   | 11   | 12   | 13   | 14   | 15   | 16   | 17   | 18   |
| From                                     | To    | 1                            | 2    | 3    | 4    | 5    | 6    | 7    | 8    | 9    | 10   | 11   | 12   | 13   | 14   | 15   | 16   | 17   | 18   |
| 0  | 30    | 2.77                         | 2.73 | 2.69 | 2.65 | 2.60 | 2.55 | 2.48 | 2.40 | 2.30 | 2.30 | 2.30 | 2.30 | 2.30 | 2.30 | 2.30 | 2.30 | 2.30 | 2.30 |
| 30                                       | 75    | 2.30                         | 2.28 | 2.22 | 2.20 | 2.15 | 2.10 | 2.05 | 1.90 | 1.77 | 1.77 | 1.77 | 1.77 | 1.77 | 1.77 | 1.77 | 1.77 | 1.77 | 1.77 |
| 75                                       | 150   | 1.85                         | 1.78 | 1.75 | 1.70 | 1.65 | 1.60 | 1.50 | 1.45 | 1.43 | 1.43 | 1.43 | 1.43 | 1.43 | 1.43 | 1.43 | 1.43 | 1.43 | 1.43 |
| 150                                      | 300   | 1.80                         | 1.75 | 1.70 | 1.63 | 1.55 | 1.46 | 1.40 | 1.38 | 1.36 | 1.36 | 1.36 | 1.36 | 1.36 | 1.36 | 1.36 | 1.36 | 1.36 | 1.36 |
| 300                                      | 1,500 | 1.75                         | 1.69 | 1.63 | 1.52 | 1.45 | 1.40 | 1.38 | 1.36 | 1.34 | 1.34 | 1.34 | 1.34 | 1.34 | 1.34 | 1.34 | 1.34 | 1.34 | 1.34 |
| > 1,500                                  |       | 1.72                         | 1.65 | 1.55 | 1.45 | 1.40 | 1.38 | 1.35 | 1.32 | 1.30 | 1.30 | 1.30 | 1.30 | 1.30 | 1.30 | 1.30 | 1.30 | 1.30 | 1.30 |

## Other market specific fees

| Invoice code                             | Service description  | Price     |
|--|--|-----------|
| CB010011520                              | Minimum market value per security applied by ESES for equities   | 12.00 EUR |
| CB010011520                              | Minimum market value per security applied by ESES for equity linked instruments  | 6.00 EUR  |
| CB010011520                              | Minimum market value per security applied by ESES for equities for which more than 1 billion units have been issued and market quotation is lower than EUR 0.01  | 1.00 EUR  |
| CB01001FA*/<br>CB01004FB*/<br>CB01004FC* | Minimum market value per security applied by ESES for funds  | 12.00 EUR |
|  | National Bank of Belgium (NBB): Fees for partial settlement, market claims and transformation and settlement discipline charges are categorised as out of pocket expenses. See "5.3 Out of pocket expenses". |           |

## Denmark

### Settlement

#### Accounts settling on T2S

|         |
|---------|
| T2S     |
| See 2.1 |

### Safekeeping

Minimum safekeeping fee per security: EUR 6.50

#### Debt

| Family group AUC volume<br>(EUR million) |       | Family group discount scheme |      |      |      |      |      |      |      |      |      |      |      |      |      |      |      |      |      |
|--|-------|------------------------------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|
|  |       | Invoice code: CB010016010    |      |      |      |      |      |      |      |      |      |      |      |      |      |      |      |      |      |
|  |       | 1                            | 2    | 3    | 4    | 5    | 6    | 7    | 8    | 9    | 10   | 11   | 12   | 13   | 14   | 15   | 16   | 17   | 18   |
| From                                     | To    |                              |      |      |      |      |      |      |      |      |      |      |      |      |      |      |      |      |      |
| 0  | 100   | 1.58                         | 1.54 | 1.50 | 1.46 | 1.40 | 1.36 | 1.32 | 1.26 | 1.18 | 1.18 | 1.18 | 1.18 | 1.18 | 1.18 | 1.18 | 1.18 | 1.18 | 1.18 |
| 100                                      | 300   | 1.52                         | 1.48 | 1.42 | 1.38 | 1.36 | 1.34 | 1.30 | 1.25 | 1.10 | 1.10 | 1.10 | 1.10 | 1.10 | 1.10 | 1.10 | 1.10 | 1.10 | 1.10 |
| 300                                      | 750   | 1.34                         | 1.28 | 1.26 | 1.22 | 1.20 | 1.18 | 1.05 | 0.95 | 0.90 | 0.90 | 0.90 | 0.90 | 0.90 | 0.90 | 0.90 | 0.90 | 0.90 | 0.90 |
| 750                                      | 1,500 | 1.25                         | 1.22 | 1.20 | 1.12 | 1.10 | 0.95 | 0.90 | 0.82 | 0.78 | 0.78 | 0.78 | 0.78 | 0.78 | 0.78 | 0.78 | 0.78 | 0.78 | 0.78 |
| 1,500                                    | 3,000 | 1.18                         | 1.14 | 1.12 | 1.10 | 1.02 | 0.94 | 0.88 | 0.80 | 0.77 | 0.77 | 0.77 | 0.77 | 0.77 | 0.77 | 0.77 | 0.77 | 0.77 | 0.77 |
| 3,000                                    | 5,000 | 1.15                         | 1.10 | 1.05 | 0.99 | 0.85 | 0.80 | 0.77 | 0.75 | 0.75 | 0.75 | 0.75 | 0.75 | 0.75 | 0.75 | 0.75 | 0.75 | 0.75 | 0.75 |
| > 5,000                                  |       | 1.10                         | 1.05 | 1.00 | 0.90 | 0.80 | 0.77 | 0.74 | 0.72 | 0.70 | 0.70 | 0.70 | 0.70 | 0.70 | 0.70 | 0.70 | 0.70 | 0.70 | 0.70 |

#### Equities

| Family group AUC volume<br>(EUR million) |     | Family group discount scheme |      |      |      |      |      |      |      |      |      |      |      |      |      |      |      |      |      |
|--|-----|------------------------------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|
|  |     | Invoice code: CB010016020    |      |      |      |      |      |      |      |      |      |      |      |      |      |      |      |      |      |
|  |     | 1                            | 2    | 3    | 4    | 5    | 6    | 7    | 8    | 9    | 10   | 11   | 12   | 13   | 14   | 15   | 16   | 17   | 18   |
| From                                     | To  |                              |      |      |      |      |      |      |      |      |      |      |      |      |      |      |      |      |      |
| 0  | 10  | 3.50                         | 3.40 | 3.30 | 3.20 | 3.10 | 2.98 | 2.83 | 2.70 | 2.57 | 2.57 | 2.57 | 2.57 | 2.57 | 2.57 | 2.57 | 2.57 | 2.57 | 2.57 |
| 10                                       | 30  | 3.13                         | 3.00 | 2.88 | 2.75 | 2.63 | 2.53 | 2.47 | 2.30 | 2.18 | 2.18 | 2.18 | 2.18 | 2.18 | 2.18 | 2.18 | 2.18 | 2.18 | 2.18 |
| 30                                       | 50  | 2.75                         | 2.63 | 2.50 | 2.38 | 2.25 | 2.13 | 2.05 | 1.95 | 1.90 | 1.90 | 1.90 | 1.90 | 1.90 | 1.90 | 1.90 | 1.90 | 1.90 | 1.90 |
| 50                                       | 300 | 2.38                         | 2.25 | 2.19 | 2.13 | 2.06 | 2.00 | 1.95 | 1.90 | 1.85 | 1.85 | 1.85 | 1.85 | 1.85 | 1.85 | 1.85 | 1.85 | 1.85 | 1.85 |
| 300                                      | 750 | 2.25                         | 2.19 | 2.13 | 2.06 | 2.00 | 1.94 | 1.89 | 1.85 | 1.82 | 1.82 | 1.82 | 1.82 | 1.82 | 1.82 | 1.82 | 1.82 | 1.82 | 1.82 |
| > 750                                    |     | 2.19                         | 2.13 | 2.06 | 2.00 | 1.96 | 1.88 | 1.85 | 1.80 | 1.80 | 1.80 | 1.80 | 1.80 | 1.80 | 1.80 | 1.80 | 1.80 | 1.80 | 1.80 |

## Estonia

## Settlement

## Accounts settling on T2S

| T2S     |
|---------|
| See 2.1 |

## Safekeeping

Minimum safekeeping fee per security: EUR 6.50

## Debt

| Family group AUC volume<br>(EUR million) |     | Family group discount scheme |      |      |      |      |      |      |      |      |      |      |      |      |      |      |      |      |      |
|--|-----|------------------------------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|
|  |     | Invoice code: CB010017010    |      |      |      |      |      |      |      |      |      |      |      |      |      |      |      |      |      |
| From                                     | To  | 1                            | 2    | 3    | 4    | 5    | 6    | 7    | 8    | 9    | 10   | 11   | 12   | 13   | 14   | 15   | 16   | 17   | 18   |
| 0  | 10  | 6.00                         | 5.70 | 5.40 | 5.10 | 4.80 | 4.50 | 4.20 | 3.90 | 3.60 | 3.60 | 3.60 | 3.60 | 3.60 | 3.60 | 3.60 | 3.60 | 3.60 | 3.60 |
| 10                                       | 25  | 5.85                         | 5.55 | 5.25 | 4.95 | 4.65 | 4.35 | 4.05 | 3.75 | 3.45 | 3.45 | 3.45 | 3.45 | 3.45 | 3.45 | 3.45 | 3.45 | 3.45 | 3.45 |
| 25                                       | 50  | 5.70                         | 5.40 | 5.10 | 4.80 | 4.50 | 4.20 | 3.90 | 3.60 | 3.30 | 3.30 | 3.30 | 3.30 | 3.30 | 3.30 | 3.30 | 3.30 | 3.30 | 3.30 |
| 50                                       | 150 | 5.55                         | 5.25 | 4.95 | 4.65 | 4.35 | 4.05 | 3.75 | 3.45 | 3.15 | 3.15 | 3.15 | 3.15 | 3.15 | 3.15 | 3.15 | 3.15 | 3.15 | 3.15 |
| 150                                      | 250 | 5.40                         | 5.10 | 4.80 | 4.50 | 4.20 | 3.90 | 3.60 | 3.30 | 3.00 | 3.00 | 3.00 | 3.00 | 3.00 | 3.00 | 3.00 | 3.00 | 3.00 | 3.00 |
| > 250                                    |     | 5.25                         | 4.95 | 4.65 | 4.35 | 4.05 | 3.75 | 3.45 | 3.15 | 2.85 | 2.85 | 2.85 | 2.85 | 2.85 | 2.85 | 2.85 | 2.85 | 2.85 | 2.85 |

## Equities

| Family group AUC volume<br>(EUR million) |     | Family group discount scheme |      |      |      |      |      |      |      |      |      |      |      |      |      |      |      |      |      |
|--|-----|------------------------------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|
|  |     | Invoice code: CB010017020    |      |      |      |      |      |      |      |      |      |      |      |      |      |      |      |      |      |
| From                                     | To  | 1                            | 2    | 3    | 4    | 5    | 6    | 7    | 8    | 9    | 10   | 11   | 12   | 13   | 14   | 15   | 16   | 17   | 18   |
| 0  | 10  | 6.00                         | 5.70 | 5.40 | 5.10 | 4.80 | 4.50 | 4.20 | 3.90 | 3.60 | 3.60 | 3.60 | 3.60 | 3.60 | 3.60 | 3.60 | 3.60 | 3.60 | 3.60 |
| 10                                       | 25  | 5.85                         | 5.55 | 5.25 | 4.95 | 4.65 | 4.35 | 4.05 | 3.75 | 3.45 | 3.45 | 3.45 | 3.45 | 3.45 | 3.45 | 3.45 | 3.45 | 3.45 | 3.45 |
| 25                                       | 50  | 5.70                         | 5.40 | 5.10 | 4.80 | 4.50 | 4.20 | 3.90 | 3.60 | 3.30 | 3.30 | 3.30 | 3.30 | 3.30 | 3.30 | 3.30 | 3.30 | 3.30 | 3.30 |
| 50                                       | 150 | 5.55                         | 5.25 | 4.95 | 4.65 | 4.35 | 4.05 | 3.75 | 3.45 | 3.15 | 3.15 | 3.15 | 3.15 | 3.15 | 3.15 | 3.15 | 3.15 | 3.15 | 3.15 |
| 150                                      | 250 | 5.40                         | 5.10 | 4.80 | 4.50 | 4.20 | 3.90 | 3.60 | 3.30 | 3.00 | 3.00 | 3.00 | 3.00 | 3.00 | 3.00 | 3.00 | 3.00 | 3.00 | 3.00 |
| > 250                                    |     | 5.25                         | 4.95 | 4.65 | 4.35 | 4.05 | 3.75 | 3.45 | 3.15 | 2.85 | 2.85 | 2.85 | 2.85 | 2.85 | 2.85 | 2.85 | 2.85 | 2.85 | 2.85 |

## Other market specific fees

| Invoice code | Service description               | Price   |
|--------------|-----------------------------------|---------|
| CB010037010  | Infrastructure surcharge debt     | 2.50 bp |
| CB010037020  | Infrastructure surcharge equities | 2.50 bp |

## Finland

### Settlement

#### Accounts settling on T2S

|         |
|---------|
| T2S     |
| See 2.1 |

#### Safekeeping

Minimum safekeeping fee per security: EUR 2.50

#### Debt

| Family group AUC volume<br>(EUR million) |       | Family group discount scheme |      |      |      |      |      |      |      |      |      |      |      |      |      |      |      |      |      |
|--|-------|------------------------------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|
|  |       | Invoice code: CB010017510    |      |      |      |      |      |      |      |      |      |      |      |      |      |      |      |      |      |
|  |       | 1                            | 2    | 3    | 4    | 5    | 6    | 7    | 8    | 9    | 10   | 11   | 12   | 13   | 14   | 15   | 16   | 17   | 18   |
| From                                     | To    |                              |      |      |      |      |      |      |      |      |      |      |      |      |      |      |      |      |      |
| 0  | 30    | 4.00                         | 3.89 | 3.79 | 3.69 | 3.57 | 3.43 | 3.33 | 3.28 | 3.10 | 3.10 | 3.10 | 3.10 | 3.10 | 3.10 | 3.10 | 3.10 | 3.10 | 3.10 |
| 30                                       | 75    | 2.20                         | 2.19 | 2.18 | 2.15 | 2.12 | 2.11 | 2.02 | 1.82 | 1.80 | 1.80 | 1.80 | 1.80 | 1.80 | 1.80 | 1.80 | 1.80 | 1.80 | 1.80 |
| 75                                       | 150   | 1.50                         | 1.48 | 1.45 | 1.44 | 1.40 | 1.33 | 1.25 | 1.15 | 1.12 | 1.12 | 1.12 | 1.12 | 1.12 | 1.12 | 1.12 | 1.12 | 1.12 | 1.12 |
| 150                                      | 300   | 1.40                         | 1.37 | 1.30 | 1.22 | 1.20 | 1.18 | 1.14 | 1.12 | 1.10 | 1.10 | 1.10 | 1.10 | 1.10 | 1.10 | 1.10 | 1.10 | 1.10 | 1.10 |
| 300                                      | 1,000 | 1.30                         | 1.25 | 1.20 | 1.17 | 1.15 | 1.14 | 1.11 | 1.10 | 1.08 | 1.08 | 1.08 | 1.08 | 1.08 | 1.08 | 1.08 | 1.08 | 1.08 | 1.08 |
| > 1,000                                  |       | 1.28                         | 1.22 | 1.18 | 1.16 | 1.14 | 1.12 | 1.09 | 1.08 | 1.05 | 1.05 | 1.05 | 1.05 | 1.05 | 1.05 | 1.05 | 1.05 | 1.05 | 1.05 |

#### Equities

| Family group AUC volume<br>(EUR million) |       | Family group discount scheme |      |      |      |      |      |      |      |      |      |      |      |      |      |      |      |      |      |
|--|-------|------------------------------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|
|  |       | Invoice code: CB010017520    |      |      |      |      |      |      |      |      |      |      |      |      |      |      |      |      |      |
|  |       | 1                            | 2    | 3    | 4    | 5    | 6    | 7    | 8    | 9    | 10   | 11   | 12   | 13   | 14   | 15   | 16   | 17   | 18   |
| From                                     | To    |                              |      |      |      |      |      |      |      |      |      |      |      |      |      |      |      |      |      |
| 0  | 50    | 4.00                         | 3.95 | 3.88 | 3.77 | 3.68 | 3.55 | 3.42 | 3.30 | 3.17 | 3.17 | 3.17 | 3.17 | 3.17 | 3.17 | 3.17 | 3.17 | 3.17 | 3.17 |
| 50                                       | 100   | 2.44                         | 2.38 | 2.35 | 2.30 | 2.25 | 2.20 | 2.14 | 2.08 | 2.00 | 2.00 | 2.00 | 2.00 | 2.00 | 2.00 | 2.00 | 2.00 | 2.00 | 2.00 |
| 100                                      | 300   | 1.80                         | 1.70 | 1.60 | 1.55 | 1.45 | 1.44 | 1.40 | 1.35 | 1.33 | 1.33 | 1.33 | 1.33 | 1.33 | 1.33 | 1.33 | 1.33 | 1.33 | 1.33 |
| 300                                      | 750   | 1.78                         | 1.65 | 1.52 | 1.78 | 1.37 | 1.35 | 1.34 | 1.33 | 1.30 | 1.30 | 1.30 | 1.30 | 1.30 | 1.30 | 1.30 | 1.30 | 1.30 | 1.30 |
| 750                                      | 2,000 | 1.70                         | 1.55 | 1.44 | 1.39 | 1.35 | 1.33 | 1.30 | 1.28 | 1.27 | 1.27 | 1.27 | 1.27 | 1.27 | 1.27 | 1.27 | 1.27 | 1.27 | 1.27 |
| > 2,000                                  |       | 1.65                         | 1.53 | 1.37 | 1.35 | 1.32 | 1.30 | 1.28 | 1.26 | 1.25 | 1.25 | 1.25 | 1.25 | 1.25 | 1.25 | 1.25 | 1.25 | 1.25 | 1.25 |

## France

## Settlement

## Accounts settling on T2S

|         |
|---------|
| T2S     |
| See 2.1 |

## Safekeeping

Minimum safekeeping fee per security: EUR 2.50

## Debt

| Family group AUC volume<br>(EUR million) |         | Family group discount scheme |      |      |      |      |      |      |      |      |      |      |       |       |       |       |       |       |       |
|--|---------|------------------------------|------|------|------|------|------|------|------|------|------|------|-------|-------|-------|-------|-------|-------|-------|
|  |         | Invoice code: CB010018010    |      |      |      |      |      |      |      |      |      |      |       |       |       |       |       |       |       |
|  |         | 1                            | 2    | 3    | 4    | 5    | 6    | 7    | 8    | 9    | 10   | 11   | 12    | 13    | 14    | 15    | 16    | 17    | 18    |
| From                                     | To      |                              |      |      |      |      |      |      |      |      |      |      |       |       |       |       |       |       |       |
| 0  | 750     | 1.39                         | 1.38 | 1.24 | 1.15 | 1.11 | 1.10 | 1.09 | 1.08 | 1.07 | 1.06 | 1.05 | 0.945 | 0.840 | 0.761 | 0.683 | 0.604 | 0.551 | 0.499 |
| 750                                      | 2,000   | 1.29                         | 1.27 | 1.19 | 1.10 | 0.99 | 0.98 | 0.78 | 0.75 | 0.74 | 0.73 | 0.72 | 0.648 | 0.576 | 0.522 | 0.468 | 0.414 | 0.378 | 0.342 |
| 2,000                                    | 6,000   | 1.19                         | 1.08 | 0.98 | 0.89 | 0.76 | 0.72 | 0.64 | 0.62 | 0.59 | 0.59 | 0.59 | 0.531 | 0.472 | 0.428 | 0.384 | 0.339 | 0.310 | 0.280 |
| 6,000                                    | 15,000  |                              | 0.98 | 0.87 | 0.78 | 0.69 | 0.66 | 0.63 | 0.59 | 0.53 | 0.53 | 0.52 | 0.468 | 0.416 | 0.377 | 0.338 | 0.299 | 0.273 | 0.247 |
| 15,000                                   | 30,000  |                              |      |      | 0.77 | 0.68 | 0.59 | 0.55 | 0.53 | 0.51 | 0.50 | 0.49 | 0.441 | 0.392 | 0.355 | 0.319 | 0.282 | 0.257 | 0.233 |
| 30,000                                   | 60,000  |                              |      |      |      | 0.67 | 0.57 | 0.54 | 0.52 | 0.50 | 0.49 | 0.48 | 0.432 | 0.384 | 0.348 | 0.312 | 0.276 | 0.252 | 0.228 |
| 60,000                                   | 130,000 |                              |      |      |      |      | 0.55 | 0.53 | 0.51 | 0.49 | 0.48 | 0.47 | 0.423 | 0.376 | 0.341 | 0.306 | 0.270 | 0.247 | 0.223 |
| 130,000                                  | 200,000 |                              |      |      |      |      |      | 0.51 | 0.50 | 0.48 | 0.47 | 0.46 | 0.414 | 0.368 | 0.334 | 0.299 | 0.265 | 0.242 | 0.219 |
| 200,000                                  | 280,000 |                              |      |      |      |      |      |      | 0.48 | 0.45 | 0.44 | 0.44 | 0.396 | 0.352 | 0.319 | 0.286 | 0.253 | 0.231 | 0.209 |
| > 280,000                                |         |                              |      |      |      |      |      |      |      | 0.44 | 0.42 | 0.40 | 0.36  | 0.32  | 0.29  | 0.26  | 0.23  | 0.21  | 0.19  |

## Equities

| Family group AUC volume<br>(EUR million) |        | Family group discount scheme |      |      |      |      |      |      |      |      |       |       |       |       |       |       |       |       |       |
|--|--------|------------------------------|------|------|------|------|------|------|------|------|-------|-------|-------|-------|-------|-------|-------|-------|-------|
|  |        | Invoice code: CB010018020    |      |      |      |      |      |      |      |      |       |       |       |       |       |       |       |       |       |
|  |        | 1                            | 2    | 3    | 4    | 5    | 6    | 7    | 8    | 9    | 10    | 11    | 12    | 13    | 14    | 15    | 16    | 17    | 18    |
| From                                     | To     |                              |      |      |      |      |      |      |      |      |       |       |       |       |       |       |       |       |       |
| 0  | 70     | 2.50                         | 2.45 | 2.30 | 2.20 | 2.10 | 2.00 | 1.95 | 1.93 | 1.90 | 1.758 | 1.615 | 1.501 | 1.387 | 1.273 | 1.178 | 1.102 | 1.007 | 0.931 |
| 70                                       | 170    | 2.25                         | 2.20 | 2.15 | 2.05 | 1.95 | 1.85 | 1.75 | 1.73 | 1.70 | 1.573 | 1.445 | 1.343 | 1.241 | 1.139 | 1.054 | 0.986 | 0.901 | 0.833 |
| 170                                      | 300    | 1.90                         | 1.80 | 1.70 | 1.60 | 1.55 | 1.50 | 1.45 | 1.42 | 1.38 | 1.277 | 1.173 | 1.09  | 1.007 | 0.925 | 0.856 | 0.800 | 0.731 | 0.676 |
| 300                                      | 600    | 1.75                         | 1.70 | 1.65 | 1.58 | 1.52 | 1.46 | 1.42 | 1.38 | 1.30 | 1.203 | 1.105 | 1.027 | 0.949 | 0.871 | 0.806 | 0.754 | 0.689 | 0.637 |
| 600                                      | 1,500  | 1.65                         | 1.60 | 1.55 | 1.45 | 1.40 | 1.30 | 1.20 | 1.17 | 1.15 | 1.064 | 0.978 | 0.909 | 0.840 | 0.771 | 0.713 | 0.667 | 0.610 | 0.564 |
| 1,500                                    | 7,000  | 1.60                         | 1.55 | 1.50 | 1.40 | 1.35 | 1.25 | 1.15 | 1.05 | 1.04 | 0.962 | 0.884 | 0.822 | 0.759 | 0.697 | 0.645 | 0.603 | 0.551 | 0.510 |
| 7,000                                    | 14,000 |                              | 1.45 | 1.40 | 1.35 | 1.30 | 1.20 | 1.12 | 1.04 | 1.02 | 0.944 | 0.867 | 0.806 | 0.745 | 0.683 | 0.632 | 0.592 | 0.541 | 0.500 |
| > 14,000                                 |        |                              |      | 1.35 | 1.33 | 1.28 | 1.15 | 1.10 | 1.00 | 1.00 | 0.925 | 0.85  | 0.79  | 0.73  | 0.67  | 0.62  | 0.58  | 0.53  | 0.49  |

## Other market specific fees

| Invoice code                             | Service description   | Price    |
|--|---|----------|
| CB010018020                              | Minimum market value per security applied by ESES for equities  | 6.00 EUR |
| CB010018020                              | Minimum market value per security applied by ESES for equity linked instruments   | 1.00 EUR |
| CB010018020                              | Minimum market value per security applied by ESES for equities for which more than 1 billion units have been issued and market quotation is lower than EUR 0.01 | 1.00 EUR |
| CB01001FA*/<br>CB01004FB*/<br>CB01004FC* | Minimum market value per security applied by ESES for funds   | 6.00 EUR |

## Germany

### Settlement

#### Accounts settling on T2S

|         |
|---------|
| T2S     |
| See 2.1 |

### Safekeeping

Minimum safekeeping fee per security: EUR 2.50

#### Debt

| Family group AUC volume<br>(EUR million) |         | Family group discount scheme |      |      |      |      |      |      |      |      |      |      |       |       |       |        |       |       |       |
|--|---------|------------------------------|------|------|------|------|------|------|------|------|------|------|-------|-------|-------|--------|-------|-------|-------|
|  |         | Invoice code: CB010018510    |      |      |      |      |      |      |      |      |      |      |       |       |       |        |       |       |       |
|  |         | 1                            | 2    | 3    | 4    | 5    | 6    | 7    | 8    | 9    | 10   | 11   | 12    | 13    | 14    | 15     | 16    | 17    | 18    |
| From                                     | To      |                              |      |      |      |      |      |      |      |      |      |      |       |       |       |        |       |       |       |
| 0  | 750     | 1.39                         | 1.38 | 1.24 | 1.15 | 1.11 | 1.10 | 1.09 | 1.08 | 1.07 | 1.06 | 1.05 | 0.945 | 0.840 | 0.761 | 0.683  | 0.604 | 0.551 | 0.499 |
| 750                                      | 2,000   | 1.29                         | 1.27 | 1.19 | 1.10 | 0.99 | 0.98 | 0.78 | 0.75 | 0.74 | 0.73 | 0.72 | 0.648 | 0.576 | 0.522 | 0.468  | 0.414 | 0.378 | 0.342 |
| 2,000                                    | 6,000   | 1.19                         | 1.08 | 0.98 | 0.89 | 0.76 | 0.72 | 0.64 | 0.62 | 0.59 | 0.59 | 0.59 | 0.531 | 0.472 | 0.428 | 0.384  | 0.339 | 0.310 | 0.280 |
| 6,000                                    | 15,000  |                              | 0.98 | 0.87 | 0.78 | 0.69 | 0.66 | 0.63 | 0.59 | 0.53 | 0.53 | 0.52 | 0.468 | 0.416 | 0.377 | 0.338  | 0.299 | 0.273 | 0.247 |
| 15,000                                   | 30,000  |                              |      |      | 0.77 | 0.68 | 0.59 | 0.55 | 0.53 | 0.51 | 0.50 | 0.49 | 0.441 | 0.392 | 0.355 | 0.319  | 0.282 | 0.257 | 0.233 |
| 30,000                                   | 60,000  |                              |      |      |      | 0.67 | 0.57 | 0.54 | 0.52 | 0.50 | 0.49 | 0.48 | 0.432 | 0.384 | 0.348 | 0.312  | 0.276 | 0.252 | 0.228 |
| 60,000                                   | 130,000 |                              |      |      |      |      | 0.55 | 0.53 | 0.51 | 0.49 | 0.48 | 0.47 | 0.423 | 0.376 | 0.341 | 0.306  | 0.270 | 0.247 | 0.223 |
| 130,000                                  | 200,000 |                              |      |      |      |      |      | 0.51 | 0.50 | 0.48 | 0.47 | 0.46 | 0.414 | 0.368 | 0.334 | 0.299  | 0.265 | 0.242 | 0.219 |
| 200,000                                  | 280,000 |                              |      |      |      |      |      |      | 0.48 | 0.45 | 0.44 | 0.44 | 0.396 | 0.352 | 0.319 | 0.2826 | 0.253 | 0.231 | 0.209 |
| > 280,000                                |         |                              |      |      |      |      |      |      |      | 0.44 | 0.42 | 0.40 | 0.36  | 0.32  | 0.29  | 0.26   | 0.23  | 0.21  | 0.19  |

#### Equities

| Family group AUC volume<br>(EUR million) |        | Family group discount scheme |      |      |      |      |      |      |      |      |       |       |       |       |       |       |       |       |       |
|--|--------|------------------------------|------|------|------|------|------|------|------|------|-------|-------|-------|-------|-------|-------|-------|-------|-------|
|  |        | Invoice code: CB010018520    |      |      |      |      |      |      |      |      |       |       |       |       |       |       |       |       |       |
|  |        | 1                            | 2    | 3    | 4    | 5    | 6    | 7    | 8    | 9    | 10    | 11    | 12    | 13    | 14    | 15    | 16    | 17    | 18    |
| From                                     | To     |                              |      |      |      |      |      |      |      |      |       |       |       |       |       |       |       |       |       |
| 0  | 70     | 2.50                         | 2.45 | 2.30 | 2.20 | 2.10 | 2.00 | 1.95 | 1.93 | 1.90 | 1.758 | 1.615 | 1.501 | 1.387 | 1.273 | 1.178 | 1.102 | 1.007 | 0.931 |
| 70                                       | 170    | 2.25                         | 2.20 | 2.15 | 2.05 | 1.95 | 1.85 | 1.75 | 1.73 | 1.70 | 1.573 | 1.445 | 1.343 | 1.241 | 1.139 | 1.054 | 0.986 | 0.901 | 0.833 |
| 170                                      | 300    | 1.90                         | 1.80 | 1.70 | 1.60 | 1.55 | 1.50 | 1.45 | 1.42 | 1.38 | 1.277 | 1.173 | 1.09  | 1.007 | 0.925 | 0.856 | 0.800 | 0.731 | 0.676 |
| 300                                      | 600    | 1.75                         | 1.70 | 1.65 | 1.58 | 1.52 | 1.46 | 1.42 | 1.38 | 1.30 | 1.203 | 1.105 | 1.027 | 0.949 | 0.871 | 0.806 | 0.754 | 0.689 | 0.637 |
| 600                                      | 1,500  | 1.65                         | 1.60 | 1.55 | 1.45 | 1.40 | 1.30 | 1.20 | 1.17 | 1.15 | 1.064 | 0.978 | 0.909 | 0.840 | 0.771 | 0.713 | 0.667 | 0.610 | 0.564 |
| 1,500                                    | 7,000  | 1.60                         | 1.55 | 1.50 | 1.40 | 1.35 | 1.25 | 1.15 | 1.05 | 1.04 | 0.962 | 0.884 | 0.822 | 0.759 | 0.697 | 0.645 | 0.603 | 0.551 | 0.510 |
| 7,000                                    | 14,000 |                              | 1.45 | 1.40 | 1.35 | 1.30 | 1.20 | 1.12 | 1.04 | 1.02 | 0.944 | 0.867 | 0.806 | 0.745 | 0.683 | 0.632 | 0.592 | 0.541 | 0.500 |
| > 14,000                                 |        |                              |      | 1.35 | 1.33 | 1.28 | 1.15 | 1.10 | 1.00 | 1.00 | 0.925 | 0.85  | 0.79  | 0.73  | 0.67  | 0.62  | 0.58  | 0.53  | 0.49  |

### Other market specific fees

| Invoice code  | Service description                            | Price     |
|---------------|--|-----------|
| CB0202085PI85 | Physical delivery of securities (per delivery) | 30.00 EUR |
| CB02020900101 | Registered shares - external instruction       | 20.00 EUR |

## Greece

## Settlement

## Accounts settling on T2S

|         |
|---------|
| T2S     |
| See 2.1 |

## Safekeeping

Minimum safekeeping fee per security: EUR 6.50

## Debt

| Family group AUC volume<br>(EUR million) |       | Family group discount scheme |      |      |      |      |      |      |      |      |      |      |      |      |      |      |      |      |      |
|--|-------|------------------------------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|
|  |       | Invoice code: CB010019010    |      |      |      |      |      |      |      |      |      |      |      |      |      |      |      |      |      |
| From                                     | To    | 1                            | 2    | 3    | 4    | 5    | 6    | 7    | 8    | 9    | 10   | 11   | 12   | 13   | 14   | 15   | 16   | 17   | 18   |
| 0  | 100   | 6.00                         | 5.90 | 5.75 | 5.65 | 5.55 | 5.40 | 5.20 | 4.90 | 4.44 | 4.44 | 4.44 | 4.44 | 4.44 | 4.44 | 4.44 | 4.44 | 4.44 | 4.44 |
| 100                                      | 300   | 5.50                         | 5.44 | 5.40 | 5.28 | 5.22 | 4.90 | 4.60 | 4.40 | 4.30 | 4.30 | 4.30 | 4.30 | 4.30 | 4.30 | 4.30 | 4.30 | 4.30 | 4.30 |
| 300                                      | 750   | 5.25                         | 5.22 | 5.20 | 5.00 | 4.80 | 4.60 | 4.40 | 4.20 | 4.05 | 4.05 | 4.05 | 4.05 | 4.05 | 4.05 | 4.05 | 4.05 | 4.05 | 4.05 |
| 750                                      | 1,500 | 5.10                         | 5.00 | 4.90 | 4.80 | 4.20 | 4.16 | 4.14 | 4.12 | 4.00 | 4.00 | 4.00 | 4.00 | 4.00 | 4.00 | 4.00 | 4.00 | 4.00 | 4.00 |
| 1,500                                    | 3,000 | 5.00                         | 4.90 | 4.70 | 4.50 | 4.15 | 4.10 | 4.00 | 3.90 | 3.88 | 3.88 | 3.88 | 3.88 | 3.88 | 3.88 | 3.88 | 3.88 | 3.88 | 3.88 |
| 3,000                                    | 5,000 | 4.90                         | 4.80 | 4.65 | 4.45 | 4.12 | 4.05 | 3.90 | 3.80 | 3.70 | 3.70 | 3.70 | 3.70 | 3.70 | 3.70 | 3.70 | 3.70 | 3.70 | 3.70 |
| > 5,000                                  |       | 4.70                         | 4.60 | 4.60 | 4.40 | 4.10 | 4.00 | 3.80 | 3.60 | 3.50 | 3.50 | 3.50 | 3.50 | 3.50 | 3.50 | 3.50 | 3.50 | 3.50 | 3.50 |

## Equities

| Family group AUC volume<br>(EUR million) |       | Family group discount scheme |       |       |       |       |       |       |       |       |       |       |       |       |       |       |       |       |       |
|--|-------|------------------------------|-------|-------|-------|-------|-------|-------|-------|-------|-------|-------|-------|-------|-------|-------|-------|-------|-------|
|  |       | Invoice code: CB010019020    |       |       |       |       |       |       |       |       |       |       |       |       |       |       |       |       |       |
| From                                     | To    | 1                            | 2     | 3     | 4     | 5     | 6     | 7     | 8     | 9     | 10    | 11    | 12    | 13    | 14    | 15    | 16    | 17    | 18    |
| 0  | 30    | 40.00                        | 39.00 | 38.00 | 37.00 | 35.90 | 34.75 | 33.55 | 32.30 | 31.00 | 31.00 | 31.00 | 31.00 | 31.00 | 31.00 | 31.00 | 31.00 | 31.00 | 31.00 |
| 30                                       | 75    | 35.00                        | 34.00 | 33.00 | 32.00 | 31.00 | 30.00 | 28.75 | 27.50 | 26.00 | 26.00 | 26.00 | 26.00 | 26.00 | 26.00 | 26.00 | 26.00 | 26.00 | 26.00 |
| 75                                       | 150   | 34.00                        | 33.00 | 32.00 | 31.00 | 30.00 | 28.75 | 27.50 | 26.25 | 25.00 | 25.00 | 25.00 | 25.00 | 25.00 | 25.00 | 25.00 | 25.00 | 25.00 | 25.00 |
| 150                                      | 300   | 33.00                        | 32.00 | 31.00 | 30.00 | 28.00 | 27.00 | 26.00 | 25.00 | 24.00 | 24.00 | 24.00 | 24.00 | 24.00 | 24.00 | 24.00 | 24.00 | 24.00 | 24.00 |
| 300                                      | 1,500 | 32.00                        | 31.00 | 30.00 | 28.00 | 27.00 | 26.00 | 25.00 | 24.00 | 23.00 | 23.00 | 23.00 | 23.00 | 23.00 | 23.00 | 23.00 | 23.00 | 23.00 | 23.00 |
| > 1,500                                  |       | 26.00                        | 25.50 | 25.00 | 24.50 | 24.00 | 23.00 | 22.00 | 21.00 | 20.00 | 20.00 | 20.00 | 20.00 | 20.00 | 20.00 | 20.00 | 20.00 | 20.00 | 20.00 |

## Other market specific fees

| Invoice code  | Service description                    | Price    |
|---------------|--|----------|
| CB01003901010 | Greek infrastructure surcharge on debt | 0.098 bp |



## Iceland

### Settlement

#### Accounts not settling on T2S

| Internal debt and equities                   |     |       | Internal investment funds                        |     |       |
|--|-----|-------|--|-----|-------|
| See 2.2.2.1                                  |     |       | See 2.2.2.2                                      |     |       |
| External debt<br>Invoice code: CB0202025A510 |     |       | External equities<br>Invoice code: CB0202025A520 |     |       |
| From   | To  | Price | From   | To  | Price |
| 1  | 15  | 68.00 | 1  | 15  | 68.00 |
| 16   | 35  | 56.00 | 16   | 35  | 56.00 |
| 36   | 120 | 55.00 | 36   | 120 | 55.00 |
| 121  | 280 | 54.00 | 121  | 280 | 54.00 |
| 281  | 550 | 53.00 | 281  | 550 | 53.00 |
| > 550  |     | 52.00 | > 550  |     | 52.00 |

### Safekeeping

Minimum safekeeping fee per security: EUR 6.50

#### Debt

| Family group AUC volume<br>(EUR million) |       | Family group discount scheme |       |       |       |      |      |      |      |      |      |      |      |      |      |      |      |      |      |
|--|-------|------------------------------|-------|-------|-------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|
|  |       | Invoice code: CB01001A510    |       |       |       |      |      |      |      |      |      |      |      |      |      |      |      |      |      |
|  |       | 1                            | 2     | 3     | 4     | 5    | 6    | 7    | 8    | 9    | 10   | 11   | 12   | 13   | 14   | 15   | 16   | 17   | 18   |
| From                                     | To    | 1                            | 2     | 3     | 4     | 5    | 6    | 7    | 8    | 9    | 10   | 11   | 12   | 13   | 14   | 15   | 16   | 17   | 18   |
| 0  | 100   | 11.00                        | 10.70 | 10.40 | 10.00 | 9.70 | 9.50 | 9.30 | 9.10 | 9.00 | 9.00 | 9.00 | 9.00 | 9.00 | 9.00 | 9.00 | 9.00 | 9.00 | 9.00 |
| 100                                      | 300   | 10.55                        | 10.20 | 9.85  | 9.60  | 9.40 | 9.20 | 9.00 | 8.80 | 8.70 | 8.70 | 8.70 | 8.70 | 8.70 | 8.70 | 8.70 | 8.70 | 8.70 | 8.70 |
| 300                                      | 750   | 9.30                         | 9.00  | 8.70  | 8.50  | 8.30 | 8.10 | 7.90 | 7.70 | 7.60 | 7.60 | 7.60 | 7.60 | 7.60 | 7.60 | 7.60 | 7.60 | 7.60 | 7.60 |
| 750                                      | 1,500 | 8.70                         | 8.55  | 8.40  | 8.00  | 7.60 | 7.40 | 7.20 | 7.00 | 6.90 | 6.90 | 6.90 | 6.90 | 6.90 | 6.90 | 6.90 | 6.90 | 6.90 | 6.90 |
| 1,500                                    | 3,000 | 8.20                         | 8.00  | 7.80  | 7.45  | 7.10 | 6.90 | 6.70 | 6.50 | 6.40 | 6.40 | 6.40 | 6.40 | 6.40 | 6.40 | 6.40 | 6.40 | 6.40 | 6.40 |
| 3,000                                    | 5,000 | 8.00                         | 7.65  | 7.30  | 6.60  | 5.90 | 5.70 | 5.50 | 5.30 | 5.20 | 5.20 | 5.20 | 5.20 | 5.20 | 5.20 | 5.20 | 5.20 | 5.20 | 5.20 |
| > 5,000                                  |       | 7.70                         | 7.35  | 7.00  | 6.30  | 5.60 | 5.40 | 5.20 | 5.00 | 4.90 | 4.90 | 4.90 | 4.90 | 4.90 | 4.90 | 4.90 | 4.90 | 4.90 | 4.90 |

#### Equities

| Family group AUC volume<br>(EUR million) |       | Family group discount scheme |       |       |       |      |      |      |      |      |      |      |      |      |      |      |      |      |      |
|--|-------|------------------------------|-------|-------|-------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|
|  |       | Invoice code: CB01001A520    |       |       |       |      |      |      |      |      |      |      |      |      |      |      |      |      |      |
|  |       | 1                            | 2     | 3     | 4     | 5    | 6    | 7    | 8    | 9    | 10   | 11   | 12   | 13   | 14   | 15   | 16   | 17   | 18   |
| From                                     | To    | 1                            | 2     | 3     | 4     | 5    | 6    | 7    | 8    | 9    | 10   | 11   | 12   | 13   | 14   | 15   | 16   | 17   | 18   |
| 0  | 100   | 11.00                        | 10.70 | 10.40 | 10.00 | 9.70 | 9.50 | 9.30 | 9.10 | 9.00 | 9.00 | 9.00 | 9.00 | 9.00 | 9.00 | 9.00 | 9.00 | 9.00 | 9.00 |
| 100                                      | 300   | 10.55                        | 10.20 | 9.85  | 9.60  | 9.40 | 9.20 | 9.00 | 8.80 | 8.70 | 8.70 | 8.70 | 8.70 | 8.70 | 8.70 | 8.70 | 8.70 | 8.70 | 8.70 |
| 300                                      | 750   | 9.30                         | 9.00  | 8.70  | 8.50  | 8.30 | 8.10 | 7.90 | 7.70 | 7.60 | 7.60 | 7.60 | 7.60 | 7.60 | 7.60 | 7.60 | 7.60 | 7.60 | 7.60 |
| 750                                      | 1,500 | 8.70                         | 8.55  | 8.40  | 8.00  | 7.60 | 7.40 | 7.20 | 7.00 | 6.90 | 6.90 | 6.90 | 6.90 | 6.90 | 6.90 | 6.90 | 6.90 | 6.90 | 6.90 |
| 1,500                                    | 3,000 | 8.20                         | 8.00  | 7.80  | 7.45  | 7.10 | 6.90 | 6.70 | 6.50 | 6.40 | 6.40 | 6.40 | 6.40 | 6.40 | 6.40 | 6.40 | 6.40 | 6.40 | 6.40 |
| 3,000                                    | 5,000 | 8.00                         | 7.65  | 7.30  | 6.60  | 5.90 | 5.70 | 5.50 | 5.30 | 5.20 | 5.20 | 5.20 | 5.20 | 5.20 | 5.20 | 5.20 | 5.20 | 5.20 | 5.20 |
| > 5,000                                  |       | 7.70                         | 7.35  | 7.00  | 6.30  | 5.60 | 5.40 | 5.20 | 5.00 | 4.90 | 4.90 | 4.90 | 4.90 | 4.90 | 4.90 | 4.90 | 4.90 | 4.90 | 4.90 |

## International

## Settlement

## Accounts settling on T2S

| T2S internal | T2S external |
|--------------|--------------|
| See 2.1      | See 2.1      |

## Safekeeping

Minimum safekeeping fee per security: EUR 2.50

## Debt

| Family group AUC volume<br>(EUR million) |         | Notary function<br>Invoice code:<br>CB01001B5B0 | Maintenance fee for securities accounts at top tier level<br>Invoice code:<br>CB01001B560 |       |
|--|---------|---|---|-------|
| From                                     | To      |   |   |       |
| 0  | 750     | 0.02  |   | 0.230 |
| 750                                      | 2,000   | 0.02  |   | 0.205 |
| 2,000                                    | 6,000   | 0.02  |   | 0.185 |
| 6,000                                    | 15,000  | 0.02  |   | 0.175 |
| 15,000                                   | 30,000  | 0.02  |   | 0.165 |
| 30,000                                   | 60,000  | 0.02  |   | 0.160 |
| 60,000                                   | 130,000 | 0.02  |   | 0.145 |
| 130,000                                  | 200,000 | 0.02  |   | 0.140 |
| 200,000                                  | 280,000 | 0.02  |   | 0.135 |
| > 280,000                                |         | 0.02  |   | 0.130 |

| Family group AUC volume<br>(EUR million) |         | Family group discount scheme |       |       |       |       |       |       |       |       |       |       |       |       |       |       |       |       |       |       |
|--|---------|------------------------------|-------|-------|-------|-------|-------|-------|-------|-------|-------|-------|-------|-------|-------|-------|-------|-------|-------|-------|
|  |         | Invoice code: CB01001B510    |       |       |       |       |       |       |       |       |       |       |       |       |       |       |       |       |       |       |
|  |         | 1                            | 2     | 3     | 4     | 5     | 6     | 7     | 8     | 9     | 10    | 11    | 12    | 13    | 14    | 15    | 16    | 17    | 18    |       |
| 0  | 750     | 1.14                         | 1.13  | 0.99  | 0.90  | 0.86  | 0.85  | 0.84  | 0.83  | 0.82  | 0.81  | 0.80  | 0.695 | 0.59  | 0.511 | 0.433 | 0.354 | 0.301 | 0.249 |       |
| 750                                      | 2,000   | 1.065                        | 1.045 | 0.965 | 0.875 | 0.765 | 0.755 | 0.555 | 0.525 | 0.515 | 0.505 | 0.495 | 0.423 | 0.351 | 0.297 | 0.243 | 0.189 | 0.153 | 0.117 |       |
| 2,000                                    | 6,000   | 0.985                        | 0.875 | 0.775 | 0.685 | 0.555 | 0.515 | 0.435 | 0.415 | 0.385 | 0.385 | 0.385 | 0.326 | 0.267 | 0.223 | 0.179 | 0.134 | 0.105 | 0.075 |       |
| 6,000                                    | 15,000  |                              | 0.785 | 0.675 | 0.585 | 0.495 | 0.465 | 0.435 | 0.395 | 0.335 | 0.335 | 0.325 | 0.273 | 0.221 | 0.182 | 0.143 | 0.104 | 0.078 | 0.052 |       |
| 15,000                                   | 30,000  |                              |       |       | 0.585 | 0.495 | 0.405 | 0.365 | 0.345 | 0.325 | 0.315 | 0.305 | 0.256 | 0.207 | 0.17  | 0.134 | 0.097 | 0.072 | 0.05  |       |
| 30,000                                   | 60,000  |                              |       |       |       | 0.49  | 0.39  | 0.36  | 0.34  | 0.32  | 0.31  | 0.30  | 0.252 | 0.204 | 0.168 | 0.132 | 0.096 | 0.072 | 0.049 |       |
| 60,000                                   | 130,000 |                              |       |       |       |       |       | 0.385 | 0.36  | 0.34  | 0.32  | 0.31  | 0.30  | 0.252 | 0.204 | 0.168 | 0.131 | 0.096 | 0.072 | 0.049 |
| 130,000                                  | 200,000 |                              |       |       |       |       |       |       | 0.35  | 0.34  | 0.32  | 0.31  | 0.30  | 0.252 | 0.204 | 0.168 | 0.131 | 0.096 | 0.072 | 0.049 |
| 200,000                                  | 280,000 |                              |       |       |       |       |       |       |       | 0.325 | 0.295 | 0.285 | 0.285 | 0.241 | 0.197 | 0.164 | 0.131 | 0.096 | 0.072 | 0.049 |
| > 280,000                                |         |                              |       |       |       |       |       |       |       |       | 0.29  | 0.27  | 0.25  | 0.21  | 0.17  | 0.14  | 0.11  | 0.08  | 0.06  | 0.04  |

## Equities

| Family group AUC volume (EUR million) |        | Notary function<br>Invoice code:<br>CB01001B5C0 | Maintenance fee for securities accounts at top tier level<br>Invoice code:<br>CB01001B570 |
|---------------------------------------|--------|---|---|
| From                                  | To     |   |   |
| 0                                     | 70     | 0.02  | 0.230   |
| 70                                    | 170    | 0.02  | 0.230   |
| 170                                   | 300    | 0.02  | 0.230   |
| 300                                   | 600    | 0.02  | 0.230   |
| 600                                   | 1,500  | 0.02  | 0.205   |
| 1,500                                 | 7,000  | 0.02  | 0.185   |
| 7,000                                 | 14,000 | 0.02  | 0.175   |
| > 14,000                              |        | 0.02  | 0.165   |

| Family group AUC volume (EUR million) |        | Family group discount scheme |       |       |       |       |       |       |       |       |       |       |       |       |       |       |       |       |       |
|---------------------------------------|--------|------------------------------|-------|-------|-------|-------|-------|-------|-------|-------|-------|-------|-------|-------|-------|-------|-------|-------|-------|
|                                       |        | Invoice code: CB01001B520    |       |       |       |       |       |       |       |       |       |       |       |       |       |       |       |       |       |
|                                       |        | 1                            | 2     | 3     | 4     | 5     | 6     | 7     | 8     | 9     | 10    | 11    | 12    | 13    | 14    | 15    | 16    | 17    | 18    |
| 0                                     | 70     | 2.250                        | 2.200 | 2.050 | 1.950 | 1.850 | 1.750 | 1.700 | 1.680 | 1.650 | 1.508 | 1.365 | 1.251 | 1.137 | 1.023 | 0.928 | 0.852 | 0.757 | 0.681 |
| 70                                    | 170    | 2.000                        | 1.950 | 1.900 | 1.800 | 1.700 | 1.600 | 1.500 | 1.480 | 1.450 | 1.323 | 1.195 | 1.093 | 0.991 | 0.889 | 0.804 | 0.736 | 0.651 | 0.583 |
| 170                                   | 300    | 1.650                        | 1.550 | 1.450 | 1.350 | 1.300 | 1.250 | 1.200 | 1.170 | 1.130 | 1.027 | 0.923 | 0.840 | 0.757 | 0.675 | 0.606 | 0.550 | 0.481 | 0.426 |
| 300                                   | 600    | 1.500                        | 1.450 | 1.400 | 1.330 | 1.270 | 1.210 | 1.170 | 1.130 | 1.050 | 0.953 | 0.855 | 0.777 | 0.699 | 0.621 | 0.556 | 0.504 | 0.439 | 0.387 |
| 600                                   | 1,500  | 1.425                        | 1.375 | 1.325 | 1.225 | 1.175 | 1.075 | 0.975 | 0.945 | 0.925 | 0.839 | 0.753 | 0.684 | 0.615 | 0.546 | 0.488 | 0.442 | 0.385 | 0.339 |
| 1,500                                 | 7,000  | 1.395                        | 1.345 | 1.295 | 1.195 | 1.145 | 1.045 | 0.945 | 0.845 | 0.835 | 0.757 | 0.679 | 0.617 | 0.554 | 0.492 | 0.440 | 0.398 | 0.346 | 0.305 |
| 7,000                                 | 14,000 |                              | 1.255 | 1.205 | 1.155 | 1.105 | 1.005 | 0.925 | 0.845 | 0.825 | 0.749 | 0.672 | 0.611 | 0.550 | 0.488 | 0.437 | 0.397 | 0.346 | 0.305 |
| > 14,000                              |        |                              | 1.165 | 1.145 | 1.095 | 0.965 | 0.915 | 0.815 | 0.815 | 0.740 | 0.665 | 0.605 | 0.545 | 0.485 | 0.435 | 0.395 | 0.345 | 0.305 | 0.305 |

## Other market specific fees

| Invoice code  | Service description   | Price    |
|---------------|---|----------|
| CB0202085PRB5 | Receipts of securities held in physical form in Luxembourg or Frankfurt | 5.00 EUR |
| CB01003B510   | Additional fee for physical securities deposited with depository 1Q     | 0.20 bp  |

## LuxCSD - fee schedule

### Investment funds - LuxCSD and Fund market groups A, B and C

#### Settlement

See "2. Settlement services".

#### Safekeeping

Minimum safekeeping fee per security: EUR 2.50

#### Funds - LuxCSD

The Family group AUC volume considered to calculate safekeeping fees for LuxCSD market, takes into consideration the volume of the LuxCSD market held on LuxCSD accounts only.

| Family group AUC volume (EUR million) |        | Notary service fee<br>Invoice code<br>CB01005F0D0 | Maintenance fee for securities accounts at top tier level<br>Invoice code:<br>CB01005F080 |
|---------------------------------------|--------|---|---|
| From                                  | To     |   |   |
| 0                                     | 1,000  | 0.02  | 0.98  |
| 1,000                                 | 2,000  | 0.02  | 0.93  |
| 2,000                                 | 5,000  | 0.02  | 0.89  |
| 5,000                                 | 10,000 | 0.02  | 0.85  |
| 10,000                                | 20,000 | 0.02  | 0.81  |
| 20,000                                | 50,000 | 0.02  | 0.78  |
| > 50,000                              |        | 0.02  | 0.75  |

#### Funds FMG A

Minimum safekeeping fee per security: EUR 2.50

| Family group AUC volume (EUR million) |        | Family group discount scheme |      |      |      |      |      |      |      |       |       |       |       |       |       |       |       |       |       |
|---------------------------------------|--------|------------------------------|------|------|------|------|------|------|------|-------|-------|-------|-------|-------|-------|-------|-------|-------|-------|
|                                       |        | Invoice code: CB01001FA30    |      |      |      |      |      |      |      |       |       |       |       |       |       |       |       |       |       |
| From                                  | To     | 1                            | 2    | 3    | 4    | 5    | 6    | 7    | 8    | 9     | 10    | 11    | 12    | 13    | 14    | 15    | 16    | 17    | 18    |
| 0                                     | 70     | 2.62                         | 2.5  | 2.45 | 2.4  | 2.27 | 2.13 | 1.97 | 1.93 | 1.8   | 1.676 | 1.477 | 1.378 | 1.254 | 1.156 | 1.073 | 0.99  | 0.924 | 0.875 |
| 70                                    | 170    | 2.37                         | 2.34 | 2.29 | 2.25 | 2.12 | 1.98 | 1.82 | 1.77 | 1.65  | 1.538 | 1.350 | 1.260 | 1.145 | 1.055 | 0.98  | 0.905 | 0.845 | 0.800 |
| 170                                   | 300    | 2.02                         | 1.84 | 1.84 | 1.80 | 1.72 | 1.63 | 1.52 | 1.49 | 1.35  | 1.260 | 1.095 | 1.023 | 0.926 | 0.854 | 0.794 | 0.734 | 0.686 | 0.650 |
| 300                                   | 600    | 1.89                         | 1.81 | 1.80 | 1.79 | 1.70 | 1.58 | 1.50 | 1.45 | 1.33  | 1.242 | 1.078 | 1.007 | 0.911 | 0.841 | 0.782 | 0.723 | 0.675 | 0.640 |
| 600                                   | 1,500  | 1.79                         | 1.74 | 1.72 | 1.70 | 1.62 | 1.50 | 1.41 | 1.40 | 1.28  | 1.195 | 1.035 | 0.968 | 0.875 | 0.807 | 0.751 | 0.694 | 0.649 | 0.615 |
| 1,500                                 | 7,000  | 1.74                         | 1.70 | 1.65 | 1.64 | 1.57 | 1.47 | 1.37 | 1.20 | 1.09  | 1.019 | 0.874 | 0.818 | 0.736 | 0.680 | 0.633 | 0.586 | 0.548 | 0.520 |
| 7,000                                 | 14,000 |                              | 1.65 | 1.64 | 1.62 | 1.52 | 1.43 | 1.34 | 1.19 | 1.08  | 1.010 | 0.865 | 0.810 | 0.729 | 0.673 | 0.627 | 0.580 | 0.543 | 0.515 |
| > 14,000                              |        |                              | 1.62 | 1.60 | 1.50 | 1.38 | 1.32 | 1.18 | 1.07 | 1.001 | 0.857 | 0.802 | 0.722 | 0.666 | 0.620 | 0.574 | 0.538 | 0.510 |       |

#### Funds FMG A - CFF Hosted

Minimum safekeeping fee per security: EUR 2.50

| Family group AUC (EUR million) | Invoice code: CB01001FA32 |
|--------------------------------|---------------------------|
| Under 5,000                    | 0.300                     |
| Over 5,000                     | 0.250                     |
| Over 10,000                    | 0.200                     |
| Over 15,000                    | 0.135                     |
| Over 25,000                    | 0.080                     |

## Funds FMG B

Minimum safekeeping fee per security: EUR 10.00

| Family group AUC volume<br>(EUR million) |        | Family group discount scheme |       |       |       |       |       |       |       |       |
|--|--------|------------------------------|-------|-------|-------|-------|-------|-------|-------|-------|
| From                                     | To     | Invoice code: CB01004FB      |       |       |       |       |       |       |       |       |
|  |        | 1                            | 2     | 3     | 4     | 5     | 6     | 7     | 8     | 9     |
| 0  | 70     | 4.320                        | 4.200 | 4.150 | 4.100 | 4.020 | 3.880 | 3.720 | 3.680 | 3.650 |
| 70                                       | 170    | 4.070                        | 4.040 | 3.990 | 3.950 | 3.870 | 3.730 | 3.570 | 3.520 | 3.500 |
| 170                                      | 300    | 3.720                        | 3.540 | 3.540 | 3.500 | 3.470 | 3.380 | 3.270 | 3.240 | 3.200 |
| 300                                      | 600    | 3.590                        | 3.510 | 3.500 | 3.490 | 3.450 | 3.330 | 3.250 | 3.200 | 3.180 |
| 600                                      | 1,500  | 3.490                        | 3.440 | 3.420 | 3.400 | 3.370 | 3.250 | 3.160 | 3.150 | 3.130 |
| 1,500                                    | 7,000  | 3.440                        | 3.400 | 3.350 | 3.340 | 3.320 | 3.220 | 3.120 | 2.950 | 2.940 |
| 7,000                                    | 14,000 | -                            | 3.350 | 3.340 | 3.320 | 3.270 | 3.180 | 3.090 | 2.940 | 2.930 |
| > 14,000                                 |        | -                            | -     | 3.320 | 3.300 | 3.250 | 3.130 | 3.070 | 2.930 | 2.920 |

## Funds FMG C

Minimum safekeeping fee per security: EUR 25.00

| Family group AUC volume<br>(EUR million) |        | Family group discount scheme |       |       |       |       |       |       |       |       |
|--|--------|------------------------------|-------|-------|-------|-------|-------|-------|-------|-------|
| From                                     | To     | Invoice code: CB01004FC      |       |       |       |       |       |       |       |       |
|  |        | 1                            | 2     | 3     | 4     | 5     | 6     | 7     | 8     | 9     |
| 0  | 70     | 7.320                        | 7.200 | 7.150 | 7.100 | 7.020 | 6.880 | 6.720 | 6.680 | 6.650 |
| 70                                       | 170    | 7.070                        | 7.040 | 6.990 | 6.950 | 6.870 | 6.730 | 6.570 | 6.520 | 6.500 |
| 170                                      | 300    | 6.720                        | 6.540 | 6.540 | 6.500 | 6.470 | 6.380 | 6.270 | 6.240 | 6.200 |
| 300                                      | 600    | 6.590                        | 6.510 | 6.500 | 6.490 | 6.450 | 6.330 | 6.250 | 6.200 | 6.180 |
| 600                                      | 1,500  | 6.490                        | 6.440 | 6.420 | 6.400 | 6.370 | 6.250 | 6.160 | 6.150 | 6.130 |
| 1,500                                    | 7,000  | 6.440                        | 6.400 | 6.350 | 6.340 | 6.320 | 6.220 | 6.120 | 5.950 | 5.940 |
| 7,000                                    | 14,000 | -                            | 6.350 | 6.340 | 6.320 | 6.270 | 6.180 | 6.090 | 5.940 | 5.930 |
| > 14,000                                 |        | -                            | -     | 6.320 | 6.300 | 6.250 | 6.130 | 6.070 | 5.930 | 5.920 |

## Other market specific fees

| Invoice code | Service description                        | Price   |
|--------------|--|---------|
| CB010FI01    | CFF hosting fee for fund issuance accounts | 0.10 bp |

## Italy

## Settlement

## Accounts settling on T2S

|         |
|---------|
| T2S     |
| See 2.1 |

## Safekeeping

Minimum safekeeping fee per security: EUR 2.50

## Debt

| Family group AUC volume<br>(EUR million) |         | Family group discount scheme |      |      |      |      |      |      |      |      |      |      |       |       |       |        |       |       |       |
|--|---------|------------------------------|------|------|------|------|------|------|------|------|------|------|-------|-------|-------|--------|-------|-------|-------|
|  |         | Invoice code: CB01001D010    |      |      |      |      |      |      |      |      |      |      |       |       |       |        |       |       |       |
|  |         | 1                            | 2    | 3    | 4    | 5    | 6    | 7    | 8    | 9    | 10   | 11   | 12    | 13    | 14    | 15     | 16    | 17    | 18    |
| From                                     | To      |                              |      |      |      |      |      |      |      |      |      |      |       |       |       |        |       |       |       |
| 0  | 750     | 1.39                         | 1.38 | 1.24 | 1.15 | 1.11 | 1.10 | 1.09 | 1.08 | 1.07 | 1.06 | 1.05 | 0.945 | 0.840 | 0.761 | 0.683  | 0.604 | 0.551 | 0.499 |
| 750                                      | 2,000   | 1.29                         | 1.27 | 1.19 | 1.10 | 0.99 | 0.98 | 0.78 | 0.75 | 0.74 | 0.73 | 0.72 | 0.648 | 0.576 | 0.522 | 0.468  | 0.414 | 0.378 | 0.342 |
| 2,000                                    | 6,000   | 1.19                         | 1.08 | 0.98 | 0.89 | 0.76 | 0.72 | 0.64 | 0.62 | 0.59 | 0.59 | 0.59 | 0.531 | 0.472 | 0.428 | 0.384  | 0.339 | 0.310 | 0.280 |
| 6,000                                    | 15,000  |                              | 0.98 | 0.87 | 0.78 | 0.69 | 0.66 | 0.63 | 0.59 | 0.53 | 0.53 | 0.52 | 0.468 | 0.416 | 0.377 | 0.338  | 0.299 | 0.273 | 0.247 |
| 15,000                                   | 30,000  |                              |      |      | 0.77 | 0.68 | 0.59 | 0.55 | 0.53 | 0.51 | 0.50 | 0.49 | 0.441 | 0.392 | 0.355 | 0.319  | 0.282 | 0.257 | 0.233 |
| 30,000                                   | 60,000  |                              |      |      |      | 0.67 | 0.57 | 0.54 | 0.52 | 0.50 | 0.49 | 0.48 | 0.432 | 0.384 | 0.348 | 0.312  | 0.276 | 0.252 | 0.228 |
| 60,000                                   | 130,000 |                              |      |      |      |      | 0.55 | 0.53 | 0.51 | 0.49 | 0.48 | 0.47 | 0.423 | 0.376 | 0.341 | 0.306  | 0.270 | 0.247 | 0.223 |
| 130,000                                  | 200,000 |                              |      |      |      |      |      | 0.51 | 0.50 | 0.48 | 0.47 | 0.46 | 0.414 | 0.368 | 0.334 | 0.299  | 0.265 | 0.242 | 0.219 |
| 200,000                                  | 280,000 |                              |      |      |      |      |      |      | 0.48 | 0.45 | 0.44 | 0.44 | 0.396 | 0.352 | 0.319 | 0.2826 | 0.253 | 0.231 | 0.209 |
| > 280,000                                |         |                              |      |      |      |      |      |      |      | 0.44 | 0.42 | 0.40 | 0.36  | 0.32  | 0.29  | 0.26   | 0.23  | 0.21  | 0.19  |

## Equities

| Family group AUC volume<br>(EUR million) |        | Family group discount scheme |      |      |      |      |      |      |      |      |       |       |       |       |       |       |       |       |       |
|--|--------|------------------------------|------|------|------|------|------|------|------|------|-------|-------|-------|-------|-------|-------|-------|-------|-------|
|  |        | Invoice code: CB01001D020    |      |      |      |      |      |      |      |      |       |       |       |       |       |       |       |       |       |
|  |        | 1                            | 2    | 3    | 4    | 5    | 6    | 7    | 8    | 9    | 10    | 11    | 12    | 13    | 14    | 15    | 16    | 17    | 18    |
| From                                     | To     |                              |      |      |      |      |      |      |      |      |       |       |       |       |       |       |       |       |       |
| 0  | 70     | 2.50                         | 2.45 | 2.30 | 2.20 | 2.10 | 2.00 | 1.95 | 1.93 | 1.90 | 1.758 | 1.615 | 1.501 | 1.387 | 1.273 | 1.178 | 1.102 | 1.007 | 0.931 |
| 70                                       | 170    | 2.25                         | 2.20 | 2.15 | 2.05 | 1.95 | 1.85 | 1.75 | 1.73 | 1.70 | 1.573 | 1.445 | 1.343 | 1.241 | 1.139 | 1.054 | 0.986 | 0.901 | 0.833 |
| 170                                      | 300    | 1.90                         | 1.80 | 1.70 | 1.60 | 1.55 | 1.50 | 1.45 | 1.42 | 1.38 | 1.277 | 1.173 | 1.09  | 1.007 | 0.925 | 0.856 | 0.800 | 0.731 | 0.676 |
| 300                                      | 600    | 1.75                         | 1.70 | 1.65 | 1.58 | 1.52 | 1.46 | 1.42 | 1.38 | 1.30 | 1.203 | 1.105 | 1.027 | 0.949 | 0.871 | 0.806 | 0.754 | 0.689 | 0.637 |
| 600                                      | 1,500  | 1.65                         | 1.60 | 1.55 | 1.45 | 1.40 | 1.30 | 1.20 | 1.17 | 1.15 | 1.064 | 0.978 | 0.909 | 0.840 | 0.771 | 0.713 | 0.667 | 0.610 | 0.564 |
| 1,500                                    | 7,000  | 1.60                         | 1.55 | 1.50 | 1.40 | 1.35 | 1.25 | 1.15 | 1.05 | 1.04 | 0.962 | 0.884 | 0.822 | 0.759 | 0.697 | 0.645 | 0.603 | 0.551 | 0.510 |
| 7,000                                    | 14,000 |                              | 1.45 | 1.40 | 1.35 | 1.30 | 1.20 | 1.12 | 1.04 | 1.02 | 0.944 | 0.867 | 0.806 | 0.745 | 0.683 | 0.632 | 0.592 | 0.541 | 0.500 |
| > 14,000                                 |        |                              |      | 1.35 | 1.33 | 1.28 | 1.15 | 1.10 | 1.00 | 1.00 | 0.925 | 0.85  | 0.79  | 0.73  | 0.67  | 0.62  | 0.58  | 0.53  | 0.49  |

## Other market specific fees

| Invoice code | Service description                          | Price   |
|--------------|--|---------|
| CB01003D010  | Italian Infrastructure surcharge on debt     | 0.02 bp |
| CB01003D020  | Italian Infrastructure surcharge on equities | 0.05 bp |

## Latvia

### Settlement

#### Accounts settling on T2S

|         |
|---------|
| T2S     |
| See 2.1 |

### Safekeeping

Minimum safekeeping fee per security: EUR 6.50

#### Debt

| Family group AUC volume<br>(EUR million) |     | Family group discount scheme |      |      |      |      |      |      |      |      |      |      |      |      |      |      |      |      |      |
|--|-----|------------------------------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|
|  |     | Invoice code: CB01001E010    |      |      |      |      |      |      |      |      |      |      |      |      |      |      |      |      |      |
|  |     | 1                            | 2    | 3    | 4    | 5    | 6    | 7    | 8    | 9    | 10   | 11   | 12   | 13   | 14   | 15   | 16   | 17   | 18   |
| From                                     | To  |                              |      |      |      |      |      |      |      |      |      |      |      |      |      |      |      |      |      |
| 0  | 10  | 8.00                         | 7.80 | 7.60 | 7.40 | 7.20 | 7.00 | 6.80 | 6.60 | 6.40 | 6.40 | 6.40 | 6.40 | 6.40 | 6.40 | 6.40 | 6.40 | 6.40 | 6.40 |
| 10                                       | 25  | 7.85                         | 7.65 | 7.45 | 7.25 | 7.05 | 6.85 | 6.65 | 6.45 | 6.25 | 6.25 | 6.25 | 6.25 | 6.25 | 6.25 | 6.25 | 6.25 | 6.25 | 6.25 |
| 25                                       | 50  | 7.70                         | 7.50 | 7.30 | 7.10 | 6.90 | 6.70 | 6.50 | 6.30 | 6.10 | 6.10 | 6.10 | 6.10 | 6.10 | 6.10 | 6.10 | 6.10 | 6.10 | 6.10 |
| 50                                       | 150 | 7.55                         | 7.35 | 7.15 | 6.95 | 6.75 | 6.55 | 6.35 | 6.15 | 5.95 | 5.95 | 5.95 | 5.95 | 5.95 | 5.95 | 5.95 | 5.95 | 5.95 | 5.95 |
| 150                                      | 250 | 7.40                         | 7.20 | 7.00 | 6.80 | 6.60 | 6.40 | 6.20 | 6.00 | 5.80 | 5.80 | 5.80 | 5.80 | 5.80 | 5.80 | 5.80 | 5.80 | 5.80 | 5.80 |
| > 250                                    |     | 7.25                         | 7.05 | 6.85 | 6.65 | 6.45 | 6.25 | 6.05 | 5.85 | 5.65 | 5.65 | 5.65 | 5.65 | 5.65 | 5.65 | 5.65 | 5.65 | 5.65 | 5.65 |

#### Equities

| Family group AUC volume<br>(EUR million) |     | Family group discount scheme |      |      |      |      |      |      |      |      |      |      |      |      |      |      |      |      |      |
|--|-----|------------------------------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|
|  |     | Invoice code: CB01001E020    |      |      |      |      |      |      |      |      |      |      |      |      |      |      |      |      |      |
|  |     | 1                            | 2    | 3    | 4    | 5    | 6    | 7    | 8    | 9    | 10   | 11   | 12   | 13   | 14   | 15   | 16   | 17   | 18   |
| From                                     | To  |                              |      |      |      |      |      |      |      |      |      |      |      |      |      |      |      |      |      |
| 0  | 10  | 10.00                        | 9.80 | 9.60 | 9.40 | 9.20 | 9.00 | 8.80 | 8.60 | 8.40 | 8.40 | 8.40 | 8.40 | 8.40 | 8.40 | 8.40 | 8.40 | 8.40 | 8.40 |
| 10                                       | 25  | 9.85                         | 9.65 | 9.45 | 9.25 | 9.05 | 8.85 | 8.65 | 8.45 | 8.25 | 8.25 | 8.25 | 8.25 | 8.25 | 8.25 | 8.25 | 8.25 | 8.25 | 8.25 |
| 25                                       | 50  | 9.70                         | 9.50 | 9.30 | 9.10 | 8.90 | 8.70 | 8.50 | 8.30 | 8.10 | 8.10 | 8.10 | 8.10 | 8.10 | 8.10 | 8.10 | 8.10 | 8.10 | 8.10 |
| 50                                       | 150 | 9.55                         | 9.35 | 9.15 | 8.95 | 8.75 | 8.55 | 8.35 | 8.15 | 7.95 | 7.95 | 7.95 | 7.95 | 7.95 | 7.95 | 7.95 | 7.95 | 7.95 | 7.95 |
| 150                                      | 250 | 9.40                         | 9.20 | 9.00 | 8.80 | 8.60 | 8.40 | 8.20 | 8.00 | 7.80 | 7.80 | 7.80 | 7.80 | 7.80 | 7.80 | 7.80 | 7.80 | 7.80 | 7.80 |
| > 250                                    |     | 9.25                         | 9.05 | 8.85 | 8.65 | 8.45 | 8.25 | 8.05 | 7.85 | 7.65 | 7.65 | 7.65 | 7.65 | 7.65 | 7.65 | 7.65 | 7.65 | 7.65 | 7.65 |

### Other market specific fees

| Invoice code | Service description               | Price   |
|--------------|-----------------------------------|---------|
| CB01003E010  | Infrastructure surcharge debt     | 2.50 bp |
| CB01003E020  | Infrastructure surcharge equities | 2.50 bp |

## Lithuania

## Settlement

## Accounts settling on T2S

|         |
|---------|
| T2S     |
| See 2.1 |

## Safekeeping

Minimum safekeeping fee per security: EUR 6.50

## Debt

| Family group AUC volume<br>(EUR million) |     | Family group discount scheme |      |      |      |      |      |      |      |      |      |      |      |      |      |      |      |      |      |
|--|-----|------------------------------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|
|  |     | Invoice code: CB01001E510    |      |      |      |      |      |      |      |      |      |      |      |      |      |      |      |      |      |
|  |     | 1                            | 2    | 3    | 4    | 5    | 6    | 7    | 8    | 9    | 10   | 11   | 12   | 13   | 14   | 15   | 16   | 17   | 18   |
| From                                     | To  |                              |      |      |      |      |      |      |      |      |      |      |      |      |      |      |      |      |      |
| 0  | 10  | 7.50                         | 7.30 | 7.10 | 6.90 | 6.70 | 6.50 | 6.30 | 6.10 | 5.90 | 5.90 | 5.90 | 5.90 | 5.90 | 5.90 | 5.90 | 5.90 | 5.90 | 5.90 |
| 10                                       | 25  | 7.35                         | 7.15 | 6.95 | 6.75 | 6.55 | 6.35 | 6.15 | 5.95 | 5.75 | 5.75 | 5.75 | 5.75 | 5.75 | 5.75 | 5.75 | 5.75 | 5.75 | 5.75 |
| 25                                       | 50  | 7.20                         | 7.00 | 6.80 | 6.60 | 6.40 | 6.20 | 6.00 | 5.80 | 5.60 | 5.60 | 5.60 | 5.60 | 5.60 | 5.60 | 5.60 | 5.60 | 5.60 | 5.60 |
| 50                                       | 150 | 7.05                         | 6.85 | 6.65 | 6.45 | 6.25 | 6.05 | 5.85 | 5.65 | 5.45 | 5.45 | 5.45 | 5.45 | 5.45 | 5.45 | 5.45 | 5.45 | 5.45 | 5.45 |
| 150                                      | 250 | 6.90                         | 6.70 | 6.50 | 6.30 | 6.10 | 5.90 | 5.70 | 5.50 | 5.30 | 5.30 | 5.30 | 5.30 | 5.30 | 5.30 | 5.30 | 5.30 | 5.30 | 5.30 |
| > 250                                    |     | 6.75                         | 6.55 | 6.35 | 6.15 | 5.95 | 5.75 | 5.55 | 5.35 | 5.15 | 5.15 | 5.15 | 5.15 | 5.15 | 5.15 | 5.15 | 5.15 | 5.15 | 5.15 |

## Equities

| Family group AUC volume<br>(EUR million) |     | Family group discount scheme |      |      |      |      |      |      |      |      |      |      |      |      |      |      |      |      |      |
|--|-----|------------------------------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|
|  |     | Invoice code: CB01001E520    |      |      |      |      |      |      |      |      |      |      |      |      |      |      |      |      |      |
|  |     | 1                            | 2    | 3    | 4    | 5    | 6    | 7    | 8    | 9    | 10   | 11   | 12   | 13   | 14   | 15   | 16   | 17   | 18   |
| From                                     | To  |                              |      |      |      |      |      |      |      |      |      |      |      |      |      |      |      |      |      |
| 0  | 10  | 7.50                         | 7.30 | 7.10 | 6.90 | 6.70 | 6.50 | 6.30 | 6.10 | 5.90 | 5.90 | 5.90 | 5.90 | 5.90 | 5.90 | 5.90 | 5.90 | 5.90 | 5.90 |
| 10                                       | 25  | 7.35                         | 7.15 | 6.95 | 6.75 | 6.55 | 6.35 | 6.15 | 5.95 | 5.75 | 5.75 | 5.75 | 5.75 | 5.75 | 5.75 | 5.75 | 5.75 | 5.75 | 5.75 |
| 25                                       | 50  | 7.20                         | 7.00 | 6.80 | 6.60 | 6.40 | 6.20 | 6.00 | 5.80 | 5.60 | 5.60 | 5.60 | 5.60 | 5.60 | 5.60 | 5.60 | 5.60 | 5.60 | 5.60 |
| 50                                       | 150 | 7.05                         | 6.85 | 6.65 | 6.45 | 6.25 | 6.05 | 5.85 | 5.65 | 5.45 | 5.45 | 5.45 | 5.45 | 5.45 | 5.45 | 5.45 | 5.45 | 5.45 | 5.45 |
| 150                                      | 250 | 6.90                         | 6.70 | 6.50 | 6.30 | 6.10 | 5.90 | 5.70 | 5.50 | 5.30 | 5.30 | 5.30 | 5.30 | 5.30 | 5.30 | 5.30 | 5.30 | 5.30 | 5.30 |
| > 250                                    |     | 6.75                         | 6.55 | 6.35 | 6.15 | 5.95 | 5.75 | 5.55 | 5.35 | 5.15 | 5.15 | 5.15 | 5.15 | 5.15 | 5.15 | 5.15 | 5.15 | 5.15 | 5.15 |

## Other market specific fees

| Invoice code | Service description               | Price   |
|--------------|-----------------------------------|---------|
| CB01003E510  | Infrastructure surcharge debt     | 2.50 bp |
| CB01003E520  | Infrastructure surcharge equities | 2.50 bp |



## Malta

### Settlement

#### Accounts settling on T2S

| T2S     |
|---------|
| See 2.1 |

#### Safekeeping

Minimum safekeeping fee per security: EUR 6.50

#### Debt

| Family group AUC volume<br>(EUR million) |         | Family group discount scheme |      |      |      |      |      |      |      |      |      |      |      |      |      |      |      |      |      |
|--|---------|------------------------------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|
|  |         | Invoice code: CB01001G510    |      |      |      |      |      |      |      |      |      |      |      |      |      |      |      |      |      |
|  |         | 1                            | 2    | 3    | 4    | 5    | 6    | 7    | 8    | 9    | 10   | 11   | 12   | 13   | 14   | 15   | 16   | 17   | 18   |
| From                                     | To      |                              |      |      |      |      |      |      |      |      |      |      |      |      |      |      |      |      |      |
| 0  | 750     | 1.39                         | 1.38 | 1.24 | 1.15 | 1.11 | 1.10 | 1.09 | 1.08 | 1.07 | 1.06 | 1.05 | 1.05 | 1.05 | 1.05 | 1.05 | 1.05 | 1.05 | 1.05 |
| 750                                      | 2,000   | 1.29                         | 1.27 | 1.19 | 1.10 | 0.99 | 0.98 | 0.78 | 0.75 | 0.74 | 0.73 | 0.72 | 0.72 | 0.72 | 0.72 | 0.72 | 0.72 | 0.72 | 0.72 |
| 2,000                                    | 6,000   | 1.29                         | 1.08 | 0.98 | 0.89 | 0.76 | 0.72 | 0.64 | 0.62 | 0.59 | 0.59 | 0.59 | 0.59 | 0.59 | 0.59 | 0.59 | 0.59 | 0.59 | 0.59 |
| 6,000                                    | 15,000  |                              | 1.08 | 0.87 | 0.78 | 0.69 | 0.66 | 0.63 | 0.59 | 0.53 | 0.53 | 0.52 | 0.52 | 0.52 | 0.52 | 0.52 | 0.52 | 0.52 | 0.52 |
| 15,000                                   | 30,000  |                              |      |      | 0.77 | 0.68 | 0.59 | 0.55 | 0.53 | 0.51 | 0.50 | 0.49 | 0.49 | 0.49 | 0.49 | 0.49 | 0.49 | 0.49 | 0.49 |
| 30,000                                   | 60,000  |                              |      |      |      | 0.67 | 0.57 | 0.54 | 0.52 | 0.50 | 0.49 | 0.48 | 0.48 | 0.48 | 0.48 | 0.48 | 0.48 | 0.48 | 0.48 |
| 60,000                                   | 130,000 |                              |      |      |      |      | 0.55 | 0.53 | 0.51 | 0.49 | 0.48 | 0.47 | 0.47 | 0.47 | 0.47 | 0.47 | 0.47 | 0.47 | 0.47 |
| 130,000                                  | 200,000 |                              |      |      |      |      |      | 0.51 | 0.50 | 0.48 | 0.47 | 0.46 | 0.46 | 0.46 | 0.46 | 0.46 | 0.46 | 0.46 | 0.46 |
| 200,000                                  | 280,000 |                              |      |      |      |      |      |      | 0.48 | 0.45 | 0.44 | 0.44 | 0.44 | 0.44 | 0.44 | 0.44 | 0.44 | 0.44 | 0.44 |
| > 280,000                                |         |                              |      |      |      |      |      |      |      | 0.44 | 0.42 | 0.40 | 0.40 | 0.40 | 0.40 | 0.40 | 0.40 | 0.40 | 0.40 |

#### Equities

| Family group AUC volume<br>(EUR million) |        | Family group discount scheme |      |      |      |      |      |      |      |      |      |      |      |      |      |      |      |      |      |
|--|--------|------------------------------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|
|  |        | Invoice code: CB01001G520    |      |      |      |      |      |      |      |      |      |      |      |      |      |      |      |      |      |
|  |        | 1                            | 2    | 3    | 4    | 5    | 6    | 7    | 8    | 9    | 10   | 11   | 12   | 13   | 14   | 15   | 16   | 17   | 18   |
| From                                     | To     |                              |      |      |      |      |      |      |      |      |      |      |      |      |      |      |      |      |      |
| 0  | 70     | 2.50                         | 2.45 | 2.30 | 2.20 | 2.10 | 2.00 | 1.95 | 1.93 | 1.90 | 1.90 | 1.90 | 1.90 | 1.90 | 1.90 | 1.90 | 1.90 | 1.90 | 1.90 |
| 70                                       | 170    | 2.25                         | 2.20 | 2.15 | 2.05 | 1.95 | 1.85 | 1.75 | 1.73 | 1.70 | 1.70 | 1.70 | 1.70 | 1.70 | 1.70 | 1.70 | 1.70 | 1.70 | 1.70 |
| 170                                      | 300    | 1.90                         | 1.80 | 1.70 | 1.60 | 1.55 | 1.50 | 1.45 | 1.42 | 1.38 | 1.38 | 1.38 | 1.38 | 1.38 | 1.38 | 1.38 | 1.38 | 1.38 | 1.38 |
| 300                                      | 600    | 1.75                         | 1.70 | 1.65 | 1.58 | 1.52 | 1.46 | 1.42 | 1.38 | 1.30 | 1.30 | 1.30 | 1.30 | 1.30 | 1.30 | 1.30 | 1.30 | 1.30 | 1.30 |
| 600                                      | 1,500  | 1.65                         | 1.60 | 1.55 | 1.45 | 1.40 | 1.30 | 1.20 | 1.17 | 1.15 | 1.15 | 1.15 | 1.15 | 1.15 | 1.15 | 1.15 | 1.15 | 1.15 | 1.15 |
| 1,500                                    | 7,000  | 1.60                         | 1.55 | 1.50 | 1.40 | 1.35 | 1.25 | 1.15 | 1.05 | 1.04 | 1.04 | 1.04 | 1.04 | 1.04 | 1.04 | 1.04 | 1.04 | 1.04 | 1.04 |
| 7,000                                    | 14,000 |                              | 1.55 | 1.40 | 1.35 | 1.30 | 1.20 | 1.12 | 1.04 | 1.02 | 1.02 | 1.02 | 1.02 | 1.02 | 1.02 | 1.02 | 1.02 | 1.02 | 1.02 |
| > 14,000                                 |        |                              | 1.40 | 1.33 | 1.28 | 1.15 | 1.10 | 1.00 | 1.00 | 1.00 | 1.00 | 1.00 | 1.00 | 1.00 | 1.00 | 1.00 | 1.00 | 1.00 | 1.00 |

## Netherlands

## Settlement

## Accounts settling on T2S

|         |
|---------|
| T2S     |
| See 2.1 |

## Safekeeping

Minimum safekeeping fee per security: EUR 2.50

## Debt

| Family group AUC volume<br>(EUR million) |         | Family group discount scheme |      |      |      |      |      |      |      |      |      |      |       |       |       |        |       |       |       |
|--|---------|------------------------------|------|------|------|------|------|------|------|------|------|------|-------|-------|-------|--------|-------|-------|-------|
|  |         | Invoice code: CB01001H510    |      |      |      |      |      |      |      |      |      |      |       |       |       |        |       |       |       |
| From                                     | To      | 1                            | 2    | 3    | 4    | 5    | 6    | 7    | 8    | 9    | 10   | 11   | 12    | 13    | 14    | 15     | 16    | 17    | 18    |
| 0  | 750     | 1.39                         | 1.38 | 1.24 | 1.15 | 1.11 | 1.10 | 1.09 | 1.08 | 1.07 | 1.06 | 1.05 | 0.945 | 0.840 | 0.761 | 0.683  | 0.604 | 0.551 | 0.499 |
| 750                                      | 2,000   | 1.29                         | 1.27 | 1.19 | 1.10 | 0.99 | 0.98 | 0.78 | 0.75 | 0.74 | 0.73 | 0.72 | 0.648 | 0.576 | 0.522 | 0.468  | 0.414 | 0.378 | 0.342 |
| 2,000                                    | 6,000   | 1.19                         | 1.08 | 0.98 | 0.89 | 0.76 | 0.72 | 0.64 | 0.62 | 0.59 | 0.59 | 0.59 | 0.531 | 0.472 | 0.428 | 0.384  | 0.339 | 0.310 | 0.280 |
| 6,000                                    | 15,000  |                              | 0.98 | 0.87 | 0.78 | 0.69 | 0.66 | 0.63 | 0.59 | 0.53 | 0.53 | 0.52 | 0.468 | 0.416 | 0.377 | 0.338  | 0.299 | 0.273 | 0.247 |
| 15,000                                   | 30,000  |                              |      |      | 0.77 | 0.68 | 0.59 | 0.55 | 0.53 | 0.51 | 0.50 | 0.49 | 0.441 | 0.392 | 0.355 | 0.319  | 0.282 | 0.257 | 0.233 |
| 30,000                                   | 60,000  |                              |      |      |      | 0.67 | 0.57 | 0.54 | 0.52 | 0.50 | 0.49 | 0.48 | 0.432 | 0.384 | 0.348 | 0.312  | 0.276 | 0.252 | 0.228 |
| 60,000                                   | 130,000 |                              |      |      |      |      | 0.55 | 0.53 | 0.51 | 0.49 | 0.48 | 0.47 | 0.423 | 0.376 | 0.341 | 0.306  | 0.270 | 0.247 | 0.223 |
| 130,000                                  | 200,000 |                              |      |      |      |      |      | 0.51 | 0.50 | 0.48 | 0.47 | 0.46 | 0.414 | 0.368 | 0.334 | 0.299  | 0.265 | 0.242 | 0.219 |
| 200,000                                  | 280,000 |                              |      |      |      |      |      |      | 0.48 | 0.45 | 0.44 | 0.44 | 0.396 | 0.352 | 0.319 | 0.2826 | 0.253 | 0.231 | 0.209 |
| > 280,000                                |         |                              |      |      |      |      |      |      |      | 0.44 | 0.42 | 0.40 | 0.36  | 0.32  | 0.29  | 0.26   | 0.23  | 0.21  | 0.19  |

## Equities

| Family group AUC volume<br>(EUR million) |        | Family group discount scheme |      |      |      |      |      |      |      |      |       |       |       |       |       |       |       |       |       |
|--|--------|------------------------------|------|------|------|------|------|------|------|------|-------|-------|-------|-------|-------|-------|-------|-------|-------|
|  |        | Invoice code: CB01001H520    |      |      |      |      |      |      |      |      |       |       |       |       |       |       |       |       |       |
| From                                     | To     | 1                            | 2    | 3    | 4    | 5    | 6    | 7    | 8    | 9    | 10    | 11    | 12    | 13    | 14    | 15    | 16    | 17    | 18    |
| 0  | 70     | 2.50                         | 2.45 | 2.30 | 2.20 | 2.10 | 2.00 | 1.95 | 1.93 | 1.90 | 1.758 | 1.615 | 1.501 | 1.387 | 1.273 | 1.178 | 1.102 | 1.007 | 0.931 |
| 70                                       | 170    | 2.25                         | 2.20 | 2.15 | 2.05 | 1.95 | 1.85 | 1.75 | 1.73 | 1.70 | 1.573 | 1.445 | 1.343 | 1.241 | 1.139 | 1.054 | 0.986 | 0.901 | 0.833 |
| 170                                      | 300    | 1.90                         | 1.80 | 1.70 | 1.60 | 1.55 | 1.50 | 1.45 | 1.42 | 1.38 | 1.277 | 1.173 | 1.09  | 1.007 | 0.925 | 0.856 | 0.800 | 0.731 | 0.676 |
| 300                                      | 600    | 1.75                         | 1.70 | 1.65 | 1.58 | 1.52 | 1.46 | 1.42 | 1.38 | 1.30 | 1.203 | 1.105 | 1.027 | 0.949 | 0.871 | 0.806 | 0.754 | 0.689 | 0.637 |
| 600                                      | 1,500  | 1.65                         | 1.60 | 1.55 | 1.45 | 1.40 | 1.30 | 1.20 | 1.17 | 1.15 | 1.064 | 0.978 | 0.909 | 0.840 | 0.771 | 0.713 | 0.667 | 0.610 | 0.564 |
| 1,500                                    | 7,000  | 1.60                         | 1.55 | 1.50 | 1.40 | 1.35 | 1.25 | 1.15 | 1.05 | 1.04 | 0.962 | 0.884 | 0.822 | 0.759 | 0.697 | 0.645 | 0.603 | 0.551 | 0.510 |
| 7,000                                    | 14,000 |                              | 1.45 | 1.40 | 1.35 | 1.30 | 1.20 | 1.12 | 1.04 | 1.02 | 0.944 | 0.867 | 0.806 | 0.745 | 0.683 | 0.632 | 0.592 | 0.541 | 0.500 |
| > 14,000                                 |        |                              |      | 1.35 | 1.33 | 1.28 | 1.15 | 1.10 | 1.00 | 1.00 | 0.925 | 0.85  | 0.79  | 0.73  | 0.67  | 0.62  | 0.58  | 0.53  | 0.49  |

## Other market specific fees

| Invoice code                             | Service description   | Price     |
|--|---|-----------|
| CB0202085PIH5                            | Physical deliveries or receipts of securities   | 45.00 EUR |
| CB01001H520                              | Minimum market value per security applied by ESES for equities  | 6.00 EUR  |
| CB01001H520                              | Minimum market value per security applied by ESES for equity linked instruments   | 1.00 EUR  |
| CB01001H520                              | Minimum market value per security applied by ESES for equities for which more than 1 billion units have been issued and market quotation is lower than EUR 0.01 | 1.00 EUR  |
| CB01001FA*/<br>CB01004FB*/<br>CB01004FC* | Minimum market value per security applied by ESES for funds   | 6.00 EUR  |
| CB01003H510                              | Dutch money market instruments held on the ESES platform  | 0.47 bp   |

## Portugal

### Settlement

#### Accounts settling on T2S

|         |
|---------|
| T2S     |
| See 2.1 |

### Safekeeping

Minimum safekeeping fee per security: EUR 2.50

#### Debt

| Family group AUC volume<br>(EUR million) |       | Family group discount scheme |      |      |      |      |      |      |      |      |      |      |      |      |      |      |      |      |      |
|--|-------|------------------------------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|
|  |       | Invoice code: CB01001K010    |      |      |      |      |      |      |      |      |      |      |      |      |      |      |      |      |      |
|  |       | 1                            | 2    | 3    | 4    | 5    | 6    | 7    | 8    | 9    | 10   | 11   | 12   | 13   | 14   | 15   | 16   | 17   | 18   |
| From                                     | To    | 1                            | 2    | 3    | 4    | 5    | 6    | 7    | 8    | 9    | 10   | 11   | 12   | 13   | 14   | 15   | 16   | 17   | 18   |
| 0  | 30    | 4.00                         | 3.95 | 3.89 | 3.85 | 3.83 | 3.80 | 3.75 | 3.50 | 3.10 | 3.10 | 3.10 | 3.10 | 3.10 | 3.10 | 3.10 | 3.10 | 3.10 | 3.10 |
| 30                                       | 75    | 2.50                         | 2.44 | 2.35 | 2.33 | 2.30 | 2.14 | 1.90 | 1.80 | 1.77 | 1.77 | 1.77 | 1.77 | 1.77 | 1.77 | 1.77 | 1.77 | 1.77 | 1.77 |
| 75                                       | 150   | 2.00                         | 1.90 | 1.88 | 1.75 | 1.53 | 1.44 | 1.42 | 1.40 | 1.38 | 1.38 | 1.38 | 1.38 | 1.38 | 1.38 | 1.38 | 1.38 | 1.38 | 1.38 |
| 150                                      | 300   | 1.30                         | 1.28 | 1.25 | 1.18 | 1.14 | 1.08 | 1.04 | 1.02 | 1.00 | 1.00 | 1.00 | 1.00 | 1.00 | 1.00 | 1.00 | 1.00 | 1.00 | 1.00 |
| 300                                      | 1,000 | 1.28                         | 1.26 | 1.18 | 1.12 | 1.08 | 1.04 | 1.01 | 1.00 | 0.98 | 0.98 | 0.98 | 0.98 | 0.98 | 0.98 | 0.98 | 0.98 | 0.98 | 0.98 |
| > 1,000                                  |       | 1.26                         | 1.24 | 1.15 | 1.10 | 1.06 | 1.02 | 0.99 | 0.98 | 0.96 | 0.96 | 0.96 | 0.96 | 0.96 | 0.96 | 0.96 | 0.96 | 0.96 | 0.96 |

#### Equities

| Family group AUC volume<br>(EUR million) |       | Family group discount scheme |      |      |      |      |      |      |      |      |      |      |      |      |      |      |      |      |      |
|--|-------|------------------------------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|
|  |       | Invoice code: CB01001K020    |      |      |      |      |      |      |      |      |      |      |      |      |      |      |      |      |      |
|  |       | 1                            | 2    | 3    | 4    | 5    | 6    | 7    | 8    | 9    | 10   | 11   | 12   | 13   | 14   | 15   | 16   | 17   | 18   |
| From                                     | To    | 1                            | 2    | 3    | 4    | 5    | 6    | 7    | 8    | 9    | 10   | 11   | 12   | 13   | 14   | 15   | 16   | 17   | 18   |
| 0  | 30    | 4.00                         | 3.96 | 3.92 | 3.88 | 3.83 | 3.80 | 3.75 | 3.65 | 3.55 | 3.55 | 3.55 | 3.55 | 3.55 | 3.55 | 3.55 | 3.55 | 3.55 | 3.55 |
| 30                                       | 75    | 2.50                         | 2.45 | 2.40 | 2.33 | 2.30 | 2.22 | 2.10 | 1.95 | 1.80 | 1.80 | 1.80 | 1.80 | 1.80 | 1.80 | 1.80 | 1.80 | 1.80 | 1.80 |
| 75                                       | 150   | 2.00                         | 1.94 | 1.88 | 1.82 | 1.75 | 1.68 | 1.60 | 1.52 | 1.42 | 1.42 | 1.42 | 1.42 | 1.42 | 1.42 | 1.42 | 1.42 | 1.42 | 1.42 |
| 150                                      | 300   | 1.70                         | 1.67 | 1.63 | 1.60 | 1.55 | 1.50 | 1.45 | 1.44 | 1.40 | 1.40 | 1.40 | 1.40 | 1.40 | 1.40 | 1.40 | 1.40 | 1.40 | 1.40 |
| 300                                      | 1,500 | 1.68                         | 1.65 | 1.55 | 1.54 | 1.48 | 1.46 | 1.44 | 1.42 | 1.38 | 1.38 | 1.38 | 1.38 | 1.38 | 1.38 | 1.38 | 1.38 | 1.38 | 1.38 |
| > 1,500                                  |       | 1.65                         | 1.60 | 1.54 | 1.52 | 1.47 | 1.45 | 1.42 | 1.40 | 1.35 | 1.35 | 1.35 | 1.35 | 1.35 | 1.35 | 1.35 | 1.35 | 1.35 | 1.35 |

### Other market specific fees

| Custody charge Interbolsa<br>(EUR million) |        |            |
|--|--------|------------|
| Invoice code: CB01003K010/<br>CB01003K020  |        | Price (bp) |
| From                                       | To     |            |
| 0  | 100    | 0.50       |
| 100  | 1,000  | 0.37       |
| 1,000                                      | 10,000 | 0.35       |
| > 10,000                                   |        | 0.34       |

**Note:** The custody charge levied by Interbolsa is passed on to clients each month as an out-of-pocket expense.

## Spain

## Settlement

## Accounts settling on T2S

|         |
|---------|
| T2S     |
| See 2.1 |

## Safekeeping

Minimum safekeeping fee per security: EUR 6.50

## Debt

| Family group AUC volume<br>(EUR million) |       | Family group discount scheme |      |      |      |      |      |      |      |      |      |      |      |      |      |      |      |      |      |
|--|-------|------------------------------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|
|  |       | Invoice code: CB010010010    |      |      |      |      |      |      |      |      |      |      |      |      |      |      |      |      |      |
|  |       | 1                            | 2    | 3    | 4    | 5    | 6    | 7    | 8    | 9    | 10   | 11   | 12   | 13   | 14   | 15   | 16   | 17   | 18   |
| From                                     | To    | 1                            | 2    | 3    | 4    | 5    | 6    | 7    | 8    | 9    | 10   | 11   | 12   | 13   | 14   | 15   | 16   | 17   | 18   |
| 0  | 150   | 1.77                         | 1.75 | 1.70 | 1.67 | 1.65 | 1.58 | 1.44 | 1.25 | 1.05 | 1.05 | 1.05 | 1.05 | 1.05 | 1.05 | 1.05 | 1.05 | 1.05 | 1.05 |
| 150                                      | 300   | 1.40                         | 1.38 | 1.35 | 1.28 | 1.22 | 1.05 | 0.98 | 0.95 | 0.90 | 0.90 | 0.90 | 0.90 | 0.90 | 0.90 | 0.90 | 0.90 | 0.90 | 0.90 |
| 300                                      | 750   | 1.25                         | 1.20 | 1.18 | 1.10 | 1.00 | 0.94 | 0.88 | 0.86 | 0.85 | 0.85 | 0.85 | 0.85 | 0.85 | 0.85 | 0.85 | 0.85 | 0.85 | 0.85 |
| 750                                      | 1,500 | 1.22                         | 1.15 | 1.05 | 0.97 | 0.88 | 0.85 | 0.84 | 0.83 | 0.82 | 0.82 | 0.82 | 0.82 | 0.82 | 0.82 | 0.82 | 0.82 | 0.82 | 0.82 |
| 1,500                                    | 3,500 | 1.18                         | 1.10 | 1.00 | 0.93 | 0.86 | 0.84 | 0.82 | 0.80 | 0.78 | 0.78 | 0.78 | 0.78 | 0.78 | 0.78 | 0.78 | 0.78 | 0.78 | 0.78 |
| 3,500                                    | 7,500 |                              | 1.08 | 0.95 | 0.88 | 0.84 | 0.82 | 0.80 | 0.78 | 0.77 | 0.77 | 0.77 | 0.77 | 0.77 | 0.77 | 0.77 | 0.77 | 0.77 | 0.77 |
| > 7,500                                  |       |                              |      | 0.92 | 0.86 | 0.82 | 0.80 | 0.78 | 0.77 | 0.76 | 0.76 | 0.76 | 0.76 | 0.76 | 0.76 | 0.76 | 0.76 | 0.76 | 0.76 |

## Equities

| Family group AUC volume<br>(EUR million) |       | Family group discount scheme |      |      |      |      |      |      |      |      |      |      |      |      |      |      |      |      |      |
|--|-------|------------------------------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|------|
|  |       | Invoice code: CB010010020    |      |      |      |      |      |      |      |      |      |      |      |      |      |      |      |      |      |
|  |       | 1                            | 2    | 3    | 4    | 5    | 6    | 7    | 8    | 9    | 10   | 11   | 12   | 13   | 14   | 15   | 16   | 17   | 18   |
| From                                     | To    | 1                            | 2    | 3    | 4    | 5    | 6    | 7    | 8    | 9    | 10   | 11   | 12   | 13   | 14   | 15   | 16   | 17   | 18   |
| 0  | 50    | 2.25                         | 2.20 | 2.15 | 2.10 | 2.04 | 1.98 | 1.91 | 1.83 | 1.70 | 1.70 | 1.70 | 1.70 | 1.70 | 1.70 | 1.70 | 1.70 | 1.70 | 1.70 |
| 50                                       | 100   | 1.87                         | 1.83 | 1.77 | 1.71 | 1.66 | 1.59 | 1.52 | 1.43 | 1.34 | 1.34 | 1.34 | 1.34 | 1.34 | 1.34 | 1.34 | 1.34 | 1.34 | 1.34 |
| 100                                      | 150   | 1.78                         | 1.75 | 1.72 | 1.67 | 1.65 | 1.58 | 1.48 | 1.39 | 1.29 | 1.29 | 1.29 | 1.29 | 1.29 | 1.29 | 1.29 | 1.29 | 1.29 | 1.29 |
| 150                                      | 300   | 1.76                         | 1.72 | 1.69 | 1.62 | 1.55 | 1.48 | 1.40 | 1.32 | 1.24 | 1.24 | 1.24 | 1.24 | 1.24 | 1.24 | 1.24 | 1.24 | 1.24 | 1.24 |
| 300                                      | 750   | 1.53                         | 1.48 | 1.43 | 1.37 | 1.32 | 1.27 | 1.21 | 1.18 | 1.15 | 1.15 | 1.15 | 1.15 | 1.15 | 1.15 | 1.15 | 1.15 | 1.15 | 1.15 |
| 750                                      | 3,000 | 1.45                         | 1.41 | 1.37 | 1.33 | 1.29 | 1.22 | 1.16 | 1.14 | 1.12 | 1.12 | 1.12 | 1.12 | 1.12 | 1.12 | 1.12 | 1.12 | 1.12 | 1.12 |
| > 3,000                                  |       |                              | 1.37 | 1.33 | 1.29 | 1.25 | 1.21 | 1.14 | 1.13 | 1.10 | 1.10 | 1.10 | 1.10 | 1.10 | 1.10 | 1.10 | 1.10 | 1.10 | 1.10 |

## Other market specific fees

| Invoice code  | Service description   | Price    |
|---------------|---|----------|
| CB0100300AI   | Supervisory fees charged by the Spanish Regulator (CNMV) on securities traded in the Asociacion de Intermediarios de Activos Financieros (AIAF) | 0.094 bp |
| CB01003001015 | Spain infrastructure surcharge - public debt (government bonds)   | 0.04 bp  |
| CB010030020   | Spain infrastructure surcharge - equities   | 0.04 bp  |
| CB08060A0     | Pledge Account service fee (monthly)  | EUR 400  |

# Annex 2: Calculation examples

Disclaimer: All examples are for illustration purposes only.

## Safekeeping services (section 1)

These examples are applicable to LuxCSD 48 and 43-series accounts.

Client A holds the following securities in its account

| Holding of client A | Average deposit value LuxCSD account (EUR million) | Average deposit value on Clearstream Banking accounts (EUR million) | Holdings of family group XY |
|---------------------|--|---|-----------------------------|
| LuxCSD debt         | 2,000  | 3,000   | 5,000                       |
| Italian debt        | 500  | 2,500   | 3,000                       |
| <b>Total</b>        | <b>2,500</b>                                       | <b>5,500</b>  | <b>8,000</b>                |

### Step 1: Identify the overall family group to which the client belongs

Client A belongs to Family group XY whose holding total EUR 8 billion across all markets. The Average deposit value LuxCSD account (see LuxCSD debt in the table above) in the LuxCSD market is not included to determine the total group deposit. This means that the holdings considered total EUR 6 billion and Family group XY is allocated to family group 2:

| Group   | 1       | 2       | 3       | 4       | 5       | 6       | 7       | 8        | 9       |
|---|---------|---------|---------|---------|---------|---------|---------|----------|---------|
| Family group deposit AUC volume (EUR billion) | 0-2.5   | 2.5-7.5 | 7.5-15  | 15-25   | 25-50   | 50-100  | 100-150 | 150-220  | 220-300 |
| Group   | 10      | 11      | 12      | 13      | 14      | 15      | 16      | 17       | 18      |
| Family group deposit AUC volume (EUR billion) | 300-380 | 380-450 | 450-520 | 520-600 | 600-700 | 700-800 | 800-920 | 920-1050 | >1050   |

### Step 2: Calculate the fee per market/per instrument

Separate all deposits by instrument and market. Calculate the safekeeping fees based on the relevant sliding scale for the specific market/instrument, within the relevant price column for the group, using the volumes of the group for a month with 30 days.

#### Example 1 - LuxCSD market - Debt

The Family group AUC volume considered to calculate safekeeping fees for LuxCSD market, takes into consideration the volume of the LuxCSD market held on LuxCSD accounts only.

| Family group AUC volume (EUR million) |      | Notary function | Maintenance fee for securities accounts at top tier level | Deposit (EUR million) | Monthly fees (EUR) |
|---------------------------------------|------|-----------------|---|-----------------------|--------------------|
| From                                  | To   |                 |   |                       |                    |
| 0                                     | 1000 | 0.034           | 1.114   | 1,000                 | 9,566.67           |
| 1000                                  | 2000 | 0.034           | 1.045   | 1,000                 | 8,991.67           |
| Total                                 |      |                 |   | 2,000                 | 18,558.33          |
| Average rate                          |      |                 |   |                       | 1.114              |
| Marginal rate for new deposits        |      |                 |   |                       | 1.079              |

Calculation formula applied:

$$\frac{\text{average daily value of securities in the month} \times \text{number of calendar days in the month}}{360} \times \text{Rate in bp}$$

Example 2 - Other markets - Italian debt

The Family group AUC volume of the entire family group is considered to calculate the family group average price.

| Family group AUC volume<br>(EUR million) |      | Group deposit | Deposit<br>(EUR million) | Monthly fees<br>(EUR) |
|--|------|---------------|--------------------------|-----------------------|
| From                                     | To   | 2             |                          |                       |
| 0  | 750  | 1.38          | 750                      | 8,625.00              |
| 750                                      | 2000 | 1.27          | 1250                     | 13,229.17             |
| 2000                                     | 6000 | 1.08          | 1000                     | 9,000.00              |
| Total                                    |      |               | 3000                     | 30,854.17             |
| Average rate                             |      |               |                          | 1.234                 |
| Marginal rate for new deposits           |      |               |                          | 1.08                  |

### Step 3: Calculate the total safekeeping fees per account

Based on the average bp calculated in Step 2, the total fee for client A can be calculated by using the holdings per account:

| Market                               | Average price | Holdings per account | Fee per account |
|--------------------------------------|---------------|----------------------|-----------------|
| LuxCSD (48/43-series accounts)       | 1.114         | 2,000                | 18,558.33       |
| Italian debt (48/43-series accounts) | 1.234         | 500                  | 5,142.36        |

## T2S settlement against counterparties in T2S (section 2.1.1 and 2.1.1.1)

Example 1: A LuxCSD 48-series account having an instruction (delivery against payment) against a counterparty in LuxCSD in EUR during day-time. The charge of the instructions consists of the following legs:

| Service description                             | Fee (EUR)     |
|---|---------------|
| Delivery versus payment                         | 0.2525        |
| <i>Booking of a settlement instruction</i>      | <i>0.1515</i> |
| <i>Cash leg</i>                                 | <i>0.1010</i> |
| Daytime settlement process surcharge            | 0.0505        |
| External settlement fee depending on the market | As incurred   |

## Internal instructions (section 2.2.2)

A LuxCSD client A (43-series accounts) settles 4,075 Internal instructions with LuxCSD (see table below).

| Instructions client A (CBL account) | Number of instructions | Instructions family group Z | Average deposit value (EUR million) |
|-------------------------------------|------------------------|-----------------------------|-------------------------------------|
| French debt                         | 2,000                  | French debt                 | 15,000                              |
| German debt                         | 1,000                  | German debt                 | 7,000                               |
| French equity                       | 500                    | French equity               | 2,000                               |
| Italy equity                        | 575                    | Italy equity                | 2,500                               |
| Total                               | 4,075                  | Total                       | 26,500                              |

### Step 1: Determine the applicable average price

In order to determine the applicable average price, the appropriate sliding scale rate has to be applied by using the family group number of instructions, as follows:

| Family group volume of number of instructions |        | Settlement life cycle management fee | Booking of a settlement instruction | Number of instructions | Monthly fees (EUR) |
|---|--------|--------------------------------------|-------------------------------------|------------------------|--------------------|
| From  | To     |                                      |                                     |                        |                    |
| 1   | 250    | 2.15                                 | 0.10                                | 250                    | 563.00             |
| 251   | 750    | 1.90                                 | 0.10                                | 500                    | 1,000.00           |
| 751   | 1,500  | 1.45                                 | 0.10                                | 750                    | 1,163.00           |
| 1,501   | 2,500  | 1.10                                 | 0.10                                | 1,000                  | 1,200.00           |
| 2,501   | 10,000 | 0.65                                 | 0.10                                | 7,500                  | 5,625.00           |
| 10,001  | 25,000 | 0.50                                 | 0.10                                | 15,000                 | 9,000.00           |
| 25,001  | 50,000 | 0.45                                 | 0.10                                | 1,500                  | 825.00             |
| Total   |        |                                      |                                     | 26,500                 | 19,375.00          |
| Average rate                                  |        |                                      |                                     |                        | 0.7311             |



## Step 2: Calculate the monthly fees

Based on the average price calculated in Step 1, the monthly fees for client A can now be calculated by multiplying the number of instructions with the average price:

| Instructions client A (CBL account) | Number of instructions | Average | Monthly fee (EUR) |
|-------------------------------------|------------------------|---------|-------------------|
| French debt                         | 2,000                  | 0.7311  | 1,462.26          |
| German debt                         | 1,000                  | 0.7311  | 731.13            |
| French equity                       | 500                    | 0.7311  | 365.57            |
| Italy equity                        | 575                    | 0.7311  | 420.40            |
| Total                               | 4,075                  |         | 2,979.36          |

## External instructions (section 2.2.3)

External instructions between a LuxCSD 43-series account and an Icelandic domestic counterpart account.

Client A has 50 external instructions on Icelandic debt securities with a LuxCSD client:

| Service description                                  | Volume | Price (EUR) | Fee (EUR) |
|--|--------|-------------|-----------|
| External Icelandic debt instruction (first tranche)  | 15     | 68.00       | 1020.00   |
| External Icelandic debt instruction (second tranche) | 20     | 56.00       | 1120.00   |
| External Icelandic debt instruction (third tranche)  | 15     | 55.00       | 825.00    |
| Total  | 50     |             | 2965.00   |

### Price for reported items (section 5.1.5)

Example: A Family group consisting of LuxCSD 48-series accounts (48xxx) and LuxCSD 43-series accounts (43xxx) have the following reported items:

| <b>LuxCSD 48-series accounts (48xxx) settling on T2S</b>     | <b>Items</b>   |
|--|----------------|
| Receive Free Confirmation (MT544)                            | 70,000         |
| Corporate Action Notification (MT564)                        | 90,000         |
| <b>LuxCSD 43-series accounts (43xxx) not settling on T2S</b> |                |
| Corporate Action Notification (MT564)                        | 130,000        |
| Statement of Pending Transactions (MT537)                    | 65,000         |
| <b>Total</b>   | <b>355,000</b> |

In order to calculate the average price per item, the aggregated Family volumes, excluding Receive Free Confirmations (MT544) on LuxCSD accounts which are free, have to be taken into account in order to determine the average price:

| <b>Service description</b>                   |            |                                    |                |                  |
|--|------------|------------------------------------|----------------|------------------|
| Monthly reported items - Family group volume |            |                                    |                |                  |
| From   | To         | Price (EUR)                        | Client items   | Monthly fees     |
| 0  | 250,000    | 0.060                              | 250,000        | 15,000.00        |
| 250,001                                      | 500,000    | 0.050                              | 35,000         | 1,750.00         |
| 500,001                                      | 1,000,000  | 0.040                              |                |                  |
| 1,000,001                                    | 1,500,000  | 0.035                              |                |                  |
| 1,500,001                                    | 2,000,000  | 0.030                              |                |                  |
| 2,000,001                                    | 2,500,000  | 0.025                              |                |                  |
| 2,500,001                                    | 3,000,000  | 0.020                              |                |                  |
| 3,000,001                                    | 4,000,000  | 0.015                              |                |                  |
| 4,000,001                                    | 5,000,000  | 0.010                              |                |                  |
| 5,000,001                                    | 6,000,000  | 0.008                              |                |                  |
| 6,000,001                                    | 7,500,000  | 0.007                              |                |                  |
| 7,500,001                                    | 10,000,000 | 0.006                              |                |                  |
| > 10,000,000                                 |            | 0.005                              |                |                  |
|  |            | <b>Total</b>                       | <b>285,000</b> | <b>16,750.00</b> |
|  |            | Average price                      |                | 0.0588           |
|  |            | Marginal rate for additional items |                | 0.050            |

With the calculated average price, the monthly fee per account can be computed:

| <b>LuxCSD 48-series accounts (48xxx) settling on T2S</b>     | <b>Items</b>   | <b>Monthly fee</b> |
|--|----------------|--------------------|
| Receive Free Confirmation (MT544)                            | 70,000         | Free               |
| Corporate Action Notification (MT564)                        | 90,000         | 5,292.00           |
| <b>LuxCSD 43-series accounts (43xxx) not settling on T2S</b> |                |                    |
| Corporate Action Notification (MT564)                        | 130,000        | 7,644.00           |
| Statement of Pending Transactions (MT537)                    | 65,000         | 3,822.00           |
| <b>Total</b>   | <b>355,000</b> | <b>16,750.02</b>   |

## Swift data transport (section 5.3.1)

(Stepping scale)

Swift FIN messages will be charged per message according to the tariff mentioned in the table below. As soon as a volume band is reached the price corresponding to that volume band applies to the entire volume.

| Number of Swift FIN messages client A | Number of Swift FIN messages family group |
|---------------------------------------|---|
| 25,000                                | 855,000                                   |

| Invoice code | Service description                       | Price (EUR) |
|--------------|---|-------------|
|              | Monthly Swift FIN data transport messages |             |
|              | under 150,000                             | 0.360       |
|              | over 150,000                              | 0.300       |
|              | over 250,000                              | 0.175       |
|              | over 500,000                              | 0.1200      |
|              | over 750,000                              | 0.0900      |
|              | over 1,000,000                            | 0.0850      |
|              | over 1,500,000                            | 0.0825      |
|              | over 2,500,000                            | 0.0800      |

Since the Family group number of Swift messages is 855,000 (that is over 750,000), the fee to be applied per Swift FIN message for all accounts in this family group is EUR 0.09, for client A, the fee to be charged is  $25,000 * 0.09 = 2,250$  EUR.

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Published by

LuxCSD

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Registered addresses

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Document number: LU6210